

1 **Empagliflozin inhibits excessive autophagy through the AMPK/GSK3 $\beta$  signaling**  
2 **pathway in diabetic cardiomyopathy**

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### Abstract

**Background and Aims:** Sodium-glucose cotransporter 2 (SGLT2) inhibitors have beneficial effects on heart failure and cardiovascular mortality in diabetic and nondiabetic patients, with unclear mechanisms. Autophagy is a cardioprotective mechanism under acute stress conditions, but excessive autophagy accelerates myocardial cell death leading to autosis. We evaluated the protective role of empagliflozin (EMPA) against cardiac injury in murine diabetic cardiomyopathy.

**Methods and Results:** Male mice, rendered diabetics by one single intraperitoneal injection of streptozotocin and treated with EMPA (30 mg/kg/day) had fewer apoptotic cells ( $4.9 \pm 2.1$  vs  $1 \pm 0.5$  TUNEL-positive cells %,  $p < 0.05$ ), less senescence ( $10.1 \pm 2$  vs  $7.9 \pm 1.2$   $\beta$ -gal positivity/tissue area,  $p < 0.05$ ), fibrosis ( $0.2 \pm 0.05$  vs  $0.15 \pm 0.06$ ,  $p < 0.05$  fibrotic area/tissue area), autophagy ( $7.9 \pm 0.05$  vs  $2.3 \pm 0.6$  fluorescence intensity/total area,  $p < 0.01$ ), and connexin (Cx)-43 lateralization compared with diabetic mice. Proteomic analysis showed a downregulation of the 5' adenosine monophosphate-activated protein kinase (AMPK) pathway and upstream activation of sirtuins in the heart of diabetic mice treated with EMPA compared with diabetic mice. Because sirtuin activation leads to modulation of cardiomyogenic transcription factors, we analyzed the DNA binding activity to serum response elements (SRE) of serum response factor (SRF) by electromobility shift assay. Compared with diabetic mice ( $0.5 \pm 0.01$  densitometric units, DU), nondiabetic mice treated with EMPA ( $2.2 \pm 0.01$  DU,  $p < 0.01$ ) and diabetic mice treated with EMPA ( $2.0 \pm 0.1$  DU,  $p < 0.01$ ) significantly increased SRF binding activity to SRE, paralleled by increased cardiac actin expression ( $4.1 \pm 0.1$  vs  $2.2 \pm 0.01$  target protein/ $\beta$ -actin ratio,  $p < 0.01$ ). EMPA significantly reversed cardiac dysfunction on echocardiography in diabetic mice and inhibited excessive autophagy in high-glucose-treated cardiomyocytes by inhibiting the autophagy inducer GSK3 $\beta$ , leading to reactivation of cardiomyogenic transcription factors.

**Conclusions:** Taken together, our results describe a novel paradigm in which EMPA inhibits hyperactivation of autophagy through the AMPK/GSK3 $\beta$  signaling pathway in the context of diabetes.

1 **Key words:** diabetic cardiomyopathy; sodium-glucose cotransporter type 2 (SGLT2)  
 2 inhibitors; empagliflozin; autophagy; glycogen synthase kinase 3 beta; serum response factor;  
 3 connexins.

4  
 5 **Abbreviations**

6  
 7 5' adenosine monophosphate-activated protein kinase AMPK

8 Bovine Serum Albumin	BSA
9 Connexin	Cx
10 Dimethyl sulfoxide	DMSO
11 Empagliflozin	EMPA
12 Filter Aided Sample Preparation	FASP
13 Glycogen synthase kinase 3 beta	GSK3 $\beta$
14 Ice-cold RadiolImmuno Precipitation Assay	RIPA
15 Ingenuity Pathway Analysis	IPA
16 Myocardin-related transcription factor	MRTF
17 Myocardin	MYOCD
18 Phosphate Buffered Saline	PBS
19 Optical cutting temperature	OCT
20 Senescence-associated $\beta$ -galactosidase	SA $\beta$ -gal
21 Serum Response Element	SRE
22 Serum Response Factor	SRF
23 Sirtuin	SIRT
24 Smooth muscle $\alpha$ -actin	ASMA
25 Sodium-glucose cotransporter type 2	SGLT2
26 Streptozotocin	STZ
27 Terminal Deoxyribonucleotidyl Transferase 28 mediated dUTP Nick End Labeling	TUNEL
29 Trans-retinoic acid	RA

30  
 31

## 1 **Introduction**

2 Diabetic cardiomyopathy, a condition characterized in its early stages by diastolic relaxation  
3 abnormalities and, later, by systolic dysfunction in the absence of dyslipidaemias,  
4 hypertension, coronary artery disease and valvular heart disease, has an independent role in  
5 determining heart failure in diabetic patients <sup>1</sup>. Beyond the strict control of diabetes, there is a  
6 lack of valid therapeutic strategies to prevent its evolution towards heart failure, especially  
7 when the stigmata of diabetic cardiomyopathy and the consequent diastolic dysfunction have  
8 been established.

9 The pathogenesis of diabetic cardiomyopathy involves increased cardiomyocyte apoptosis  
10 and fibrosis, impaired cardiomyocyte autophagy and microangiopathy, often characterized by  
11 de-regulated angiogenesis and the formation of dysfunctional small vessels <sup>2,3</sup>. Cellular  
12 autophagy or autophagocytosis is the self-cannibalization mechanism of cells with which the  
13 selective removal of damaged cytoplasmic components takes place. Autophagy is involved in  
14 maintaining cardiac function; however, autophagy is hyperactivated in pathological  
15 conditions, including heart failure <sup>4</sup>, cardiac hypertrophy <sup>5</sup>, ischemic cardiomyopathy <sup>6</sup>, and  
16 cardiac senescence <sup>7</sup>. Especially in the pathogenesis of diabetic cardiomyopathy, excessive  
17 and deregulated autophagy appears to play a key role <sup>8,9</sup>. Therefore, autophagy can  
18 represent a valid target for limiting damage in diabetic cardiomyopathy.

19 Several trials have shown beneficial effects of empagliflozin (EMPA), a selective inhibitor of  
20 the sodium glucose co-transporter 2 (SGLT2), on heart function and cardiovascular outcomes  
21 in diabetic patients with type 2 <sup>10,11</sup> and type 1 diabetes <sup>12,13</sup>, although the underlying  
22 mechanisms are unknown. In this work we aimed at examining the protective role of EMPA  
23 against cardiac injury in a murine model of diabetic cardiomyopathy and assessed underlying

1 mechanisms, hypothesizing that EMPA can target excessive autophagy and adverse cardiac  
2 remodeling, thus explaining prevention of heart failure in diabetic cardiomyopathy.

### 3 **Materials and Methods**

4 EMPA was purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Unless  
5 otherwise specified, all other reagents were from Sigma-Aldrich (St. Louis, MO, USA).

#### 7 **Animal care and experimental procedures**

8 Male C57BL/6 mice (body weight:  $30 \pm 4$  g, 6 months old) were purchased from Charles River  
9 Italia. Treatment with STZ, to make the mice diabetic, and with EMPA were carried out as  
10 previously described (**Online Figure 1**)<sup>14</sup>. Briefly, mice were provided with *ad libitum* rodent  
11 chow (Teklad 7001, 4.4%; Harlan Teklad Global Diets) and water. Mice were randomized into  
12 4 groups (n=8 for each treatment group): vehicle (saline) or CNTRL, EMPA, STZ,  
13 STZ+EMPA. Type 1 diabetes mellitus (T1DM) was induced by one single intraperitoneal  
14 injection of streptozotocin at 30 mg/kg dissolved in 0.01 mol/L citrate buffer (pH 4.5). With this  
15 diabetes induction protocol, the mortality of mice was zero. EMPA was dissolved in water and  
16 administered to mice in the experimental groups after 1 month from type 1 diabetes induction,  
17 by oral gavage daily (30 mg/kg/d for 28 days, corresponding to the equivalent active dose in  
18 humans <https://go.drugbank.com/drugs/DB09038>). For echocardiograms, mice were  
19 anesthetized by intraperitoneal injection of ketamine (100 mg/kg, Clorketam; Vétoquinol,  
20 Italy), according to procedures already described<sup>14</sup>. At 1 month from EMPA treatments the  
21 animals were anesthetized by inhalation of 2%-5% isoflurane in oxygen and sacrificed via  
22 cervical dislocation. The hearts were excised, snap-frozen in liquid nitrogen and stored at -80  
23 °C for protein extraction or embedded in *optical cutting temperature* (OCT) medium for

1 histological analyses. All procedures were approved by the local Institutional Ethics  
2 Committee for Animal Research (Protocol number 176/2019-R released in February 25,  
3 2019). All studies conformed to the Guidelines from Directive 2010/63 EU of the European  
4 Parliament on the protection of animals used for scientific purpose of the NIH guidelines.

### 5 6 **Blood chemistry analyses**

7 Blood was collected from the tail veins of diabetic and nondiabetic mice. Blood glucose and  
8 insulin levels were measured using a glucometer (GR-102, Terumo, Tokyo, Japan) or by  
9 ELISA Kit (AKRIN-011T, Shibayagi, Gunma, Japan), respectively.

### 10 11 **Image analysis**

12 All sample sections were observed under the BX43 microscope (EVIDENT Europe GmbH,  
13 Hamburg, Germany) and captured by a SC50 digital camera (EVIDENT Europe; pixel  
14 dimension photocamera sensor  $2.2 \times 2.2 \mu\text{m}$ , LED light), as described in the Online  
15 Supplement.

### 16 17 **Cell cultures**

18 H9c2 cells<sup>15-17</sup> purchased from American Type Culture Collection (ATCC, Rockville, MD)  
19 were cultured in high glucose Dulbecco's modified Eagle's medium (DMEM, ATCC)  
20 supplemented with 10% heat-inactivated fetal bovine serum (FBS) under 95% air and 5%  
21 CO<sub>2</sub> at 37 °C. Differentiation into cardiomyocytes was performed as previously described<sup>18</sup>.  
22 In brief, cells were cultured in DMEM containing 1% FBS and all trans-retinoic acid (RA)

1 supplementation (50 nM) for 10 days. At subconfluence (70–80%), cardiomyocytes cultured in  
2 Petri dishes (for Electrophoretic Mobility Shift Assays and immunoblotting) or chamber slides  
3 (for autophagy assay) were preincubated for 30 min with EMPA (100 and 500 nM) or  
4 wortmannin (100 nM), followed by addition of D-glucose (30 mM) for 24 hours.

### 5 **Fibrosis analysis**

6 The hearts in OCT were cut transversely to obtain 10  $\mu$ m thick-sections from the middle of the  
7 ventricles. Morphology and interstitial, perivascular and coronary arterial fibrosis were  
8 assessed by hematoxylin-eosin and picosirius red staining, respectively. The extent of left  
9 ventricular (LV) fibrosis was quantified by image analysis.

### 10 11 **Terminal Deoxyribonucleotidyl Transferase-mediated dUTP Nick End Labeling** 12 **(TUNEL) Assay**

13 Detection of nuclei with fragmented DNA was performed using the HRP-DAB TUNEL assay  
14 kit (Abcam, Cambridge, UK) according to the manufacturer's instructions and as previously  
15 described<sup>14</sup>. The myocardial apoptotic index was calculated as mean percentage of TUNEL-  
16 positive cells on a total cell number ranging between 600 and 2000. The TUNEL assay was  
17 read under standard light microscope by two blinded, independent researchers.

### 18 19 **Senescence-Associated $\beta$ -galactosidase Assay**

20 Cardiac senescence was evaluated by Senescence-Associated- $\beta$ -Galactosidase Staining  
21 (SA- $\beta$ -gal Activity) (Cell Biolabs, Inc, San Diego, CA, USA), as previously described<sup>14</sup>. The  
22 senescence assay was read under standard light microscope and the extent of the blue-  
23 stained area was evaluated by image analysis.

## 1 **Autophagy detection by immunofluorescence**

2 Autophagy in murine heart sections and cardiomyocytes plated in chamber slides, was  
3 detected by Autophagy Detection Kit (Abcam ab139484, Cambridge, UK), as previously  
4 described <sup>19</sup>. Briefly, cardiac sections or chamber slides with cardiomyocytes were incubated  
5 with fluorescent dyes for nuclei staining and autophagy detection. After washing, the green  
6 fluorescence was observed under confocal microscope (Carl Zeiss LSM 510 META Laser  
7 Confocal Microscope, Oberkochen, German) and quantified by image analysis. The Green  
8 Detection Reagent was read with a FITC filter (Excitation ~480 nm, Emission ~530), and the  
9 Hoechst 33342 Nuclear Stain was read with a DAPI filter set (340/480 ex/em).

## 11 **Immunohistological evaluation of capillary and arterioles density**

12 We examined the effects of STZ and EMPA on the capillaries and arterioles density by  
13 immunohistochemical analyses for CD31, alpha smooth muscle actin (ASMA) and Vascular  
14 Endothelial (VE) cadherin in OCT-embedded cardiac tissue sections, as previously described  
15 <sup>20</sup> and stated in the Online supplement. The ASMA and VE-cadherin immunofluorescence  
16 staining was assessed under fluorescence microscope at Excitation ~598 nm, Emission ~625.  
17 The CD31 immunoperoxidase staining was read under standard light microscope. The  
18 immunopositivities (immunoperoxidase and immunofluorescence) were quantified by image  
19 analysis.

20 Moreover, vessels density was evaluated at 400X total magnification by two blinded,  
21 independent observers on 10-20 fields, in order to cover the whole section, and it was  
22 expressed as the percentage of the vessel number by tissue area.

23



## 1 **Immunohistochemical evaluation of connexin protein expression**

2 Expressions of Cx43, pS368-Cx43 and Cx26 were evaluated by immunofluorescence  
3 analysis cadherin in OCT-embedded cardiac tissue sections, as previously described<sup>20</sup> and  
4 stated in the Online supplement. The connexins immunofluorescence staining was assessed  
5 under fluorescence microscope at Excitation ~598 nm, Emission ~625 and quantified by  
6 image analysis.

## 7 8 **Proteomics and computational analyses**

9 Cardiac tissue from each treatment group was digested following the Filter Aided Sample  
10 Preparation (FASP) method and label-free shotgun proteomics experiments were carried out  
11 as previously described<sup>19,21</sup>. The mass spectrometry proteomics data have been deposited to  
12 the ProteomeXchange Consortium via the PRIDE partner repository.

13 A panel of differential proteins (considering only unique proteins) was subjected to an in-silico  
14 analysis by the Ingenuity Pathway Analysis (IPA) (Ingenuity Systems, Mountain View, CA)  
15 and Gene Ontology. Results were visualized as PCA and Volcano Plots (Online Figure 2 and  
16 Online Figure 3).

## 17 18 **Immunoblotting**

19 Total proteins were isolated from the hearts in an ice-cold RadiolImmuno Precipitation Assay  
20 (RIPA), separated under reducing conditions and electroblotted onto polyvinylidene fluoride  
21 membrane (Immobilon-P, Millipore, Bedford, MA), as previously described<sup>18,20,21</sup> and detailed  
22 in the Online supplement. The expression of each target was provided as the ratio between the  
23 densitometry of the target protein and the densitometry of the “housekeeping” protein control (GAPDH  
24 or  $\beta$ -actin).

## 1 **Electrophoretic Mobility Shift Assays (EMSA)**

2 Nuclear fractions and EMSA were performed using a non-radioactive Chemiluminescent  
3 EMSA Kit (Signosis Inc., Santa Clara, CA, USA), as described in the Online Supplement.

## 5 **Serum Response Factor Cell-based Phosphorylation Assay**

6 Cardiomyocytes were seeded in 96-well plates at 30,000 cells/well overnight in high glucose  
7 DMEM medium. The following day, the cells were serum-starved (2% FCS) for 6 h,  
8 preincubated for 30 min with 500 nM EMPA, followed by addition of D-glucose (30 mM) for 24  
9 hours. After treatment, plates were used to assess SRF phosphorylation using a  
10 phosphospecific antibody cell-based ELISA kit (LSBio, Seattle, WA), as described in the  
11 Online Supplement. Results were expressed as ratio between pSRF normalized for cell  
12 nuclei/SRF normalized for cell nuclei or GAPDH normalized for cell nuclei.

## 14 **Echocardiography**

15 We performed transthoracic echocardiography blindly at 1 month after treatments using a  
16 portable ultrasound apparatus (Esaote, Genoa, Italy for pulse wave doppler analyses; Vevo  
17 770 system, Visualsonics, Netherlands for M and B-mode analyses) equipped with a 40-MHz  
18 linear probe according to detailed protocols described previously described <sup>14</sup>. Specifically,  
19 investigators who analyzed the images were blinded to treatment groups.

21 **Statistical Analysis.** Data are expressed as mean  $\pm$  standard deviation (SD). Multiple-group  
22 comparisons were performed by analysis of variance (ANOVA) and the Tukey Honestly

1 Significant Difference (HSD) post-hoc test *P* and, where necessary, Student t-test. Values  
2 less than 0.05 were considered statistically significant. SPSS and GraphPad softwares were  
3 used for data processing and the statistical analysis.

## 5 Results

### 6 Functional and structural profiles of hearts from diabetic mice and controls treated 7 with empagliflozin

8 Diabetic mice were lean, with higher plasma glucose levels and lower insulin levels,  
9 compared with nondiabetic control mice (**Online Table 1**). At echocardiography, systolic  
10 (**Figure 1 A, B**) and diastolic (**Figure 1 C**) cardiac functions were significantly impaired in  
11 diabetic mice, with increase of left ventricular (LV) diameter (**Figure 1 D, E**). Hematoxylin-  
12 Eosin staining did not evidence relevant morphological differences among treatments (**Figure**  
13 **2 A**). Pathological examination revealed more cardiac fibrosis in parallel with changes in  
14 diastolic cardiac function (**Figure 2 B, C**). EMPA treatment attenuated systolic (**Figure 1 A,**  
15 **B**) and diastolic dysfunction (**Figure 1 C**), cardiac fibrosis (**Figure 2 B, C**) and the expression  
16 of type III collagen (**Figure 3 B**). In the ventricles of STZ-treated mice, the total number of  
17 TUNEL-positive apoptotic cells was 4-fold higher than in vehicle-treated controls (**Figure 2 D,**  
18 **F**). These effects were reversed by co-treatment with EMPA. In the ventricular myocardium of  
19 STZ-treated mice, the percentage of SA  $\beta$ -gal- positive senescent cardiac area was 2.5-fold  
20 higher than in vehicle-treated controls (**Figure 2 E, G**). At immunoblotting there were a  
21 significant increase in the expression of a more sensitive marker of senescence p16INK4A  
22 expression in the hearts of STZ-treated mice compared to vehicle-treated controls (**Figure 2**  
23 **H**). EMPA reversed the effect of STZ on cardiac apoptosis (**Figure 2 D, F**) and showed a

1 trend of reduction in senescence (**Figure 2 E, G, H**). Whereas there was a significant  
2 decrease in p16INK4A expression (**Figure 2H**), and a trend of reduction in fibrosis and in the  
3 number of apoptotic cells after EMPA treatment in nondiabetic control mice, EMPA induced  
4 no significant changes in collagen deposition, cardiac apoptosis and senescence in the  
5 absence of diabetes (**Figure 2 A-H, Figure 3B**). The effects of EMPA on cardiac apoptosis  
6 were also supported by label-free proteomics analysis in the hearts of diabetic mice. As  
7 shown in **Online Figure 4 A-C**, compared with STZ-treated hearts, protein cargo of  
8 STZ+EMPA-treated hearts was able to inhibit cellular functions related to “necrosis” (-Log(p-  
9 value) = 9.26, z-score = -2.3), “apoptosis of muscle cells” (-Log(p-value) = 9.11, z-score = -  
10 2.3) and “cell death of cardiomyocytes (-Log(p-value) = 8.66, z-score = -2.3).

11

### 12 **Empagliflozin exerts an anti-lymphangiogenesis effect in diabetic mice independent of** 13 **the VEGF signaling pathway**

14 Cardiac remodeling in diabetic hearts includes not only fibroblast activation and fibrosis, but  
15 also increased angiogenic and lymphangiogenic response<sup>22</sup>. Therefore, we evaluated the  
16 impact of EMPA on angiogenesis and lymphangiogenesis, and expression of angiogenic  
17 markers. Compared with STZ-treated mice, STZ+EMPA had lower CD31-positive vessel  
18 density and CD31 reactivity degree with no statistically significant differences in VE-cadherin-  
19 positive vessel density as well as VE-cadherin and ASMA reactivity degree (**Figure 3 A**).  
20 These data could represent an EMPA effect on lymphatic vessels only. Indeed,  
21 immunopositivity for the blood vessel marker VE-cadherin did not change after EMPA  
22 administration. However, the decrease of the lymphatic vessels by EMPA is consistent with  
23 the results obtained on fibrosis and indicates an anti-remodeling effect exerted by EMPA.  
24 Activation of several angiogenic growth factor receptors [vascular endothelial growth factor

1 receptor-1 (VEGFR-1 or Flt1), fibroblast growth factor receptor (FGFR), platelet-derived  
2 growth factor receptor (PDGFR)<sup>23</sup>, matrix metalloproteinases<sup>24</sup> and aquaporin water channel  
3 (AQP)-1 are all involved in the angiogenic and lymphangiogenic process<sup>25,26</sup>. In the present  
4 study we evaluated cardiac collagen III and VEGFA protein expression. Compared with STZ-  
5 treated mice, STZ+EMPA had lower collagen III expression and higher VEGFA expression.  
6 Therefore, the irrelevance of EMPA on the blood and lymphatic vessel density was not  
7 paralleled by an equal effect on VEGFA expression (**Figure 3 C**) and signaling pathway  
8 (**Online Figure 4 F, G**), suggesting that EMPA acts on lymphangiogenesis independently of  
9 the VEGFA signaling pathway.

10

### 11 **Empagliflozin attenuates autophagy in diabetic hearts**

12 Because insulin inhibits autophagy, we hypothesized that autophagy would be increased in  
13 STZ-induced diabetes, reflecting insulin deficiency. Abundant green fluorescent protein (GFP)  
14 positivity was observed in hearts of diabetic mice (**Figure 4 A**). Western blot analysis showed  
15 expression of SGLT2 in murine hearts, with substantial variability depending on the type of  
16 treatment, with the highest expression in hearts of diabetic mice and the lowest expression in  
17 nondiabetic mice exposed to EMPA (**Figure 4 B**). The specificity of the anti-SGLT2 antibody  
18 was verified by using the blocking peptide (BP) in the heart protein samples treated with STZ  
19 (STZ+BP), in which the blocking peptide was able to significantly reduce the binding of the  
20 antibody to the target protein SGLT2 (**Figure 4 B**). Using immunoblotting we found that the  
21 expression of microtubule-associated protein 1 light chain 3 (LC3)-II was upregulated in  
22 diabetic mice (**Figure 4 C**), as it was for the expression of p62 (SQSTM1/sequestome 1), a  
23 selective substrate of autophagy (**Figure 4 D**). These effects were reversed by co-treatment  
24 with EMPA, suggesting that EMPA acts on cardiac autophagy (**Figure 4 C, D**). We observed

1 a significant increase in the level of activated AMP-activated protein kinase (phosphorylated  
2 AMPK; p-AMPK) in STZ-treated diabetic hearts, which was reversed by co-treatment with  
3 EMPA (**Figure 4 F**). There was a significant decrease in p-AMPK expression after EMPA  
4 treatment in nondiabetic control mice (**Figure 4 F**). The effects of STZ and EMPA on AMPK  
5 were also supported by label-free proteomics analysis. As shown in **Online Figure 5 A-B**  
6 compared to STZ-treated hearts, protein cargo of STZ+EMPA-treated hearts was able to  
7 inhibit the AMPK signaling pathway ( $-\text{Log}(p\text{-value})=3.02$ ,  $z\text{-score} = -2.44$ ). These effects of  
8 EMPA were also paralleled in the hearts of nondiabetic control mice ( $-\text{Log}(p\text{-value})=1.98$ ,  $z\text{-}$   
9  $\text{score} = -3.23$ ). Overall, these results indicate the presence of enhanced autophagy in the  
10 hearts of diabetic mice and the effect relieving excessive STZ-induced autophagy by EMPA.  
11 Unexpectedly, the expression of active mTOR (p-mTOR) was increased in EMPA treated STZ  
12 diabetic hearts (**Figure 4 E**). Indeed, the insulin suppression that characterizes type 1  
13 diabetes should be accompanied by a reduction in p-mTOR<sup>27</sup>. Autophagy in the present  
14 setting thus appears not to be dependent on mTOR activity, probably due to the model used  
15 in this study, which is closer to a mixture of type 1 and type 2 diabetes.

### 17 **Empagliflozin induces SIRT1 and SIRT3 and activates promyogenic transcription** 18 **factors in diabetic and control hearts**

19 Sirtuins could both activate and inhibit autophagy by activating several downstream signal  
20 pathways<sup>28</sup>. Among the seven sirtuins identified, SIRT1 is mainly located in the nucleus and  
21 SIRT3 is often in mitochondrion, and both are targets of EMPA in cardiac tissue<sup>29,30</sup>.  
22 Therefore, we evaluated the impact of EMPA and STZ on the expression of SIRT1 and  
23 SIRT3. Compared with STZ-treated mice, STZ+ EMPA showed a significant increase in

1 SIRT1 and SIRT3 expression with no statistically significant differences in SIRT1 expression  
2 in STZ-treated versus vehicle-treated mice (**Figure 5 A**). These effects of EMPA on SIRT1  
3 and SIRT3 were also paralleled in the hearts of nondiabetic control mice. The effects of STZ  
4 and EMPA on sirtuins were also supported by label-free proteomics analysis. As shown in  
5 **Online Figure 6** compared with vehicle-treated hearts, protein cargo of EMPA-treated hearts  
6 was able to activate SIRT3 (-Log(p-value)=4.22, z-score = 2.0) (**Panel A**) and sirtuin pathway  
7 (-Log(p-value)=54.1, z-score = 2.94) (**Panel B**), whereas STZ was able to inhibit sirtuin  
8 pathway (-Log(p-value)=53.9, z-score = -4.52) (**Panel C**). Because sirtuins interact with serum  
9 response factor (SRF)<sup>31</sup> and myocardin-related transcription factor (MRTF)<sup>32,33</sup>, and sirtuin  
10 activation leads to modulation of cardiomyogenic transcription factors<sup>31-33</sup>, we analyzed the  
11 DNA binding activity of SRF by electromobility shift assay, as well as the expression of  
12 myogenic transcription factors and sarcomeric proteins that are regulated by SRE/SRF  
13 binding activity. EMPA and STZ+ EMPA significantly increased SRF/SRE binding activity  
14 compared with vehicle and STZ alone (**Figure 5 B**), which was paralleled by increased  
15 cardiac actin expression in STZ+EMPA samples compared to STZ ones (**Figure 5 C**). We did  
16 not observe any modulation of total or nuclear expression of SRF and myocardin in any  
17 treatment group (data not shown). EMPA induced a significant increase of MTRF expression  
18 both in nondiabetic and diabetic mice (**Figure 5C**).

## 20 **Empagliflozin inhibits autophagy through GSK3 $\beta$ in cardiomyocytes chronically** 21 **exposed to high glucose**

22 GSK3 $\beta$  in its active state, i.e. when it is dephosphorylated in serine 9 (Ser<sup>9</sup>), induces  
23 autophagy via wortmannin-induced inhibition of the PI3K-Akt pathway<sup>34</sup>. In its active state,

1 GSK3 $\beta$  phosphorylates SRF at the specific phosphorylation motif [(T / SPPXS):  
2 SPDSPPRSDPT, located in a highly conserved sequence of SRF)], inducing its degradation  
3 <sup>34</sup>. Phosphorylation of serine 473 (Ser<sup>473</sup>) is required for maximal activation of AKT <sup>35</sup>. We  
4 hypothesized that EMPA could inhibit excessive autophagy in the hearts of STZ-treated mice  
5 via the GSK3 $\beta$ /PI3K-Akt signaling pathway, leading to reactivation of the cardiomyogenic  
6 transcriptional complex. First, we verified the phosphorylation status of GSK3 $\beta$  and AKT in  
7 diabetic hearts and controls exposed to EMPA (**Figure 5 D**). Diabetic hearts showed  
8 significantly lower levels of Ser<sup>9</sup>-pGSK3 $\beta$  and Ser<sup>473</sup>-pAKT compared with controls,  
9 suggesting a mutually opposite effect of activation and deactivation played by type 1 diabetes  
10 on GSK and AKT, respectively. EMPA reversed the effect of STZ on Ser<sup>9</sup>-pGSK3 $\beta$  and  
11 Ser<sup>473</sup>-pAKT. These effects of EMPA on Ser<sup>473</sup>-pAKT but not Ser<sup>9</sup>-pGSK3 $\beta$  were also  
12 paralleled in the hearts of nondiabetic control mice (**Figure 5 D**). We next expanded the *in*  
13 *vivo* studies by investigating whether GSK3 $\beta$ /PI3-AKT conveys the autophagy demodulation  
14 signal of EMPA in cardiomyocytes chronically exposed to high glucose levels. We used rat  
15 cardiomyocytes derived from differentiating H9C2 cells and cultured in DMEM high glucose.  
16 We demonstrated expression of SGLT2 in these cells at western blot, albeit with substantial  
17 variability depending on the treatment type, with the highest expression in those that received  
18 an acute addition of 30 mM glucose to the culture medium for 24 hours (**Figure 6 A**).  
19 Consistent with *in vivo* experiments, cardiomyocytes treated with 500 nM EMPA showed  
20 significantly higher levels of Ser<sup>9</sup>-pGSK3 $\beta$  and Ser<sup>473</sup>-pAKT than basal conditions (CNTRL),  
21 and acute addition of 30 mM glucose for 24 hours to the culture medium or wortmannin  
22 treatment further reduced them (**Figure 6 A**). EMPA reversed the effects of 30 mM glucose  
23 with or without wortmannin on Ser<sup>9</sup>-pGSK3 $\beta$  but not on Ser<sup>473</sup>-pAKT (**Figure 6 A**). We verified  
24 consistent activation of autophagy under basal conditions, at levels comparable with those



1 induced by rapamycin (positive control) (**Figure 6 B, C**). Inhibition of GSK3 $\beta$  and AKT  
2 phosphorylation by wortmannin further increased autophagy (**Figure 6 B**). Addition of 30 mM  
3 glucose in the culture medium did not further increase autophagy, whereas exposure to 500  
4 nM EMPA in the presence or absence of wortmannin, reduced autophagy back to levels  
5 below basal conditions (CNTRL), rapamycin and especially wortmannin (**Figure 6 B**). Taken  
6 together, the data on GSK3 $\beta$  and AKT phosphorylation and autophagy suggest a specific  
7 action of EMPA on the GSK3 $\beta$  pathway to shutdown excessive autophagy.

8

### 9 **Empagliflozin reactivates the cardiomyogenic SRF-SRE transcriptional complex in** 10 **cardiomyocytes chronically exposed to high glucose**

11 SRF binds to SRE of DNA sequences located in the promoter of genes critical for  
12 cardiovascular myogenesis<sup>36</sup>. The stability of SRF is a requirement for the formation of the  
13 SRF-SRE complex. The ubiquitin proteasome system<sup>37</sup> and the autophagy-dependent  
14 pathway<sup>34</sup> represent the main systems that regulate the stability and degradation of SRF and  
15 therefore its interaction with SRE. In particular, activation of GSK3 $\beta$  has been shown to lead  
16 to phosphorylation and subsequent degradation of SRF through regulation of autophagy<sup>34</sup>.  
17 First, we verified the phosphorylation status of SRF in cardiomyocytes chronically exposed to  
18 high glucose levels (**Figure 6 C**). Cardiomyocytes treated with 500 nM EMPA showed levels  
19 of Ser<sup>9</sup>-pSRF comparable with basal conditions (CNTRL), and acute addition of 30 mM  
20 glucose for 24 hours to the culture medium significantly increased them as compared to basal  
21 condition (CNTRL) (**Figure 6 C**). EMPA reversed the effects of 30 mM glucose on Ser<sup>9</sup>-pSRF  
22 (**Figure 6 C**). To determine if the shutdown of excessive autophagy operated by EMPA  
23 through GSK3 $\beta$  signaling pathway leads to effects on SRF-SRE interaction and contractile  
24 protein expression, we performed EMSA with nuclear extracts from cardiomyocytes

1 chronically exposed to high glucose incubated with a non-radiolabeled double-stranded DNA  
2 SRE probe. In nuclear protein extracts from cells chronically treated with high glucose, a  
3 slight binding activity was indicated by the appearance of slightly shifted bands (**Figure 6 D**).  
4 The specificity of SRF-SRE binding was confirmed by competition with unlabeled (cold)  
5 probe, which led to the disappearance of shifted bands. Cells treated with 500 nM EMPA  
6 showed significantly higher levels of SRF-SRE complex compared to basal conditions  
7 (CNTRL), and acute addition of 30 mM glucose for 24 hours to the culture medium or  
8 treatment with wortmannin further reduced it, suggesting the presence of an inhibitory brake  
9 on SRF-SRE interaction exerted by exposure to high glucose and inhibition of GSK/PI3-AKT  
10 signaling pathway (**Figure 6 D**). EMPA reversed the effects of 30 mM glucose with and  
11 without wortmannin on SRF-SRE binding (**Figure 6 D**), suggesting the drug's ability to  
12 reactivate SRF-SRE binding activity inhibited by high glucose and GSK3 $\beta$ / PI3-AKT pathway  
13 inhibition.

#### 15 **Empaglifozin attenuates Cx43 lateralization distribution in diabetic mice and modulates** 16 **Cx expression/activation in nondiabetic mice**

17 Connexins (Cxs) are membrane-spanning proteins that play an essential role in cardiac  
18 function and disease<sup>38</sup> including diabetes cardiomyopathy<sup>39,40</sup>, through their canonical role in  
19 the propagation of electrical activity throughout the heart and their non-canonical role in the  
20 modulation of different cellular activities, including autophagy<sup>40,41</sup>. Therefore, we evaluated  
21 the expression of Cx43, the most studied Cx, and Cx26, the most recently found Cx in  
22 cardiomyocytes<sup>18</sup> and in whole heart tissues. The results obtained from both the western blot  
23 and the immunofluorescence showed that Cx43 expression did not change neither in STZ nor

1 in EMPA-treated diabetic mice. Of note, nondiabetic mice treated with EMPA showed a  
2 significant reduction of Cx43 expression in total cardiac tissue lysates which was paralleled by  
3 a corresponding decrease of its expression in cardiomyocytes, as revealed by  
4 immunofluorescence analysis (**Figure 7A-B**). In this model we also evaluated the expression  
5 of the phosphorylated form of Cx43 at serine 368 (pS368-Cx43) which is involved in the  
6 specific permeability of Cx43-made junctions<sup>38</sup>. Similarly to Cx43 expression, pS368-Cx43  
7 did not vary in STZ and EMPA-treated diabetic mice compared to control as result of both  
8 western blot and immunofluorescence analysis. Conversely, pS368-Cx43 expression  
9 increased with EMPA administration in nondiabetic mice (**Figure 7 A-B**). Moreover, we also  
10 investigated Cx43 distribution on cardiomyocytes. Indeed, Cx43 is usually localized at  
11 intercalated discs while a lateral distribution has been observed in different heart diseases<sup>38</sup>.  
12 We quantified the Cx43 lateralization by excluding the tissue area where Cx43 and N-  
13 cadherin were co-localized, as shown in Figure 7 C. We found that lateralization of Cx43  
14 observed in STZ-treated mice was significantly reduced by EMPA treatment. Regarding Cx26  
15 expression, we observed that this Cx was significantly reduced in the total heart tissue lysates  
16 harvested from STZ-treated mice, and EMPA treatment tended to revert this effect even  
17 without statistically significant difference (**Figure 7 D**). In contrast, in cardiomyocytes of  
18 normal mice, EMPA administration induced a decrease in the Cx26 expression as shown by  
19 immunofluorescence results (**Figure 7D**).

## 21 Discussion

22 In the present study, we demonstrated that empagliflozin (EMPA) attenuated left ventricular  
23 dysfunction, remodeling, fibrosis, lymphoangiogenesis and myocyte apoptosis in a murine

1 model of diabetic cardiomyopathy. In this model, hyperactivation of autophagy was apparently  
2 involved in the pathogenesis of diabetic cardiomyopathy and EMPA appears to exert its  
3 cardiac protective action against hyperglycemia-induced deterioration, at least in part, through  
4 the inhibition of excessive autophagy triggered by hyperglycemia. This process is mediated  
5 through inactivation of the GSK3 $\beta$  pathway, rather than through the AKT pathway, and this  
6 resulted in increased interaction of SRF with SRE and subsequent upregulation of cardiac  
7 actin expression (**Figure 8**).

8  
9 In this study, we also explored a possible Cx involvement in the cardiac protective pathway  
10 triggered by EMPA. Cardiac Cxs are proteins responsible for proper cardiac function. They  
11 form gap junctions that mediate electrical signaling and allow for synchronized contraction.  
12 Moreover, they can take part in several transduction pathways, interacting individually with  
13 intracellular signal molecules. In the present study, the protective EMPA pathway seems to  
14 involve Cx43 given that the use of EMPA on diabetic mice induced a decrease in lateral  
15 Cx43. The lateralization of Cx43 in cardiomyocytes consists in the displacement of Cx from  
16 the region of sarcolemma containing the intercalated discs, which allows the electrical and  
17 physical coupling between adjacent cardiomyocytes, to the lateral membrane, which allows  
18 the interaction between cardiomyocytes and the extracellular matrix. An increase in Cx43  
19 lateralization along with or without a decrease of Cx43 expression is often associated with  
20 cardiac alterations as has also been demonstrated in some rat model of diabetes<sup>38</sup>. Even  
21 though our diabetic model did not have these Cx43 changes (probably due to the  
22 characteristics of the different models), the significant reduction of Cx43 lateralization induced  
23 by EMPA in diabetic mice could represent its protective action that was partly reflected in the  
24 attenuation of ventricular dysfunction. Indeed, as the Cx43 expression of cardiomyocytes did

1 not change in diabetic mice after EMPA administration, a decrease in Cx43 lateralization  
2 could correspond to an increase of Cx43 at intercalated discs to form gap junctions. This  
3 increase of Cx43 at the intercalated discs is considered protective of cardiac dysfunctions by  
4 improving the electrical signal <sup>38</sup>. In the present study, Cx26 did not appear to be involved in  
5 the protective pathway induced by EMPA. However, its expression decreased in the heart  
6 tissue of diabetic mice, namely in cells other than cardiomyocytes. Indeed, the decrease in  
7 Cx26 was observed in cardiac lysate samples from diabetic mice by western blotting but not  
8 in cardiomyocytes by immunofluorescence. Cx26 represents the most recent Cx found in  
9 cardiomyocytes. It is expressed at level of several cytoplasmic organelles but not at level of  
10 intercalated discs and although its involvement in a gap junction-independent, intra- and inter-  
11 cellular communication has been suggested, its function is not yet clear <sup>18,42</sup>. It is noteworthy  
12 the EMPA's action on cardiac Cx expression of nondiabetic mice. Specifically, EMPA induced  
13 a significant decrease of Cx43 and Cx26 in cardiomyocytes of nondiabetic mice. This  
14 decrease could justify the increase in autophagy observed in the present study. Indeed, a  
15 negative regulatory role in autophagic flux has been demonstrated for Cx43, Cx32 and Cx26  
16 as well as the independence of this role from the gap junction function <sup>41</sup>. Cxs might suppress  
17 autophagy probably by recruiting at plasma-membrane autophagy-related proteins, as stated  
18 for Cx43 in mouse osteoblast cells <sup>43</sup>. Due to the scarce literature on cardiac Cx26, these  
19 results are important as they demonstrate a modulation of cardiac Cx26 expression in  
20 response to experimental diabetes or drugs, like EMPA. Finally, EMPA increased pS368-  
21 Cx43 in nondiabetic mice. In general, a reduced expression of Cx43 and an increase in its  
22 phosphorylated form, pS368-Cx43, are associated with cardioprotection <sup>38</sup>.  
23 Autophagy is an important mechanism organ homeostasis maintenance <sup>44,45</sup>. However, the  
24 role of autophagy in pathological conditions, particularly in diabetic cardiomyopathy, is still

1 controversial. Diabetic cardiomyopathy is associated with either down-regulation<sup>46-48</sup> or  
2 hyperactivation of autophagy in diabetic mice<sup>49,50</sup>. The controversial results are highly  
3 dependent on the type of diabetes, whereby autophagy is down-regulated in the hearts of  
4 type 2 diabetic mice, whereas it is up-regulated in the hearts of type 1 diabetic mice<sup>51</sup>. In  
5 different setting such as ischemic heart disease, autophagy plays a protective role during  
6 ischemia but is detrimental during reperfusion<sup>27</sup>.

7 Autophagy also occurs in the failing human heart, and upregulation has been reported in  
8 animal models of pressure overload-induced heart failure, where autophagy may antagonize  
9 ventricular hypertrophy by increasing protein degradation<sup>52</sup>. By contrast, in load-induced  
10 heart failure, the extent of autophagic flux can rise to maladaptive levels. Excessive  
11 autophagy induction leads to autophagic cell death and loss of cardiomyocytes and may  
12 contribute to the worsening of heart failure<sup>52</sup>. Accordingly, the relevance of empagliflozin as  
13 therapy of heart failure that down-regulate the cell death aspects of autophagy would be of  
14 great value in the treatment of patients with load-induced heart failure, as well as in patients  
15 with diabetic cardiomyopathy.

16 In the present study, we used a mouse model of type 1 diabetes induced by a single  
17 intraperitoneal injection of STZ, and a short course of diabetes (over 1 month) induced mild  
18 left ventricular dilation, mild systolic and diastolic dysfunction, a condition that mimics early  
19 human diabetic cardiomyopathy. We observed overactive autophagy in the myocardium of  
20 diabetic mice and in cardiomyocytes cultured in high glucose. Thus, overactive autophagy  
21 plays a key role in the pathogenesis of diabetic cardiomyopathy.

22 An important finding of the present study was that empagliflozin attenuated diabetic  
23 cardiomyopathy *via* down-regulation of GSK3 $\beta$  -mediated autophagy. Indeed, our *in vitro* data  
24 demonstrated that empagliflozin in turn promoted GSK3 $\beta$  inactivation through its

1 phosphorylation and activated nuclear translocation of SRF and its interaction with SRE,  
2 which was suppressed by hyperglycemia in the diabetic mouse model and high glucose in  
3 cardiomyocytes<sup>53</sup>. Here, high glucose-induced systolic cardiac dysfunction was accompanied  
4 by impairment of the SRF-SRE transcriptional complex for cardiomyocyte contractile genes  
5 and downregulation of cardiac actin. Cardiac function, as well as the SRF-SRE interaction  
6 were improved in the hearts of diabetic mice that had empagliflozin-induced hyperautophagy  
7 shutdown and in cardiomyocytes that had empagliflozin-induced inactivation of the autophagy  
8 inducer GSK3 $\beta$ , suggesting a key role of high glucose-triggered autophagy in diabetic  
9 cardiomyopathy and empagliflozin in reversing it.

10 In different setting of cardiac disease such as sunitinib-induced cardiac dysfunction<sup>53</sup> or type  
11 2 diabetic cardiomyopathy<sup>54</sup> autophagy plays a protective role. Here, EMPA is reported to up-  
12 regulate autophagy and ameliorate sunitinib-induced<sup>54</sup> cardiac dysfunction and type 2  
13 diabetic cardiomyopathy through enhancing cardiomyocyte autophagy via the AMPK/mTOR  
14 signaling pathway<sup>53,54</sup>. Furthermore, EMPA ameliorated non-alcoholic fatty liver disease or  
15 hepatic steatosis through enhancing hepatic macrophage autophagy via the AMPK/mTOR  
16 signaling pathway<sup>55-57</sup>. Comparisons are difficult to make in consideration of the different  
17 regulation of autophagy in different disease settings.

18 Empagliflozin exerts beneficial effects, in the context of heart failure with/without diabetes  
19<sup>12,13</sup>. However, the direct effects of empagliflozin on the heart and cardiac function remain  
20 poorly understood. In the present study, the antiautophagic effect of empagliflozin in response  
21 to high glucose was demonstrated. Furthermore, GSK3 $\beta$  may be both a downstream target of  
22 empagliflozin and an upstream trigger of the autophagy process. GSK3 $\beta$  has been reported to  
23 directly induce autophagy, and in its active state could induce phosphorylation of SRF and its  
24 degradation by autophagy in COS-7 cells<sup>34</sup>.

1 Different effects of EMPA on total GSK3 $\beta$  expression have been reported in type 2 diabetes-  
2 induced cognitive dysfunction<sup>58</sup>. Here, significant increase in the levels of GSK3 $\beta$  was  
3 observed in the high fructose diet induced hyperglycaemic mice with cognitive disease, which  
4 was attenuated by EMPA<sup>58</sup>. Again, in consideration of the subtle and variable regulation of  
5 autophagy in different organs and in different settings of disease, any comparison becomes  
6 difficult.

7 We recognize several limitations of our experimental model. Diabetic cardiomyopathy  
8 commonly occurs in patients with type 2 diabetes. We decided to choose a type 1 diabetic  
9 model because it is the only one that can reproduce systolic and diastolic dysfunction, unlike  
10 type 2 diabetic models that have primarily diastolic dysfunction. Furthermore, we believe that  
11 the model used in this study is closer to a mixture of type 1 and type 2 diabetes, as  
12 hyperglycemia has been shown to reduce insulin sensitivity in the target organs, including  
13 endothelial cells. In insulin target tissues, such as the skeletal muscle, the liver and  
14 the adipose tissue, the hyperglycemia induces itself insulin resistance mediated both by the  
15 prevention of Akt activation and the inhibition of insulin receptor substrate (IRS)-1 function<sup>59</sup>.  
16 Furthermore, in severely diabetic patients the correction of hyperglycemia-  
17 related hyperosmolarity improves patients' sensitivity to low doses of therapeutically  
18 administered insulin<sup>60,61</sup>. Hyperosmolarity also induces insulin resistance in healthy subjects  
19<sup>62</sup>. We have previously shown that high glucose concentrations, mimicking the *in vivo*  
20 conditions of type 1 and type 2 diabetes, by themselves attenuate the metabolic, anti-  
21 inflammatory and anti-atherogenic insulin signaling through a down-regulation of  
22 PI3K/Akt/eNOS pathway, and impair the ability of human aortic endothelial cells to respond to  
23 insulin, leading to the development and progression of insulin resistance and to the net  
24 promotion of an overall NO-deficient endothelial pro-atherogenic phenotype<sup>63</sup>.



1 A second limitation is the weight loss of mice treated with STZ, which likely was due to the  
2 acute onset and progression of diabetes. However, the impact of weight loss on study quality  
3 was limited, as we excluded animals with the greatest signs of discomfort. Furthermore, we  
4 did not explore the anti-autophagic effects of empagliflozin in rendered diabetic transgenic  
5 mice overexpressing GSK3 $\beta$ . Our experiments were not conducted in both sexes, so we do  
6 not know which are the possible influences of estrogenic tone on insulin resistance. Finally,  
7 the evidence alone of SGLT2 expression in the total heart is not sufficient to bind all the  
8 effects of empagliflozin that we have demonstrated to the receptor. The experiments were not  
9 repeated in a knock-out model for SGLT2 (conditional knock-out that has the only  
10 downregulation of SGLT2 in the heart and not in other tissues, e.g. the kidney), which would  
11 allow us to somehow rule out whether the effects of empagliflozin are through the cardiac  
12 receptor or are systemic and indirect.

13 In conclusion, EMPA attenuated left ventricular dysfunction and remodeling in a mouse model  
14 of diabetic cardiomyopathy, and the mechanism involved inactivation of the GSK3 $\beta$  pathway,  
15 induction of SRF nuclear translocation, and inhibition of GSK3 $\beta$  -mediated hyperactive  
16 autophagy (**Figure 8**) as well as Cx43 laterization. The results of the current study establish a  
17 novel role for EMPA in cardiac protection through the autophagy machinery. The interaction  
18 between EMPA and the GSK3 $\beta$  pathway is a new therapeutic target for diabetic  
19 cardiomyopathy.

20  
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6  
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8 Data are available on request

9  
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## 16 17 **Legend to Figures**

### 18 **Figure 1: Effects of streptozotocin and empagliflozin on cardiac function in mice.**

19 Panels A, Fractional Shortening (FS), B, Ejection Fraction (EF), C, E/A ratio, D, left ventricular  
20 end-diastolic diameter, and D, left ventricular end-systolic diameter measured by  
21 echocardiography in the different treatment groups such as CNTRL (saline vehicle), EMPA,  
22 STZ and STZ+EMPA. Panels F-I, Representative M-mode images in parasternal long-axis  
23 view, B-mode images in parasternal short-axis view, recordings of mitral valve inflow by

1 pulsed wave Doppler in apical four-chamber view and ECG traces among different groups.  
2 Data are expressed as means  $\pm$  standard deviations (one way-ANOVA, Tukey Honestly  
3 Significant Difference (HSD) post-hoc test,  $n = 8$  mice per treatment group).  $**P < 0.01$  vs  
4 CNTRL (saline vehicle);  $^{\circ\circ} P < 0.01$  vs STZ. CNTRL, control; EMPA, empagliflozin; STZ,  
5 streptozotocin.

6  
7 **Figure 2: Empagliflozin exerts anti-fibrotic, anti-apoptotic and anti-senescent effects in**  
8 **diabetic hearts. Panels A-C. Heart morphology and fibrosis. A:** Hematoxylin-eosin  
9 staining. Scale bar 20  $\mu\text{m}$ . Original magnification 400X. **B:** Sirius Red staining. Representative  
10 images from murine heart sections. Scale bar 20  $\mu\text{m}$ . Original magnification 400X. **C:** Image  
11 analysis data of fibrosis staining are expressed as mean of fibrosis area/tissue area  $\pm$   
12 standard deviation;  $** p < 0.01$  vs CNTRL (saline vehicle);  $\text{\textcircled{S}}\text{\textcircled{S}}\text{\textcircled{S}} p < 0.001$  vs EMPA;  $^{\circ} p < 0.05$   
13 vs STZ. **Panels D-G. Heart apoptosis and senescence. D:** Representative images of tunel  
14 assay on murine heart sections. Arrows indicate apoptotic nuclei. Scale bar 20  $\mu\text{m}$ . Original  
15 magnification 400X. **E:** Representative images of  $\beta$ -gal expression from murine heart  
16 sections. Arrows indicate  $\beta$ -galactosidase deposits. Scale bar 10  $\mu\text{m}$ . Original magnification  
17 1000X. Inserts are a magnification of the squared areas. **F:** Quantification of tunel positive  
18 cells is reported as mean percentage of tunel positive cells on total cell number (ranging  
19 between 600 and 2000)  $\pm$  standard deviation;  $* p < 0.05$  vs CNTRL;  $\text{\textcircled{S}}\text{\textcircled{S}} p < 0.01$  vs EMPA;  $^{\circ\circ}$   
20  $p < 0.01$  vs STZ. **G:** Image analysis data of  $\beta$ -gal expression are expressed as mean of  $\beta$ -gal  
21 positivity/tissue area  $\pm$  standard deviation,  $* p < 0.05$  vs CNTRL;  $\text{\textcircled{S}} p < 0.05$  vs EMPA.  
22 Each experiment was repeated three times, on  $n=3$  mice and on 3 not consecutive slices of  
23 tissue for each mouse. Statistical analysis was done by one way-ANOVA test and Tukey

1 Honestly Significant Difference (HSD) post-hoc test.

2 **Panel H: Western blots for p16INK4A.** Representative western blots of p16INK4A.

3 Densitometric analysis of western blot was normalized to  $\beta$ -actin used as internal control.

4 Results are reported as mean  $\pm$  standard deviation of  $n = 3$  mice, each experiment repeated  
5 at least three times. Statistical analysis was done by one way-ANOVA test and Tukey

6 Honestly Significant Difference (HSD) post-hoc test \* $p < 0.05$ , vs CNTRL;  $^{\circ\circ\circ}p < 0.001$  vs  
7 EMPA.

8

9 **Figure 3. Empagliflozin exerts anti-angiogenic and anti-remodeling effect in diabetic  
10 and control hearts. Panel A: CD31, ASMA and VE-cadherin immunoreactivity.**

11 Representative images of CD31 (a-d longitudinal section, e-h cross-section; scale bar 20  $\mu\text{m}$ ,  
12 original magnification 400X), ASMA (i-n; scale bar 100  $\mu\text{m}$ , original magnification 4X) and VE-  
13 cadherin (o-r; scale bar 20  $\mu\text{m}$ , original magnification 400X) expression on murine heart  
14 sections. Graphs represent quantification of CD31 and VE-cadherin positive vessels and of  
15 CD31, ASMA and VE-cadherin immunoreactivity. The percentage of vessel number, mean  $\pm$   
16 standard deviation, has been obtained as described in materials and methods section; \*  $p <$   
17 0.05, \*\*\*  $p < 0.001$  vs CNTRL (saline vehicle); §  $p < 0.05$ , §§§  $p < 0.001$  vs EMPA;  $^{\circ\circ\circ} p < 0.001$   
18 vs STZ. Data of CD31, ASMA and VE-cadherin reactivity are expressed as mean of CD31 or  
19 ASMA or VE-cadherin positive area/tissue area  $\pm$  standard deviation; \*\*\*  $p < 0.001$  vs CNTRL;  
20 §  $p < 0.05$ , §§§  $p < 0.001$  vs EMPA;  $^{\circ} p < 0.05$  vs STZ. Each experiment was repeated three  
21 times, on  $n=3$  mice and on 3 not consecutive slices of tissue for each mouse. Statistical  
22 analysis was done by one way-ANOVA test and Tukey Honestly Significant Difference (HSD)  
23 post-hoc test.

1 **Panel B: Western blots for Collagen III and VEGFA.** Representative western blots of  
2 Collagen III. **Panel C: Western blot for VEGFA.** Representative western blots of VEGFA.  
3 Densitometric analysis of Panel B and Panel C western blot was normalized to GAPDH used  
4 as internal control. Results are reported as mean  $\pm$  standard deviation of n = 5 mice, each  
5 experiment repeated at least three times. Statistical analysis was done by one way-ANOVA  
6 test and Tukey Honestly Significant Difference (HSD) post-hoc test \*p < 0.05, \*\*p < 0.01 vs  
7 CNTRL; °p < 0.01 vs STZ.

8  
9 **Figure 4. Empagliflozin alleviates excessive autophagy in diabetic hearts. Panel A.**  
10 **Heart tissue autophagy.** Representative confocal microscopy images of green detection  
11 reagent indicating the presence of autophagic vacuoles. Scale bar 100  $\mu$ m. Original  
12 magnification 10X. Graph represents image analysis of fluorescence reported as means  $\pm$   
13 standard deviation; \*\*p < 0.01, \*\*\*p < 0.001 vs CNTRL (saline vehicle); §§ p < 0.01, §§§ p <  
14 0.001, vs EMPA; °°° p < 0.001 vs STZ. Each experiment was repeated three times, on n=3  
15 mice and on 3 not consecutive slices of tissue for each mouse. Statistical analysis was done  
16 by one way-ANOVA test and Tukey Honestly Significant Difference (HSD) post-hoc of n = (n  
17 = 3 mice, each experiment repeated at least three times). **Panels B-F: Western blots and**  
18 **densitometry for SGLT2 (B) and autophagy markers such as LC3 (C), p62 (D), p-mTOR**  
19 **(E), pAMPK1/2 (F) on heart tissue lysates.** GAPDH or  $\beta$ -actin were used as internal controls.  
20 Results are reported as mean  $\pm$  standard deviation of n = 5 mice, each experiment repeated  
21 at least three times. Statistical analysis was done by one way-ANOVA test and Tukey  
22 Honestly Significant Difference (HSD) post-hoc test \*p < 0.05, \*\*p < 0.01 vs CNTRL; °p < 0.05,  
23 °°p < 0.01 vs STZ, ^ p < 0.01 vs all treatment groups.

1 **Figure 5. Empagliflozin induces sirtuins, activates PI3/AKT and SRF-SRE and inhibits**  
2 **GSK3 $\beta$  in diabetic and control hearts. Panel A: Western blots of SIRT1, SIRT3.**  
3 Representative images of western blot analysis of SIRT1 and SIRT3 in heart tissue lysates.  
4 **Panel B: EMSA assessing the SRF-SRE binding activity in cardiac nuclear proteins.**  
5 The specificity of the SRF- SRE complex formation was determined by competition with both  
6 unlabeled oligonucleotides (cold SRF probe) and by the presence of a supershift after the  
7 addition of an anti-SRF antibody. Here shown is a representative EMSA from three  
8 independent experiments. Densitometry of protein-DNA complexes in three different EMSA  
9 experiments. **Panel C: Western blot of myogenic transcription factors and sarcomeric**  
10 **proteins.** Representative images of western blot and related densitometric analysis of MRTF  
11 and cardiac actin in heart tissue lysates. **Panel D: Western blots for pAKT, AKT and**  
12 **pGSK3 $\beta$ .** Representative images of western blot analysis of pAKT, AKT and pGSK3 $\beta$  in heart  
13 tissue lysates and related densitometric analysis. GAPDH or  $\beta$ -actin were used as internal  
14 controls. Results are reported as mean  $\pm$  standard deviation (n = 5 mice, each experiment  
15 repeated at least three times). Statistical analysis was done by two way-ANOVA test and  
16 Tukey Honestly Significant Difference (HSD) post-hoc test \*p<0.05, \*\*p<0.01, vs CNTRL;  
17  $^{\circ}$ p<0.05,  $^{\circ\circ}$ p<0.01, vs STZ

18  
19 **Figure 6. Empagliflozin inhibits autophagy through AKT/GSK3 $\beta$  signaling pathway,**  
20 **decreases SRF phosphorylation and reactivates the cardiomyogenic transcriptional**  
21 **complex SRF-SRE in cardiomyocytes chronically exposed to high glucose. Panel A:**  
22 **Western blot for SGLT2 and AKT/GSK3 $\beta$  signaling.** Representative western blots for  
23 SGLT2, pAKT, AKT, pGSK3 $\beta$ , pGSK3 $\beta$  and related densitometric analysis in cardiomyocyte

1 lysates.  $\beta$ -actin was used as internal control. Results are reported as mean  $\pm$  standard  
2 deviation of three different gels ( $n = 3$  independent experiments). Statistical analysis was  
3 done by one way-ANOVA test and Tukey Honestly Significant Difference (HSD) post-hoc test  
4 \* $p < 0.05$  \*\* $p < 0.01$  vs CNTRL; °  $p < 0.05$  °°  $p < 0.01$  vs Glu 30 mM; ^  $p < 0.05$  vs WT; #  $p < 0.05$   
5 ## $P < 0.01$  vs Glu 30 mM + WT. **Panels B. Cardiomyocyte autophagy.** Representative  
6 images of autophagic cardiomyocytes after different treatments (a-i). Scale bar 50  $\mu$ m. Graph  
7 represents the image analysis of fluorescent autophagic vacuoles. Data are reported as mean  
8 of green fluorescence intensity/total nuclear area  $\pm$  standard deviation of  $n=3$  wells for each  
9 treatment. Statistical analysis was done by one way-ANOVA and Tukey Honestly Significant  
10 Difference (HSD) post-hoc test \* $p < 0.05$  \*\* $p < 0.01$  vs CNTRL; #  $p < 0.05$ , ##  $p < 0.01$ , vs WT.  
11 **Panel C:** ELISA assessing the SRF phosphorylation in cardiomyocytes. Results were  
12 expressed as ratio between pSRF normalized for cell nuclei/SRF normalized for cell nuclei  
13 or GAPDH normalized for cell nuclei, and reported as mean  $\pm$  standard deviation of three  
14 replicates for each treatment,  $n = 3$  independent experiments. Statistical analysis was done by  
15 one way-ANOVA test and Tukey Honestly Significant Difference (HSD) post-hoc test \*\*\* $p <$   
16  $0.001$  vs CNTRL; °°  $p < 0.05$  vs Glu 30 mM. **Panel D: EMSA assessing the SRF-SRE**  
17 **binding activity in cardiomyocyte nuclear proteins.** The specificity of the SRF- SRE  
18 complex formation was determined by competition with unlabeled oligonucleotides (cold SRF  
19 probe). Here shown is a representative EMSA from three independent  
20 experiments. Densitometry of protein-DNA complexes in three different EMSA experiments.  
21 Statistical analysis was done by one way-ANOVA and Tukey Honestly Significant Difference  
22 (HSD) post-hoc test \* $p < 0.05$  \*\* $p < 0.01$  vs CNTRL; °  $p < 0.05$  °°  $p < 0.01$  vs Glu 30 mM; ^  
23  $p < 0.05$  ^°  $p < 0.01$  vs WT; #  $p < 0.05$  ## $p < 0.01$  vs Glu 30 mM + WT.

1 **Figure 7. Cardiac connexin expression. Panel A: Heart tissue Cx43.** Representative  
2 western blots of Cx43 and pS368-Cx43 in heart tissue lysates. and quantification.  
3 Densitometric analysis was normalized to GAPDH used as internal control. Results are  
4 reported as mean  $\pm$  standard deviation of three different gels (n=3). \*p<0.05, \*\*p<0.01, vs  
5 CNTRL; § p<0.05, §§ p<0.01 vs EMPA. **Panel B: Cardiomyocytes Cx43.** Representative  
6 images of Cx43 (a-d) and pS368-Cx43 (e-h) immunofluorescence on ventricle hearts from  
7 control or treated mice. Scale bar 50  $\mu$ m. Original magnification 200X. Relative image  
8 analysis of Cx43 and pS368-Cx43 immunofluorescence are shown on the right side; \* p<0.05,  
9 \*\* p<0.01 vs CTRL; §§ p<0.01 vs EMPA. **Panel C: Cx43 lateralization.** (i-n) Confocal laser  
10 scanning microscopy: representative three-dimensional images of the maximum intensity  
11 projection of mice cardiomyocyte longitudinal sections. Cx43 (red) is mainly expressed in the  
12 intercalated disks whereas in CNTRL and STZ-treated mice it is also present along the lateral  
13 border (arrow). Scale bar 20  $\mu$ m. Original magnification 400X. (o-r) Representative images of  
14 Cx43 (red) and N-cadherin (green) double immunofluorescence. Yellow corresponds to red  
15 and green colocalization. Arrows point Cx43 present on lateral margins of cardiomyocytes in  
16 different experimental conditions. Scale bar 20  $\mu$ m. Original magnification 400X. Image  
17 analysis of lateral Cx43 immunofluorescence is shown on lateral side; ° p<0.05 vs STZ. **Panel**  
18 **D: Heart tissue Cx26.** Representative western blots of connexin Cx26 and quantification.  
19 Densitometric analysis was normalized to GAPDH used as internal control. Results are  
20 reported as mean  $\pm$  standard deviation of three different gels (n=3). \*p<0.05, \*\*p<0.01, vs  
21 CNTRL; § p<0.05 vs EMPA. **Panel E: Cardiomyocytes Cx26.** Representative images of  
22 Cx26 immunofluorescence on ventricle hearts from control or treated mice Scale bar 50  $\mu$ m.  
23 Original magnification 200X. Relative image analysis of Cx26immunofluorescence is shown  
24 on the right side; \* p<0.05 vs CNTRL.

1 Each experiment was repeated three times, on n=3 mice and on 3 not consecutive slices  
2 of tissue for each mouse.

3

4 **Figure 8. Schematic representation of SRF-SRE interaction in mice exposed to**  
5 **streptozotocin and empagliflozin.** MYOCD, SRF and MRTF maintain cardiomyocyte  
6 contractile gene expression. In conditions of STZ-induced diabetes, GSK3 $\beta$  is activated,  
7 induces autophagy and degrades SRF through GSK3 $\beta$  phosphorylation motif (T/  
8 SPPXS):SPDSPPRSDPT. This leads to loss of SRF-SRE interactions at cardiomyocyte  
9 promoters. EMPA inhibits excessive autophagy by inhibiting GSK3 $\beta$ , leading to  
10 reactivation of cardiomyocyte transcriptional complex.

11 Abbreviations: MYOCD, myocardin; SRF, serum response factor; MRTF, myocardin-  
12 related transcription factor; SRE, serum response element; STZ, streptozotocin; GSK3 $\beta$ ,  
13 glycogen synthase kinase 3 beta.

14

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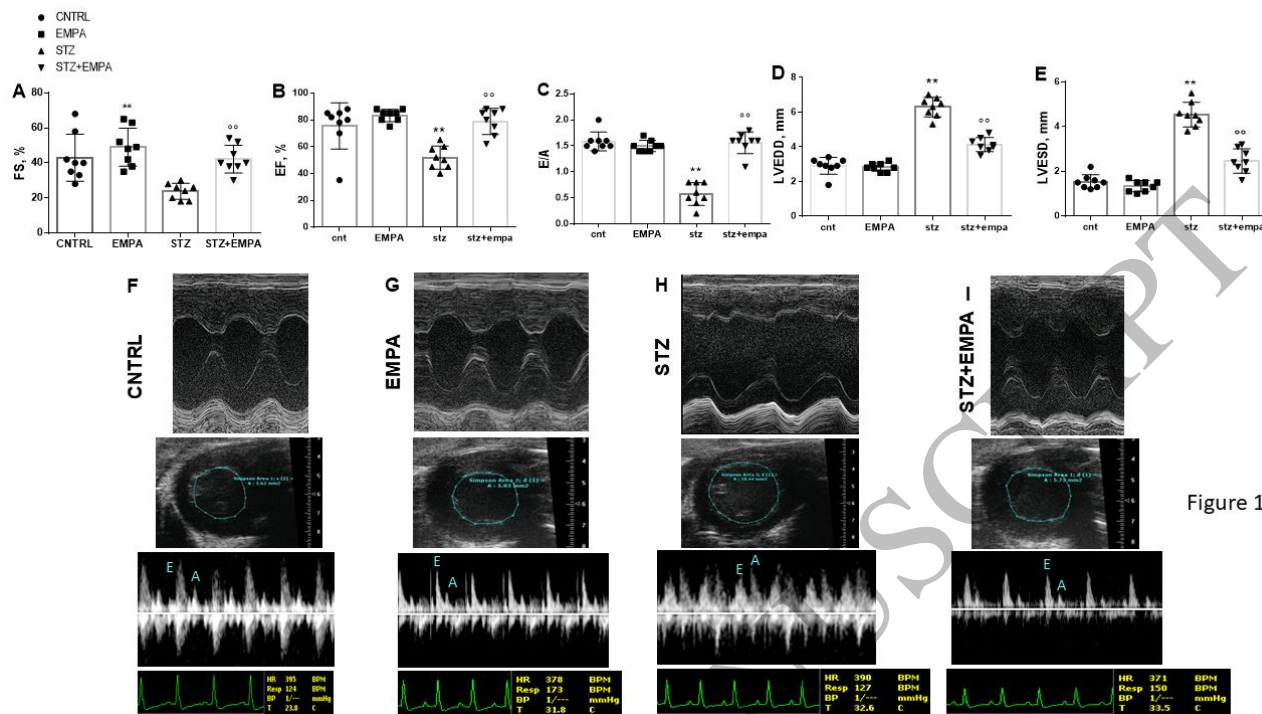


Figure 1

Figure 1  
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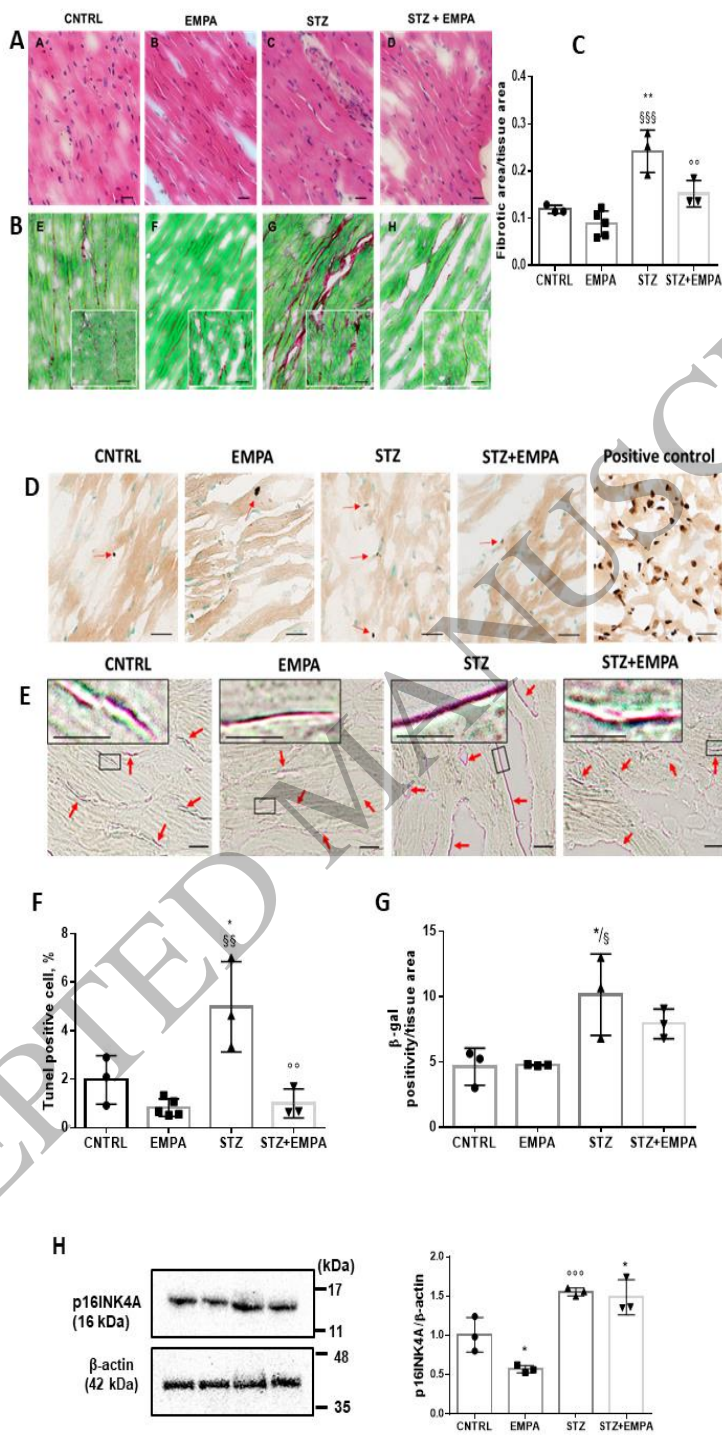
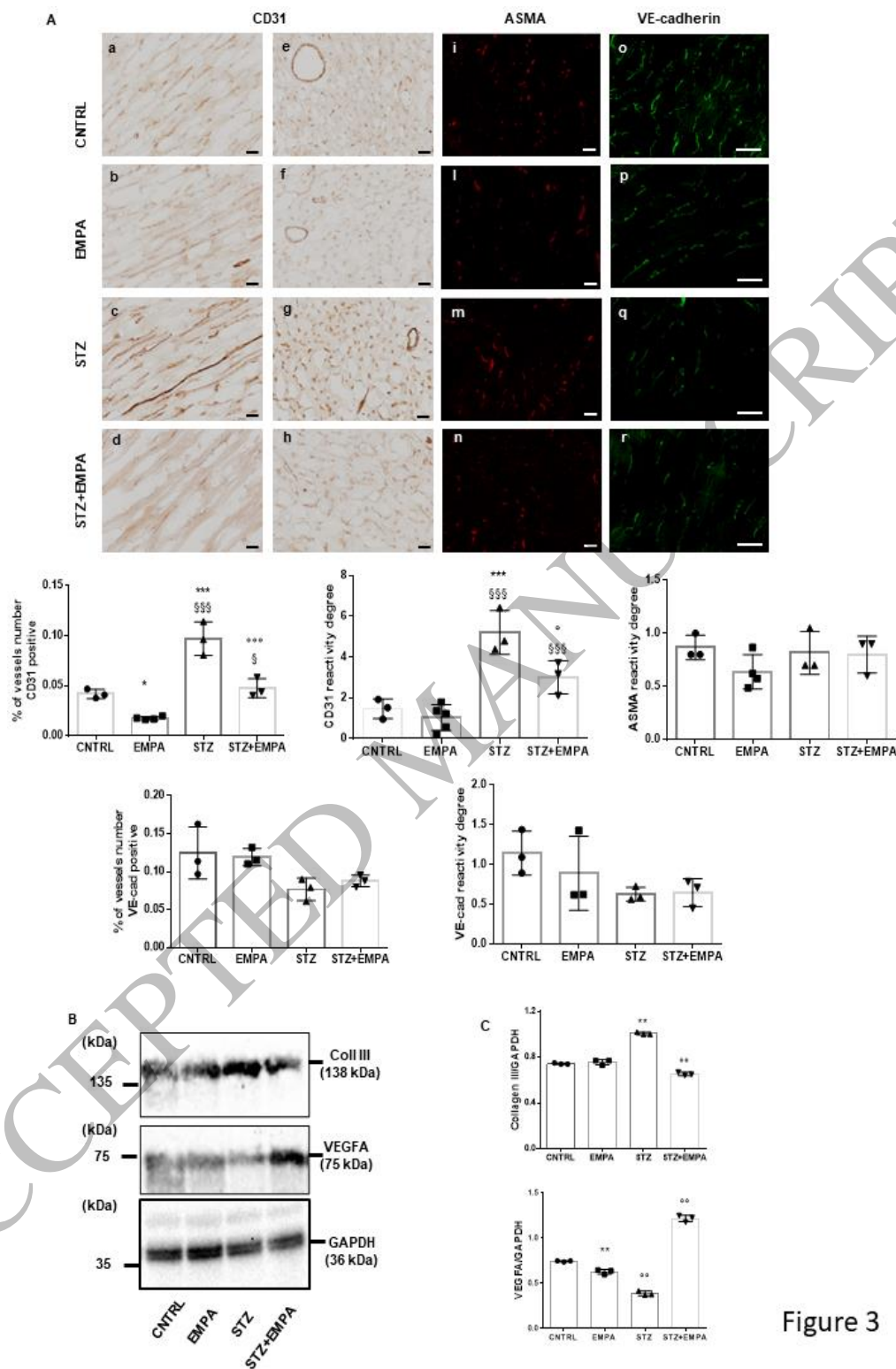


Figure 2

Figure 2

Figure 2  
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**Figure 3**  
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**Figure 3**

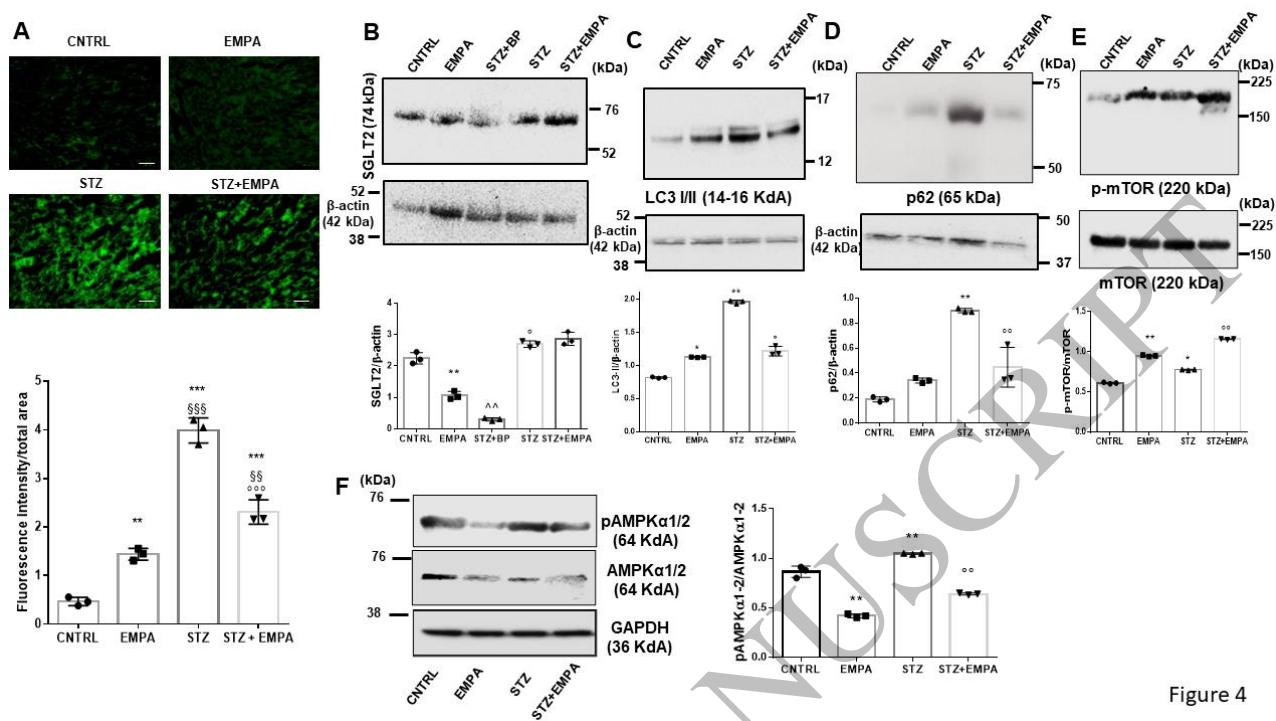


Figure 4

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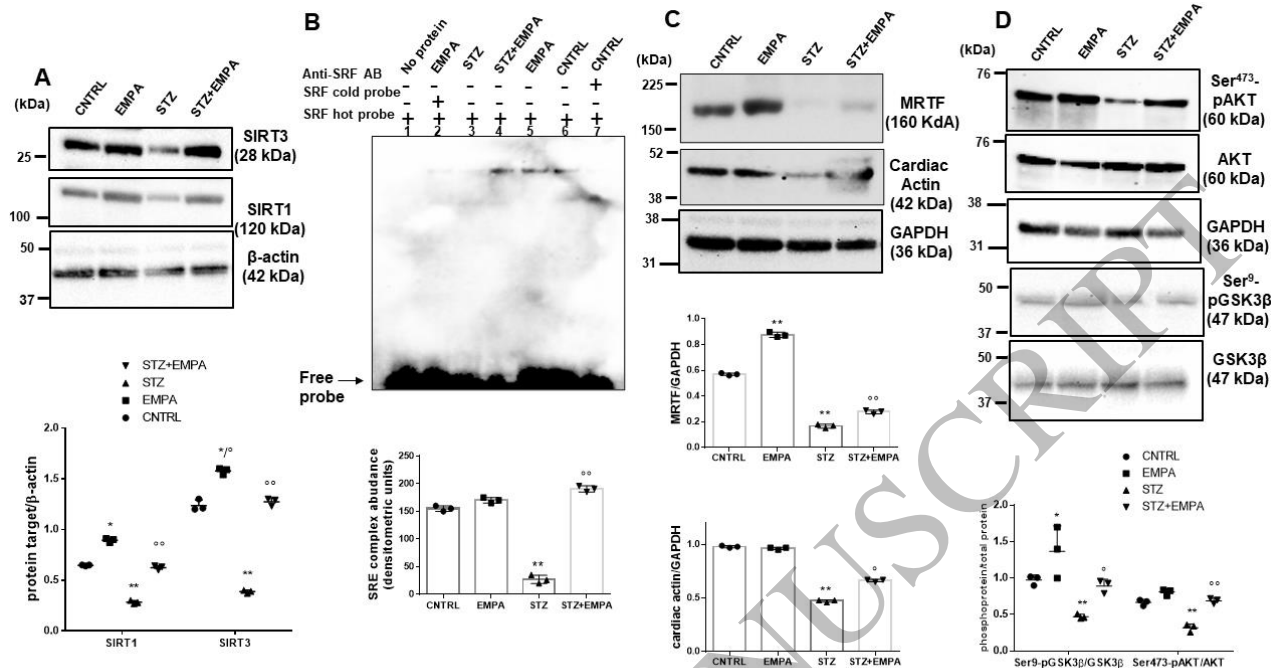


Figure 5

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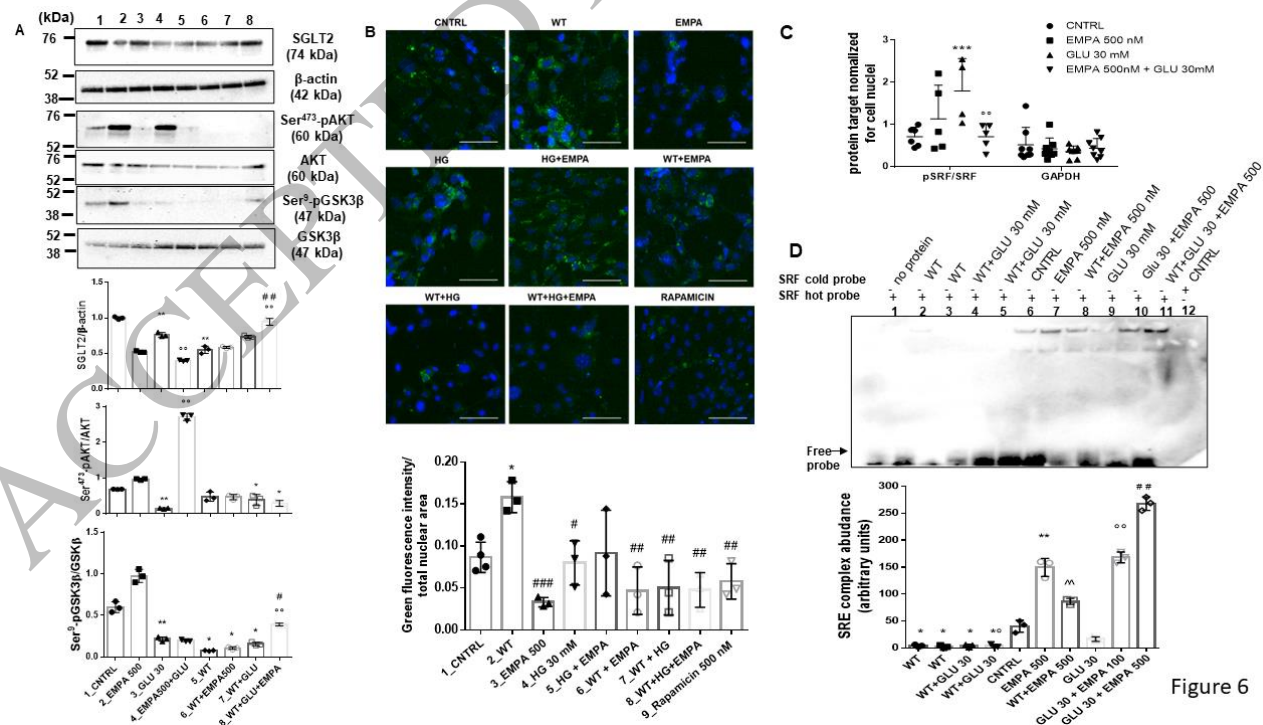


Figure 6

Figure 6  
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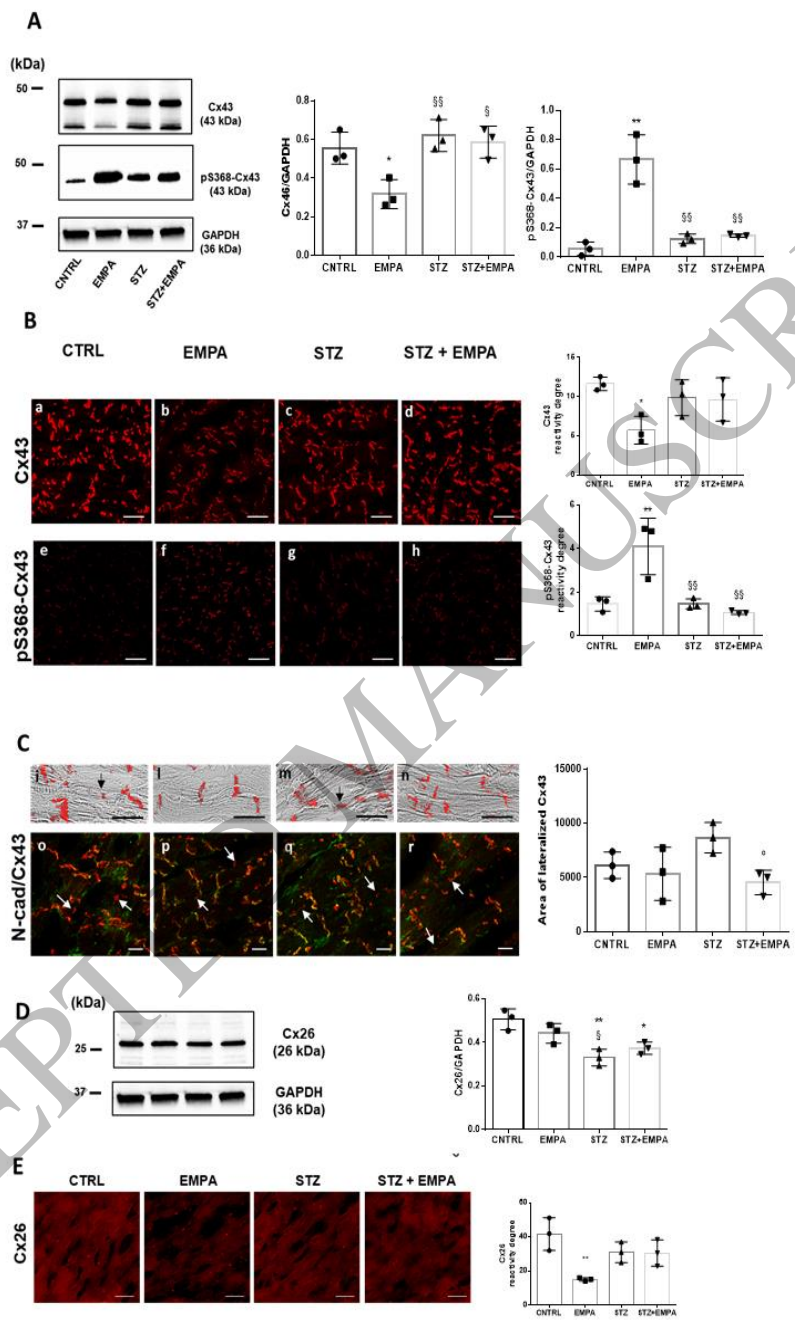


Figure 7  
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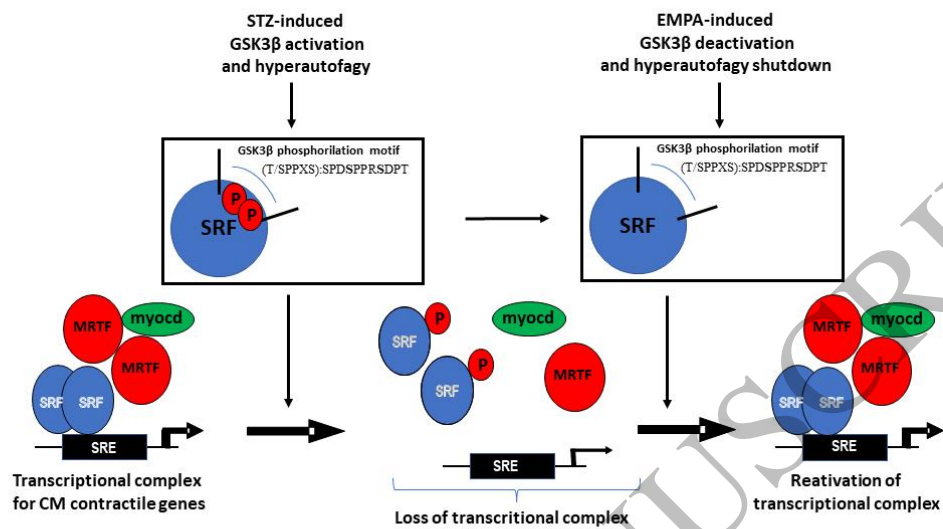


Figure 8

Figure 8  
170x96 mm (0.8 x DPI)