

# Postoperative pulmonary compensation after lung cancer surgery: a shift towards a modern and comprehensive model—a narrative review

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**Background and Objective:** Pulmonary lobectomies result in permanent tissue loss and postoperative compensatory adaptations of the remaining lung volume. The structural remodelling processes of the tracheobronchial tree significantly influence postoperative functional tests and predispose to complex scenarios such as redistribution of the pulmonary airflow rate and steno-obstructive phenomena affecting patients’ quality of life. Moreover, in the context of a second primary lung neoplasm, the reduced adult pulmonary parenchymal plasticity and residual exercise intolerance due to postoperative changes rises anaesthesiologic challenges in case of need for surgical re-intervention. The aim of this unsystematic narrative review is to highlight correlations between morpho-dynamic changes of the tracheobronchial tree and postoperative steno-obstructive symptoms in patients undergoing lung surgery.

**Methods:** An unsystematic narrative review of published article about post-operative pulmonary compensation after lobectomies for NSCLC by querying PubMed and Scopus databases was conducted. According to the Medical Subject Heading (MeSH) items, search terms were: pulmonary compensation or remodel; lung cancer; surgery; post-operative pulmonary function; anatomic changes; lung mechanics; ventilation; one-lung ventilation; post-operative pulmonary tests. Inclusion criteria were: papers written in English about post-resectional anatomic and dynamic pulmonary compensation [2010–2023].

**Key Content and Findings:** This review will focus on pathophysiological and clinical challenges about of postoperative pulmonary compensations in non-small cell lung cancer (NSCLC) patients undergoing lobectomy.

**Conclusions:** Postoperative pulmonary adaptive response involves morpho-structural and dynamic compensations of air-flow redistribution resource in response to surgical stress as the epiphenomenon of a complex cooperation between the host response, recruitment of alveolar-capillary reserves and fluid dynamics influencing postoperative respiratory function and medium-long term sequelae in surgical NSCLC patients.

**Keywords:** Non-small cell lung cancer (NSCLC); pulmonary lobectomy; pulmonary ventilation; one-lung ventilation (OLV)

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## Introduction

Lung cancer is the second most common neoplasm and the first cause of cancer-related death in both sexes with a projected increasing incidence, especially in women (1,2). A straightforward surgery is mandatory for early-stage non-small cell lung cancer (NSCLC) and pulmonary lobectomy represents the gold standard approach for radical therapy (3). However, anatomical resections result in permanent tissue loss and postoperative impairment of pulmonary function. Although ancestral thoughts held this loss was directly proportional to the volume of resected parenchyma, evidence has suggested a new concept of postoperative remodelling adaptation, overcoming the exclusive anatomical theory of vicarious compensation. Moreover, variability in medium- and long-term functionality can be not justified by a mere hypertrophic-volumetric model without taking in account postoperative air dynamic variations within the tracheobronchial tree, such as changes in flow rate and redistribution, pressure, and wall shear stress.

The aim of this unsystematic narrative review is to highlight correlations between morpho-dynamic changes of the tracheobronchial tree and the onset of complex steno-obstructive clinical scenarios in patients undergoing minimally invasive or open pulmonary lobectomy. We present this article in accordance with the Narrative Review reporting checklist (available at <https://shc.amegroups.com/article/view/10.21037/shc-23-26/rc>).

## Methods

An unsystematic narrative review of published articles about post-operative pulmonary compensation after lobectomies for NSCLC was conducted.

### Sources of information

Research was done by accessing the following databases: PubMed-MEDLINE and Scopus.

### Delimiting search terms

Delimiting search MeSH terms were: pulmonary compensation or remodel; lung cancer; surgery; post-

operative pulmonary function; anatomic changes; lung mechanics; ventilation; one-lung ventilation; post-operative pulmonary tests.

### Selection criteria

Inclusion criteria were: papers written in English about post-resectional anatomic and dynamic pulmonary compensation [2010–2023] (Table 1).

## Discussion

### Postoperative anatomical modifications of the tracheobronchial tree

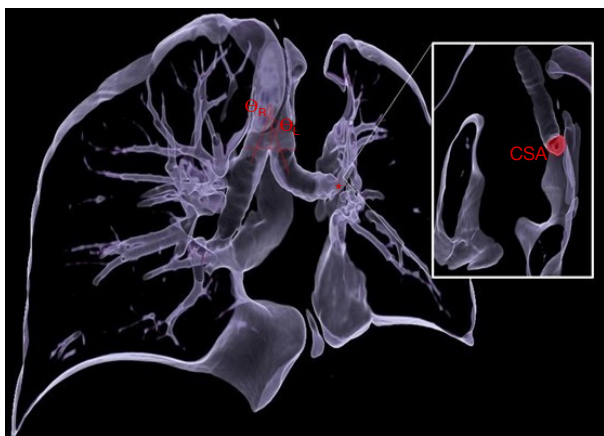
Lobectomies induce postoperative adaptive changes in the pleural cavity and chest wall (4). After left upper lobectomy, left main bronchus distants in a sigmoidal pattern, as consequence of an anatomical remodelling resulting from an upward displacement of the ipsilateral hemidiaphragm and of the remaining inferior lobe with concomitant twisting of secondary bronchi and bending downstream the sutured bronchial stump (5).

Moreover, variations in the angular incidence and ventilatory surface of the tracheobronchial tree contribute to morphological alterations. In fact, as a synergistic effect to anatomical adaptive processes, the in-plane bifurcation angles between the trachea and the main bronchi, as well as the cross-sectional area (CSA) of the remaining ipsilateral lobar bronchus, undergo substantial structural changes. In the case of upper lobectomies, the incident angle of the tracheobronchial axis decreases on the resected lung. Gu *et al.* (6), in a single-centre retrospective analysis including 18 patients undergoing left upper VATS lobectomy, reported a significant reduction of the left main bronchial angle ( $\Delta\theta$  left =  $-13.4^\circ$ ,  $P < 0.01$ ) at the expense of an equal increase of the contralateral one ( $\Delta\theta$  right =  $+10.5^\circ$ ,  $P < 0.01$ ).

Increases in right  $\Delta\theta$  were also observed in right lower lobectomies with an average gain of 10.94%, while in left lower procedures, geometrical alterations of the remaining lobe appear less marked. With respect to the CSA, measured at the middle point of the remaining lobar lobe, it is significantly inferior in all lobectomies, indicating a tendency to narrow and predisposing to compensatory stenosis with a consequent alteration of fluid dynamic parameters (7).

**Table 1** The search strategy summary

Items	Specification
Date of search	Last update: May 31,2023
Databases and other sources searched	PubMed, Scopus
Search terms used	pulmonary compensation or remodel; lung cancer; surgery; post-operative pulmonary function; anatomic changes; lung mechanics; ventilation; one-lung ventilation; post-operative pulmonary tests
Timeframe	2010–2023
Inclusion criteria	papers written in English about post-resectional anatomic and dynamic pulmonary compensation
Selection process	Three researches, independently



**Figure 1** Pulmonary morphological adaptation after lobectomy.  $\Theta_R$ , right tracheobronchial angle;  $\Theta_L$ , left tracheobronchial angle; dot-lines, carinal plane; \*, residual lobar bronchus. CSA, cross-section area [chest CT with 20 mm MPVR. Case of a previous pulmonary left upper lobectomy. © “SS. Annunziata” University Hospital of Chieti (Italy) – Department of General and Thoracic Surgery.

In general, the greatest reduction is observed in upper lobectomies and, in particular, in left ones with a median CSA decrease ranging from 10–75% (6,7). Non-significant reductions are also reported in lower lobectomies demonstrating postoperative rotation vectors substantially do not affect the incident angle of the origin of the residual upper lobar bronchus (particularly for right lower cases where effects are presumably mitigated by the pin action of the bronchus intermedius stump) (Figure 1).

### *Airflow and fluid dynamics alterations*

Regardless of the extent and laterality of the resected lobe,

changes in the fluid dynamic parameters can be found in the post-operative period.

Even if inlet flow area is equal, there is a substantial increase in the flow velocity, wall pressure, wall shear stress, and pressure drop causing rearrangement of the airflow rate.

In general, post-operative geometries are subject to a statistically significant increase in flow velocity in the entire tracheobronchial tree with significant increases in the case of left upper lobectomies due to the severe reduction of the CSA of the bronchus downstream the resection and determining a high-velocity gradient comparable to the carinal peak value with transmission up to the smallest sublobar bronchial generations (8).

In terms of pressure, maximum peak values are observable postoperatively in the trachea and main bronchi and the entire tracheobronchial tree appears to be subject to an augmented pressure increase in relation to a constant relationship between the pressure drop and the inlet area suggesting an increase of airway resistance (8).

Therefore, there is a generalized worsening of aerodynamic conditions and the increase in flow proportionally remodulates both wall shear stress up to the second bronchial (lobar) generations and lobar airflow rates. Conversely, the right lung receives the greatest redistribution in flow (9).

### *Physiopathology of compensatory adaptation*

Complex postoperative structural and dynamic mechanisms in the tracheobronchial tree translate into pulmonary pathophysiological changes as the result of postoperative compensation and promoting several clinical scenarios. Historically, parenchymal adaptation was attributed to

a mere proportional increase in residual lung tissue and to a proportional increase in residual ipsilateral lobar ventilation-perfusion ratio (10,11).

However, the concept of post-lobectomy redistribution cannot be justified by a simple pulmonary hyperinflation action on preexisting septal alveolar tissue; rather, it represents the epiphenomenon of a complex cooperation between recruitment of alveolar capillary reserves and static and dynamic remodelling of the airways, being the volumetric increase only a functional aspect not justifying clinical variability in lobectomized patients.

The role of growth factors has been implicated in this process. Experimental models have shown that the induction of leukocyte chemotaxis in the residual lung parenchyma causes a transient increase in mitogenic activity lasting few months after lung resection (12,13). Regarding the effect of perioperative IGF-1/IGFBP-3, serum concentrations on capillary proliferation and angiogenesis (14), a meta-analysis has demonstrated a high incretory variability due to various inhibiting factors, such as aging-related decrease in titers of about 14% per decade of life (15,16).

The extent of alveolar capillary remodeling can be deduced proportionally from changes in post-operative respiratory function and, in particular, from the analysis of fluctuations of the diffusing lung capacity for carbon monoxide (DLCO) and of its ratio with total alveolar volume.

Yokoba *et al.* (17), in a prospective study enrolling 59 NSCLC patients undergoing VATS lobectomy, reported a significant drop in DLCO three months after surgery with a trend towards a progressive recovery at six and twelve months. Conversely, DLCO/alveolar volume did not change for any type of pulmonary resection, except for three months after right upper lobectomy (preoperative, 3-, 6-, 12-months DLCO/alveolar volume:  $102.62 \pm 23.88$ ,  $94.95 \pm 20.51$ ,  $98.56 \pm 22.42$  and  $98.87 \pm 22.54$ , respectively;  $P < 0.003$ ). The results suggest a substantial absence of significant changes in gas diffusibility at the alveolar-capillary interface and an adaptive increase in the capillary bed due to early changes in pulmonary hemodynamics under conditions of a reduced pulmonary volume after surgery.

Although stereologically Sengul *et al.* (18), in a retrospective study including 30 patients undergoing anatomical lung resection, showed a significant difference in lung volume loss, especially for upper lobectomies (up to 19.01% of the total volume) and a proportional decrease in postoperative forced vital capacity (FVC), the effects on pulmonary function tests (PFTs) cannot fail to take into account also favorable and unfavorable post-operative

morpho-dynamic alterations.

Favorable adaptations include an increase in the contralateral tracheobronchial angle incidence and air-flow rate ratio, responsible for an early ventilatory redistribution. At the same time, unfavourable changes in respiratory dynamics can be attributed to ipsilateral angle decrease and to vicarious distortion of main bronchus secondary to displacement of the hemidiaphragm and to mechanisms of obliteration of the residual pleural cavity, as an epiphenomenon of anatomic rearrangement causing ipsilateral mediastinal shift with possible dynamic compression on right heart or the appearance of apical left atrial swirling vortices due to venous pulmonary stump stagnating blood flow (19,20). Furthermore, a significant reduction of the bronchial CSA results into an increased turbulent flow velocity, endobronchial pressure, wall shear stress and pressure drop, in particular in the case of upper lobectomies, worsening the occurrence of postoperative bronchial kinking and of postoperative pulmonary complications, such as persistent cough, dyspnea, bronchomalacia (5,8,20).

In this regard, the adaptation of the middle lobe after a right upper lobectomy with detrimental post-operative parenchymal functions due to atelectasis is explanatory (21). In a single-center case series, lobar kinkings in 12% of patients were reported as a result of a synergistic effect between anatomical peculiarities and fluid-dynamic compensations (22). On the other hand, the same adaptive mechanisms of the middle lobe appear protective in the case of right lower lobectomies. Yamagishi *et al.* (23), retrospectively analyzing 53 patients undergoing surgery for living-donor lobar lung transplantation and evaluating the morphometric changes, parenchymal fractal dimensional variations and respiratory volumetric fluctuations, demonstrated compensatory morphological and functional reserves in the middle lobe both in the static ( $130.9\% \pm 19.7\%$ ) and in the functional lobar volume ( $97.2 \pm 73.5$  mL).

Similarly, anatomic pulmonary segmentectomies also promote adaptive residual lobar and ipsilateral pulmonary excursions. Tane *et al.* (24) clearly showed that volumetric preservation was positively correlated to the extent of resected segments (1-segment *vs.* >1-segment resections: 84.6% *vs.* 66.1%;  $P < 0.01$ ) without significant differences between typical and segmentectomies atypical. However, enrolling 148 matched patients undergoing VATS pulmonary resections (74 segmentectomies *vs.* 74 lobectomies), the authors showed a greater residual lobar functional preservation after S6 segmentectomies. On the other

hand, left upper division segmentectomies, ontogenically comparable to a right upper lobectomy, resulted in a reduced parenchymal function due to segmental lingural bronchial displacement and kinking with reduced postoperative forced expiratory volume in 1 second (FEV1).

### *Clinical aspects and management*

Pulmonary adaptive compensation lays the foundation for understanding the aetiology of static and dynamic steno-obstructive symptoms in the short- and long-term period in patients undergoing minimally invasive or open pulmonary lobectomy.

The dynamics of the residual displaced lung and vicariate rotation actions could cause bronchial kinking and worsening postoperative pulmonary functions. Although generally subclinical, as reported by Ueda *et al.* (10), the incidence reaches 42% of patients undergoing upper lobectomies resulting in a significant reduction of postoperative flow volume loop (FVL) and ventilatory capacity (VC) ( $P < 0.05$ ) and in a reduced efficacy of favorable compensatory mechanisms by promoting the onset of chronic obstructive symptoms, as well as a ventilatory mismatch due to an increase in airway resistance and functional residual volume (FRV) which, in turn, adversely affect patients' quality of life. Clinically, it manifests as postoperative shortness of breath, dyspnea, impaired muco-ciliary clearance, chronic cough, and pneumonia (14) and it significantly influences postoperative rehabilitation (25).

The role of prophylactic intraoperative maneuvers (e.g., inferior pulmonary ligament division) aiming to mitigate tendencies for postoperative stenosis remains uncertain and debated. Kuriyama *et al.* (26), in a recent retrospective study enrolling 213 upper lobectomy patients, clearly demonstrated a statistically insignificant difference in postoperative complications, FVC, and FEV1 by preserving the inferior pulmonary ligament during right upper lobectomies; however, PFTs were significantly better after left lobectomies, but, no substantial variations in terms of CSA and residual ipsilateral bronchial circumference between sides was reported.

Furthermore, long-term effects of an increased lobar or pulmonary flow rate, bronchial pressure, as well as wall shear stresses could result in airway trauma, reverberating an inflammatory response sustained by an overexpression of inflammatory mediators and leukocyte activation leading to deterioration and remodelling of the alveolar glycocalyx (27).

In the context of surgical outcomes for lung cancer, a

consistent risk for a second synchronous or metachronous tumour has been reported, with incidences up to 28.4% (28), and some has claimed for the need of a reoperation on an anatomically and functionally altered tracheobronchial tree (29).

Oxygen mismatches, the lack of functional lung parenchyma and altered static and dynamic adaptive mechanisms could rapidly precipitate intraoperatively in critical hypercapnia. In fact, the reduced adult lung parenchymal plasticity and residual exercise intolerance due to post-surgical hemodynamic changes could collide with anaesthetic challenges, despite permissive PTFs.

When dealing with previous lung resection and the need for contralateral reoperation, several factors can destabilise the precariousness of a cardiopulmonary balance during one-lung ventilation (OLV). In fact, tidal volumes would be released to a remodelled lung parenchyma unable for maximal alveolar expansion and, thus theoretically, predisposing to overinflation and barotrauma leading to breath-stacking, a build-up of intrinsic positive end-expiratory pressure (PEEP) and increased alveolar vascular resistances increasing dead space and inefficient gas exchanges with a ventilation-perfusion ratio greater than 1. Increased inspiratory plateau pressures would play a synergistic role with high intrapulmonary vascular resistances as well as augmented right ventricular afterload contributing to a reduction in left ventricular compliance and cardiac output, precipitating hemodynamic compromise in response to a previous pulmonary resection (30,31).

In this scenario, the anatomical concept of compensatory pulmonary adaptation does not fully fit with risks of intraoperative hypoxemia in the setting of a reoperation, laying the foundation to a dynamic ventilation-perfusion model, where the effects of the hypoxemic pulmonary vasoconstriction and the inversion of the ventilation/perfusion ratio are attenuated by recruitability of pulmonary vessels in the dependent lung mitigating the fall in diffusion capacity and shunt-ratio. Moreover, the increase of the dependent intrapleural pressure could lead to a further reduction of functional residual capacity, claiming for higher inspiratory pressures and PEEP levels to deliver tidal volume and maintain driving pressure unchanged (31,32).

Moreover, intraoperative recruitment maneuvers, such as continuous positive airway pressure (CPAP), intermittent positive airway pressures or lobar isolation techniques with bronchial blockers to support OLV in patients with prior contralateral pulmonary resections would be needed (31,33).

Ventilation is similarly affected by a previous lobectomy on the dependent lung. Protective lung ventilation strategies

with PEEP titration are crucial given the minimal residual volumetric amount of the lung parenchyma. Essential component for a strategic intraoperative management is a correct tidal volume delivery, since CO<sub>2</sub> elimination is directly influenced by both the proportion of shunt fractions and increased unventilated alveolar surfaces (34).

Finally, the modulation of the respiratory rate would not guarantee compensation and, in the case of reoperation on the contralateral lung, it would favor an increase in dynamic hyperinflation and in inspiratory airway pressures which could expose to the risk of alveolar stress injury.

## Conclusions

Postoperative pulmonary adaptive response involves morpho-structural changes influencing postoperative respiratory function and medium-long term sequelae in surgically treated patients with NSCLC. The mechanisms of remodulation of the residual lung volume correspond to dynamic compensations of air-flow redistribution at the expense of an increase in pressure and shear stress into the tracheobronchial tree. Finally, compensation represents a remodulation resource in response to surgical stress as the epiphenomenon of a complex cooperation between the host response, recruitment of alveolar-capillary reserves and fluid dynamics remodulation.

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## Footnote

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appropriately investigated and resolved. All procedures reported in the study were in accordance with the ethical standards of the institutional and national research committees and with the Helsinki Declaration (as revised in 2013). The publication of this manuscript is waived from patient informed consent according to the ethics committee or institutional review board.

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