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# Decoding working memory task condition using magnetoencephalography source level long-range phase coupling patterns

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Supplementary material for this article is available [online](#)

## Abstract

**Objective.** The objective of the study is to identify phase coupling patterns that are shared across subjects via a machine learning approach that utilises source space magnetoencephalography (MEG) phase coupling data from a working memory (WM) task. Indeed, phase coupling of neural oscillations is putatively a key factor for communication between distant brain areas and is therefore crucial in performing cognitive tasks, including WM. Previous studies investigating phase coupling during cognitive tasks have often focused on a few *a priori* selected brain areas or a specific frequency band, and the need for data-driven approaches has been recognised. Machine learning techniques have emerged as valuable tools for the analysis of neuroimaging data since they catch fine-grained differences in the multivariate signal distribution. Here, we expect that these techniques applied to MEG phase couplings can reveal WM-related processes that are shared across individuals. **Approach.** We analysed WM data collected as part of the Human Connectome Project. The MEG data were collected while subjects ( $n = 83$ ) performed  $N$ -back WM tasks in two different conditions, namely 2-back (WM condition) and 0-back (control condition). We estimated phase coupling patterns (multivariate phase slope index) for both conditions and for theta, alpha, beta, and gamma bands. The obtained phase coupling data were then used to train a linear support vector machine in order to classify which task condition the subject was performing with an across-subject cross-validation approach. The classification was performed separately based on the data from individual frequency bands and with all bands combined (multiband). Finally, we evaluated the relative importance of the different features (phase couplings) for classification by the means of feature selection probability. **Main results.** The WM condition and control condition were successfully classified based on the phase coupling patterns in the theta (62% accuracy) and alpha bands (60% accuracy) separately. Importantly, the multiband classification showed that phase coupling patterns not only in the theta and alpha but also in the gamma bands are related to WM processing, as testified by improvement in classification performance (71%). **Significance.** Our study successfully decoded WM tasks using MEG source space functional connectivity. Our approach, combining across-subject classification and a multidimensional metric recently developed by our group, is able to detect patterns of connectivity that are shared across individuals. In other words, the results are generalisable to new individuals and allow meaningful interpretation of task-relevant phase coupling patterns.

## 1. Introduction

Cognitive operations and complex behaviour require interactions between distributed neuronal populations [1]. Neural oscillations and their synchronisation is a putative mechanism supporting the functional integration of distributed neuronal populations that is needed in higher cognitive functions [2] such as working memory (WM), i.e. the ability to temporarily maintain and manipulate information [3]. The neural oscillations arise from rhythmically synchronised post-synaptic potentials of thousands or even millions of neurons and can be measured with electroencephalography (EEG) and magnetoencephalography (MEG) [4–6]. It has been hypothesised that the phase of the measured signal is related to the excitability state of the neurons in the assembly. Distant brain areas can therefore communicate effectively only when the phases of their oscillations synchronise so that the output from the pre-synaptic group of neurons arrives at the time when the post-synaptic neurons are the most excitable [7]. This form of long-range phase coupling is thought to play a key role in neuronal communication in cognitive processes, including WM. Indeed, many neurophysiological studies have found that the phase coupling is modulated in different frequency bands in various WM tasks, thus supporting the role of neural oscillations in the context of WM [8–13].

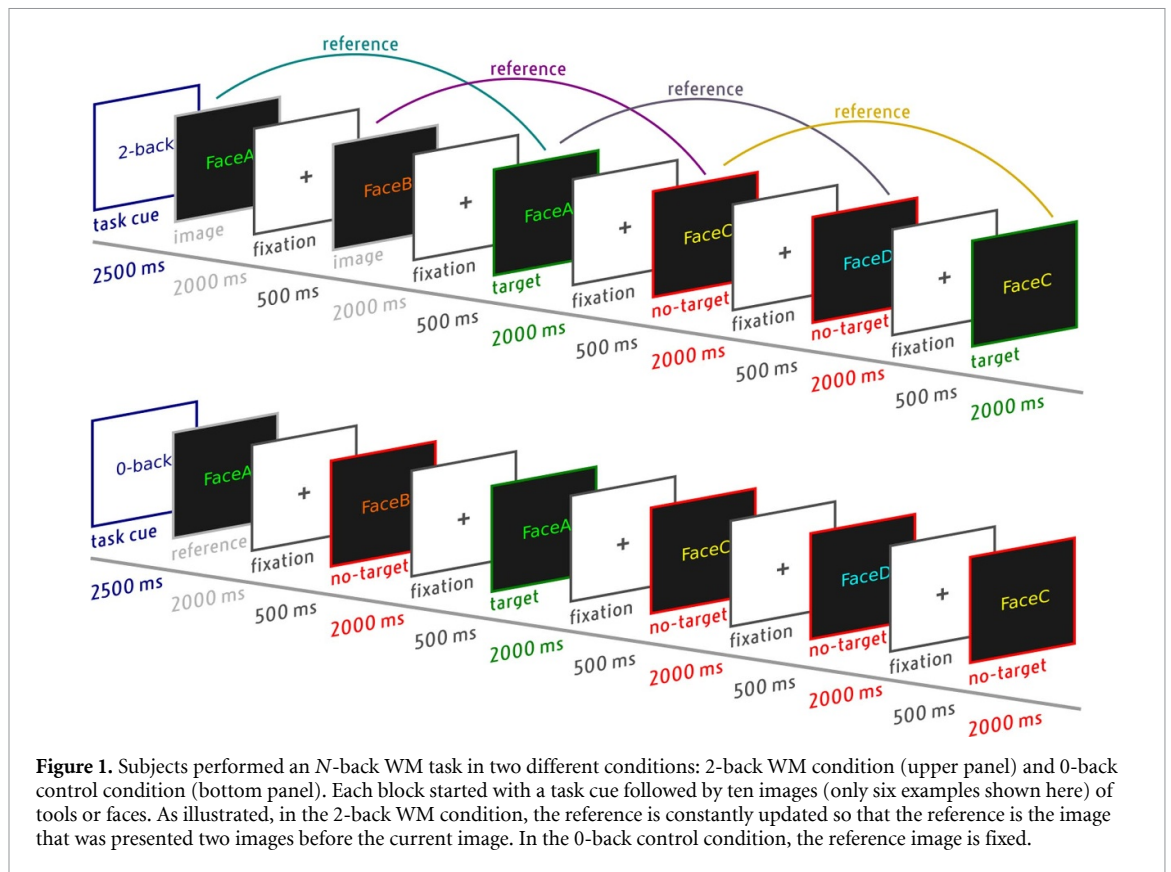
The previous reports cast little doubt that the phase coupling is relevant to WM functions. However, the majority of the previous studies have relied on *a priori* assumptions about the relevant brain areas, relevant frequency bands or both. Indeed, in recent years, the demand for data-driven approaches that can capture the multivariate and interactive nature of functional connectivity and cognitive processing has been recognised [1, 14]. An encouraging approach for analysing complex multidimensional brain-imaging data in a data-driven manner is brain decoding [15, 16]. Brain decoding is based on machine learning algorithms that mine the information content of multiple signals to make predictions of task, brain state or stimuli [15, 17, 18]. The decoding approach can exploit the interaction of multiple variables at the same time, which is not possible with often employed mass-univariate analyses [17–21]. Moreover, the decoding approach overcomes the overly conservative multiple comparison correction that is needed in mass-univariate analyses due to the high dimensionality of neuroimaging data [18, 20, 22].

Machine learning techniques have been successfully applied to neurophysiological data for different purposes, e.g. identifying individuals (fingerprinting) [23], diagnostics/biomarker/treatment outcome prediction [24–29], brain–computer interfaces (BCIs) [30, 31], to reveal task or stimuli specific patterns of neural activity [16, 17, 20, 30–35] and to predict

behavioural outcome [36]. The different applications have vastly different principle aims. For example, in BCI applications the primary aim is to find a model that maximises classification accuracy regardless of the underlying brain mechanisms and features. In contrast, in brain function decoding, as in the current study, the goal is to find a model that is interpretable, with shared features and that can accurately discriminate between brain states and therefore provide insight into related brain functions [16]. In the latter framework, we use a brain decoding approach based on patterns of long-range phase coupling i.e. functional connectomes, obtained with a connectivity approach recently developed by our group [37] to investigate brain mechanisms underlying WM.

Only relatively few studies have used MEG functional connectivity as features in machine learning approaches, and the majority of previous studies have focused on resting-state data in order to find biomarkers for various patient groups [26–29]. When brain decoding based on MEG functional connectivity during cognitive tasks has been performed [17, 31], the analyses have been limited at the sensor level and used connectivity measures that are not robust against ‘artificial’ synchrony induced by field spread, thus making the interpretation of the results in terms of underlying mechanisms almost impossible [38, 39]. These studies reported significant decoding results within subjects but not across subjects, therefore not allowing generalisation of the results.

In the current study, we aim to use brain decoding based on MEG functional connectivity in source space, i.e. after having inferred the underlying generators in the brain of the measured MEG signals, to be able to interpret our results in terms of coupling between brain regions. To the best of our knowledge, this is the first study that uses MEG source space functional connectivity as a task decoding feature. As a cognitive task, we focus on WM, since we expect a large set of brain regions to be involved in inter-areal communication underlying processing in WM as suggested by earlier literature, thus making WM an ideal task for multivariate analysis and to test generalisation across subjects. The WM MEG data used for this purpose were acquired as part of the Human Connectome Project (HCP) [40] during an *N*-back task, a continuous performance task that is commonly used to investigate WM [41]. Of note, the MEG HCP researchers acquired WM data in 83 healthy subjects, a fairly large number of subjects for a MEG study, making this dataset suitable to investigate subject-wise common functional connectivity patterns by decoding with training and testing split across subjects [42]. In these 83 subjects, we estimated phase coupling for the 2-back condition (WM) and the 0-back condition (control condition) separately across the whole cortex in four different frequency bands (theta, alpha, beta and gamma).



**Figure 1.** Subjects performed an  $N$ -back WM task in two different conditions: 2-back WM condition (upper panel) and 0-back control condition (bottom panel). Each block started with a task cue followed by ten images (only six examples shown here) of tools or faces. As illustrated, in the 2-back WM condition, the reference is constantly updated so that the reference is the image that was presented two images before the current image. In the 0-back control condition, the reference image is fixed.

Phase coupling was estimated using the multivariate phase slope index (MPSI) [37], which is the generalisation to the multidimensional case of the phase slope index [43]. In particular, the MPSI exploits the multivariate nature of the signal and is able to estimate the directionality of the coupling, that is, which one of the two phase-coupled brain areas is the ‘leader’ [37]. The obtained phase coupling connectomes were then used to train a linear support vector machine in order to classify, in an across-subjects manner, which task condition (WM/control) a subject was performing. We found that frequency specific patterns of phase coupling between brain regions that discriminate between WM and control conditions can be consistently and reliably observed across subjects and are thus generalised to new individuals.

## 2. Methods

In this study, we analysed publicly available MEG data collected and preprocessed by the HCP Consortium [40]. Here, for the reader’s convenience, we briefly describe the task (figure 1), data and analysis pipeline (figure 2). Phase coupling analyses were carried out in Matlab (The MathWorks, Inc., Natick, MA, United States) using the FieldTrip toolbox [44] and custom-made Matlab scripts. The classification analyses were carried out in Python using the *scikit-learn* [45], *nilearn* [46] and *scipy/numpy* packages [47]. More information about data and software availability is provided in the data and code availability statement

section. For full details of the data acquisition, task protocol and preprocessing, the reader is referred to the data release manual [41].

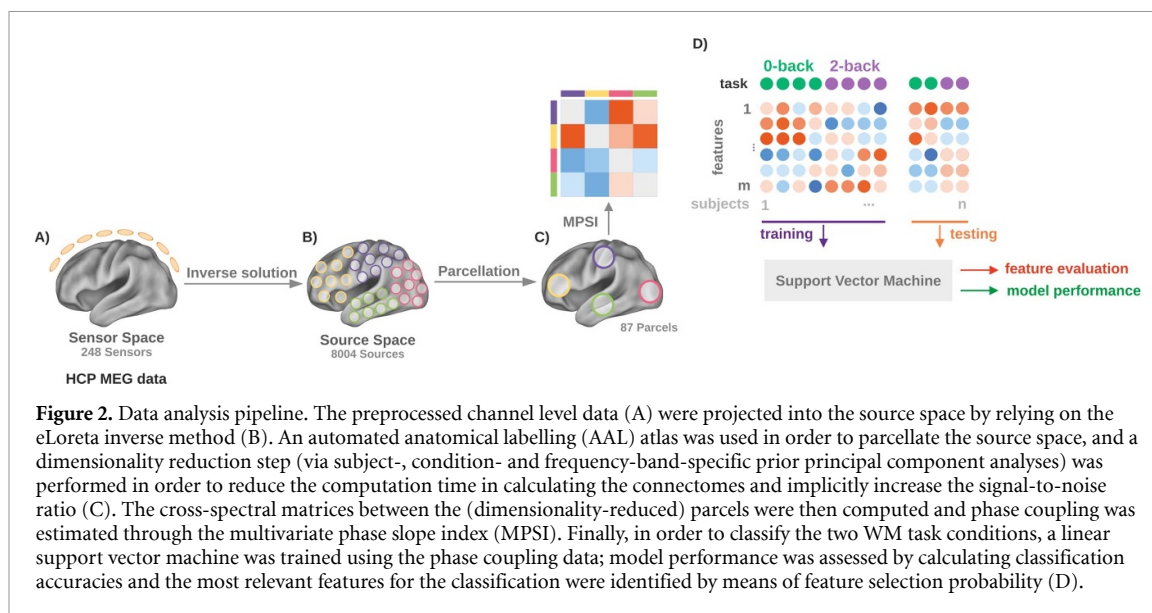
### 2.1. Data acquisition

The WM MEG data acquisition was performed with a 248 magnetometer whole head MEG system (4D Neuroimaging, San Diego, CA) located at the Saint Louis University medical campus. The data were recorded at 2034 Hz sampling rate with a bandwidth of DC-400 Hz. Spatial digitization of three anatomical landmarks (nasion, peri-auricular points), five localiser coils and each subject’s head shape were performed using a Polhemus FASTRAK-III digitiser. This allowed co-registration of MEG data with individual MRI structural scans.

### 2.2. Participants and task protocol

Eighty-three healthy subjects (age range: 22–35; 38 females) included in the HCP experiment were analysed. All participants gave written informed consent in accordance with the Declaration of Helsinki.

The subjects performed an  $N$ -back WM task while undergoing MEG data acquisition. In the  $N$ -back WM task (figure 1), participants were asked to monitor sequentially presented images of tools or faces in two different conditions, namely, 0-back (control condition) and 2-back (WM condition). Data acquisition was performed in two runs, each consisting of 16 blocks (eight blocks in each condition). Each block consisted of ten images of either



faces or tools. An initial cue was presented for 2500 ms at the beginning of each block indicating whether the block was a 0-back or a 2-back block. At the beginning of each 0-back block, a single reference image was presented to the participants. This was followed by a series of images, and the participants were instructed to respond whether the currently presented image matched the reference image. In the 2-back blocks participants were instructed to respond whether the currently presented image matched the image presented two images before. Each stimulus lasted 2000 ms and consecutive stimuli were separated by a 500 ms fixation period. The participants were instructed to respond as fast as possible within the stimulus presentation window via button press with their right index (match) or right middle finger (non-match). Each block ended with a 15 s fixation period.

### 2.3. Preprocessing

The quality control of the HCP data was based on two quality assessment (QA) modules [40]. In the first QA module, channels with poor correlation or high variance ratio to the neighbouring channels were considered as bad and removed from further analysis [48]. The second module utilised an iterative independent component analysis algorithm, which removes bad channels and segments based on spatial and temporal criteria [49]. This step minimises the effect of non-brain components such as magneto- and electro-cardiogram, eye movements, power supply bursting, and  $1/f$  like environmental noise [40]. Data were also down-sampled to 508.6275 Hz.

### 2.4. Epoching

In the current study, the HCP MEG data were epoched into 2 s time windows locked to the onset of the stimuli. Thus, the time window of interest, i.e. a trial, was defined as the entire stimulus presentation time window (2 s). We considered only trials in which

the participants provided correct responses in order to avoid possible confounds due to the different ratios of correct and incorrect responses between the conditions. The two types of stimuli (tools and faces) were not separated as the scope of this study was not to differentiate the processing of different types of stimuli.

### 2.5. Phase coupling estimation

#### 2.5.1. Parcellation and parcel vector time series

In order to reduce the computation time in calculating the connectomes and to implicitly increase the signal-to-noise ratio, the dimensionality of the data was reduced by using the automated anatomical labelling atlas [50] parcellation relying on the nearest interpolation approach. This resulted in dividing the source space into 87 parcels and associating each parcel with a vector time series composed of time series (one for each location belonging to that parcel). Finally, to reduce the number of signals for each parcel, a principal component analysis was applied on the average (across the two sessions) real part of the cross-spectral matrices for each subject, frequency band and condition separately. For each parcel, the representative vector time series was thus defined as the smallest set of signals that explains at least 90% of the parcel power [51].

Specifically, each trial was first divided at channel level into three segments with 50% overlap between the segments, and the channel-level cross-spectra were estimated for each subject, condition and frequency band (theta 4–8 Hz, alpha 9–13 Hz, beta 14–30 Hz and gamma 30–80 Hz). The channel-level cross-spectra for each of the two sessions were estimated as an average of the products of the Fourier-transformed data over all segments. Since each segment had a length of 1 s, the frequency resolution was equal to 1 Hz. The cross-spectral matrix in source space (cortical layer of 8004 points with 6 mm distance) was then estimated for each session and parcel



by applying the eLoreta inverse procedure with free source orientation [52]. The normalised leadfields, used for the calculation of the inverse matrices, were obtained by relying on a single shell approach [53] for the source space and volume conductor model provided by the HCP.

### 2.5.2. Multivariate phase slope index

The MPSI was used to estimate long-range directed phase coupling between all parcel pairs [37]. Specifically, for each pair of parcels  $I$  and  $J$ , the MPSI between the two corresponding vector time series  $\tilde{X}_I$  and  $\tilde{X}_J$  is defined as

$$\begin{aligned} \text{MPSI}_{IJ}(F) & := 4 \sum_{f \in F} \text{Tr} \left( (S_{II}^R(df+f) + S_{II}^R(f))^{-1} S_{IJ}^I(df+f) \right. \\ & \quad (S_{JJ}^R(df+f) + S_{JJ}^R(f))^{-1} S_{JI}^R(f) \\ & \quad + (S_{II}^R(df+f) + S_{II}^R(f))^{-1} S_{IJ}^R(df+f) \\ & \quad \left. (S_{JJ}^R(df+f) + S_{JJ}^R(f))^{-1} S_{JI}^I(f) \right) \end{aligned}$$

where  $df = 1$  Hz denotes the incremental step in the frequency domain,  $R$  the real part,  $I$  the imaginary part,  $\text{Tr}$  the trace of a matrix,  $(\cdot)^{-1}$  the inverse operator, and e.g.  $S_{IJ}$  the cross-spectral matrix between  $\tilde{X}_I$  and  $\tilde{X}_J$ . Here, the cross-spectral matrices for each parcel have been estimated by averaging the products of the Fourier transforms over all the segments into which the source level data (separately projected from sensor space for each session) have been divided. In order to avoid possible confounds induced by the difference in dimensionality of the vector time series, all MPSI values were normalised with respect to the dimensions of the respective  $\tilde{X}_I$  and  $\tilde{X}_J$ .

The sign of  $\text{MPSI}_{IJ}$  gives information about the directionality of the phase coupling. Specifically, a positive sign, which means that the phase differences between the components of  $I$  and  $J$  are more prone to increase with increasing frequency, implies that the average time lag between the two parcels is positive and thus that parcel  $I$  is the leading source; conversely, a negative value implies the opposite [37, 54].

The above analysis resulted in 664 matrices (83 (subjects)  $\times$  4 (frequency bands)  $\times$  2 (conditions)) of 3741 (87 parcels  $\times$  (87 - 1)/2) elements, in which each entry represents the corresponding MPSI value between two parcels.

### 2.6. Classification analysis

Having obtained the phase coupling values for all parcel pairs, a linear support vector machine (with penalisation coefficient  $C = 1$ ) was trained to classify in which of the two task conditions each subject was engaged. The classification was first performed separately for each frequency band (single band classification) in order to evaluate the respective roles of

the different frequency bands. To further examine the respective importance and to evaluate whether the joint information stored in the phase coupling patterns of different frequency bands improves decoding, we considered all frequency bands simultaneously (multiband classification). As input data for the classifier in the single band classification, we used the obtained MPSI matrices composed of 3741 features each representing the phase coupling between a pair of parcels. In multiband classification, the input data were formed by concatenating the MPSI matrices of all four frequency bands, resulting in 14 964 (3741  $\times$  4) features.

An ANOVA-based feature selection [55] was applied by selecting the first  $k$  highest f-score rank features. This operation is often deemed necessary to reduce the possibility of overfitting, particularly when the number of features is greater than the number of samples [55]. Therefore, the number of selected features ( $k$ ) was varied from 1 to 600. A model selection was then performed by means of subject-level cross-validation, that is, the data from 75% of the subjects were used as a training set and the remaining 25% as a testing set. This cross-validation scheme provides a measure of generalisation across subjects, i.e. it detects whether information that is used to classify the task is shared in the examined population [56]. To provide a good estimate of the classification accuracy [57], across-subjects cross-validation was repeated 75 times. For each iteration, the subjects in the training set were randomly assigned. Feature selection was applied only on the training set for each repetition in order to avoid biasing of the classification error [55].

The statistical significance of the classification results was assessed through permutation tests [58, 59]. To obtain the null distribution for the classification accuracy, the labels of the conditions were shuffled 100 times and the entire classification procedure was repeated, including feature selection and cross-validation. The reported  $p$ -value is the probability of observing the reported accuracy by chance using the null distribution obtained after permutations ( $p < 0.01$ ). Multiple comparisons were assessed using the Bonferroni correction.

### 2.7. Relevant feature analysis

The feature selection procedure extracts the informative features that are used by the classifier to classify the task conditions. A feature that is selected in each cross-validation fold is important for the classification of the task condition. Therefore, a feature that has a high probability to be selected by the algorithm is considered relevant for the classification of the task. To this end, a feature selection probability was calculated by dividing the number of times a feature was selected by the total number of cross-validation folds

( $n = 75$ ). The feature selection probability was calculated only for the frequency bands that showed statistically significant classification accuracy. Furthermore, to select the set of features that maximises the discriminative information about WM conditions, the feature selection probability was calculated for the number of features ( $k$ ) that showed the best classification accuracy.

The most relevant features (MPSI values between a pair of parcels) were defined as those that had a feature selection probability value greater than three standard deviations above the mean. In addition, we estimated which parcels were most often involved in the phase couplings (with any other parcel) that were selected for the classification. This was done by calculating, for each parcel, the average probability of being selected for the classification. The most relevant parcels were defined as those that had an average probability value greater than two standard deviations above the mean.

### 2.8. Directed phase couplings

After the identification of the relevant phase couplings for the classification, we sought to understand whether the classification is partially driven by phase couplings that have consistent (across subjects) direction in the WM condition but not in the control condition, or vice versa. To this end, we performed a sign test for both conditions separately to evaluate whether the MPSI value across the subjects has non-zero median. The directed phase couplings were evaluated separately for the phase couplings that were identified as relevant for single band and multiband classification.

### 2.9. Additional analyses

The phase estimation and thus the phase coupling estimation is affected by the signal-to-noise ratio [60]. Although the MPSI is a phase coupling method that is not biased by source powers, we nevertheless wanted to examine to which extent the most relevant features are affected by the respective parcel powers. To this end, we calculated the correlation between feature selection probability and the respective parcel powers.

A parcel power was defined as the sum over the scalar time series of  $\tilde{X}_I$  of the power spectrum in the frequency band of interest, i.e. as

$$P_I(F) := \frac{\sum_{i=1}^{\tilde{N}_I} \sum_{f \in F} (S_{II}(f))_{ii}}{|F|},$$

where  $F$  denotes the frequency band of interest,  $|F|$  the number of frequencies belonging to  $F$ ,  $\tilde{N}_I$  the number of univariate time series in  $\tilde{X}_I$ , and  $(S_{II}(f))_{ii}$  the  $i$ th diagonal entry of the cross-spectral matrix  $S_{II}(f)$  associated with parcel  $I$ .

The difference in power between the WM and the control condition was then calculated for each parcel, subject and frequency band. As described earlier, a feature in the current study refers to an MPSI value between two parcels. Therefore, we calculated the correlation between feature selection probability and mean power difference across the two involved parcels. The correlation was calculated across all features that had non-zero feature selection probability (supplementary figure 1(A) (available online at [stacks.iop.org/JNE/18/016027/mmedia](https://stacks.iop.org/JNE/18/016027/mmedia))) and across the most relevant features (supplementary figure 1(B)), respectively. The correlation was calculated only for the frequency bands that showed significant classification accuracy.

## 3. Results

### 3.1. Behavioural results

The subjects correctly identified (either match or non-match) over 90% of targets in both conditions. The median accuracy in the 0-back condition was 97.5% (lower quartile = 93.4%, upper quartile = 99.3%) and it was significantly higher (sign test,  $p < 0.05$ ) than the accuracy in the 2-back condition (median = 94.5%; lower quartile = 90.9%, upper quartile = 96.8%). The analysis of response times showed that the subjects performed significantly faster (sign test,  $p < 0.05$ ) in the 0-back (median = 629 ms; lower quartile = 572 ms, upper quartile = 701 ms) than in the 2-back condition (median = 804 ms; lower quartile = 724 ms, upper quartile = 901 ms). Overall, the behavioural results indicate that the subjects performed well in both task conditions.

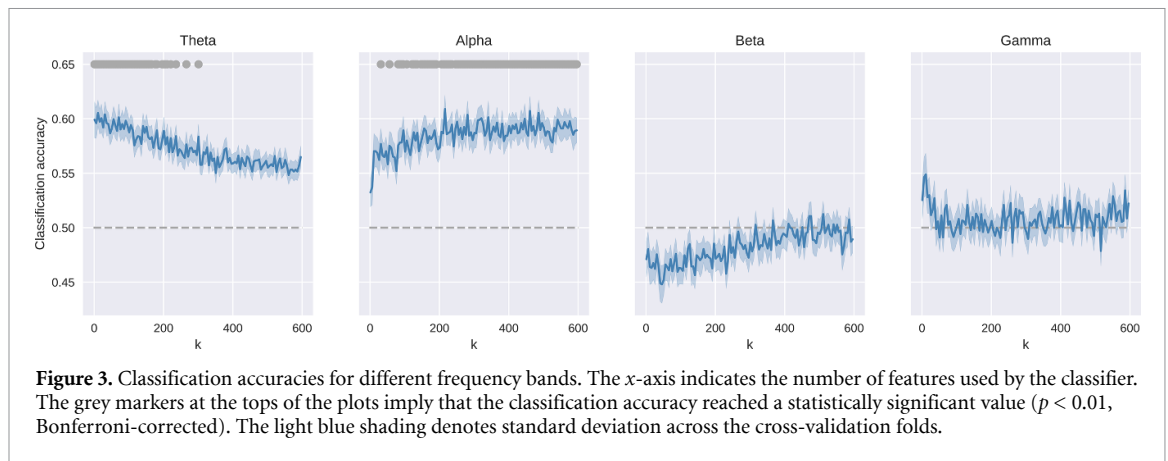
### 3.2. Classification accuracies

Phase coupling matrices (reported in supplementary figure 3) were used to classify whether the tested subject was performing the WM condition (2-back) or the control condition (0-back). Figure 3 shows the classification accuracies as a function of the number of features selected for the classification analysis. Significant ( $p < 0.01$ , Bonferroni-corrected) values for the classification accuracy are indicated by the grey dots in the uppermost part of each panel in figure 3.

The classification accuracy was statistically significant only in the theta and alpha bands. In the gamma band, the accuracy was above chance level with a small number of features but did not reach significance level.

In the theta band, the classification accuracy reached the maximum value of 62% (std 5%) when the number of features was  $k = 58$ . After this peak, the accuracy started to decrease with increasing number of features and dropped below significance level with more than 300 features.

In the alpha band, the opposite trend was found. The accuracy increased with increasing number of



features, until reaching a peak of 60% (std 5%) at  $k = 216$ . After the peak, the accuracy level reached a plateau but remained above significance level.

### 3.3. Relevant features

For the frequency bands that showed significant classification accuracy, i.e. theta and alpha, we analysed which phase couplings were the most frequently used to classify the two task conditions and which parcels were most often involved in these phase couplings.

#### 3.3.1. Theta band

The majority of the relevant phase couplings for the classification in the theta band (figure 4(A)) were found between parcels located within the left hemisphere, e.g. left frontal parcels (green dots) were coupled to left temporal (purple dots), left parietal (dark orange dots) and left central (blue dots) parcels. In addition, the phase coupling between the left precuneus and left calcarine was important. A few inter-hemispheric phase couplings also emerged as relevant: the left supramarginal parcel was coupled with the right supplementary motor area (SMA) and the right paracentral lobule. Finally, the right posterior cingulate cortex was coupled with the right lingual gyrus and the right precuneus was coupled with the right inferior temporal gyrus.

The parcels that were most frequently involved in the classification (highlighted with red labels in figure 4(A)) were the left superior frontal gyrus (SFG), left SMA, left superior temporal gyrus, left supramarginal gyrus (SMG), left paracentral lobule and left posterior cingulate cortex.

#### 3.3.2. Alpha band

In the alpha band, the frontal and occipital parcels were involved in most of the relevant phase couplings (figure 4(B)). The frontal parcels were mostly coupled with the left central (pre- and post-central gyri), the left parietal (supramarginal and angular gyri) and bilateral occipital parcels (light orange dots). In addition to the phase couplings with the frontal parcels,

the bilateral occipital parcels were coupled with the left central parcels, parietal parcels, anterior cingulate parcels and right superior temporal pole. A few important phase couplings were also found between the parcels within the right temporal cortex and left frontal cortex.

The parcels that were most frequently involved in the classification in the alpha band (highlighted with red labels in figure 4(B)) were located in the left SFG (medial orbital part), left inferior frontal gyrus (opercular part), left postcentral gyrus, left SMG, left inferior occipital gyrus and left superior occipital gyrus.

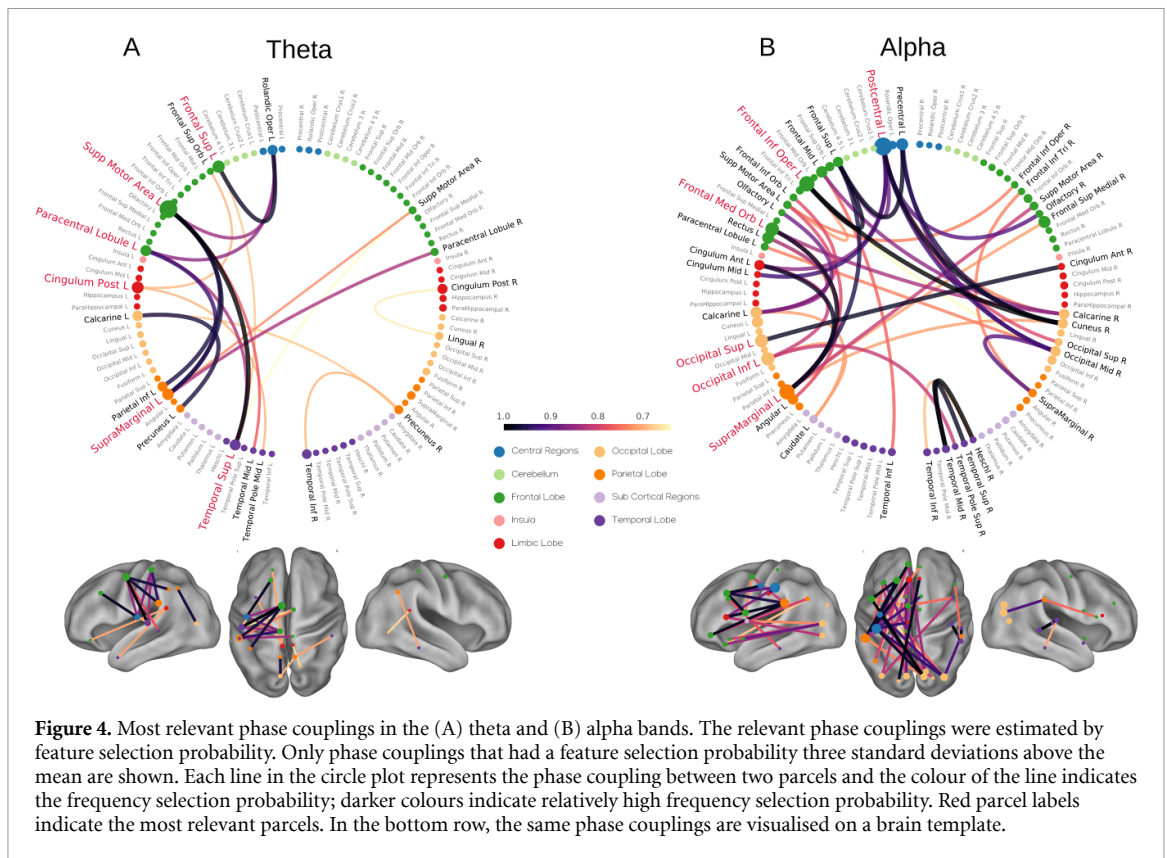
#### 3.3.3. Correlation between feature selection probability and mean parcel power difference

In order to rule out the possibility that the feature selection process was driven by a difference in the power between the conditions, we calculated the Pearson correlation between the feature selection probability and the mean (of the two associated parcels) difference in parcel power (supplementary figure 1). This was performed in the theta and alpha bands for all the features (non-zero probability) and for the features that were identified as the most relevant (figure 4), respectively. The results showed no significant correlation between the feature selection probability and the mean difference in parcel powers ( $p$ -values between 0.13 and 0.74). The spatial distribution of the difference in parcel powers between the conditions is shown in z-scored parcel power maps (supplementary figure 2).

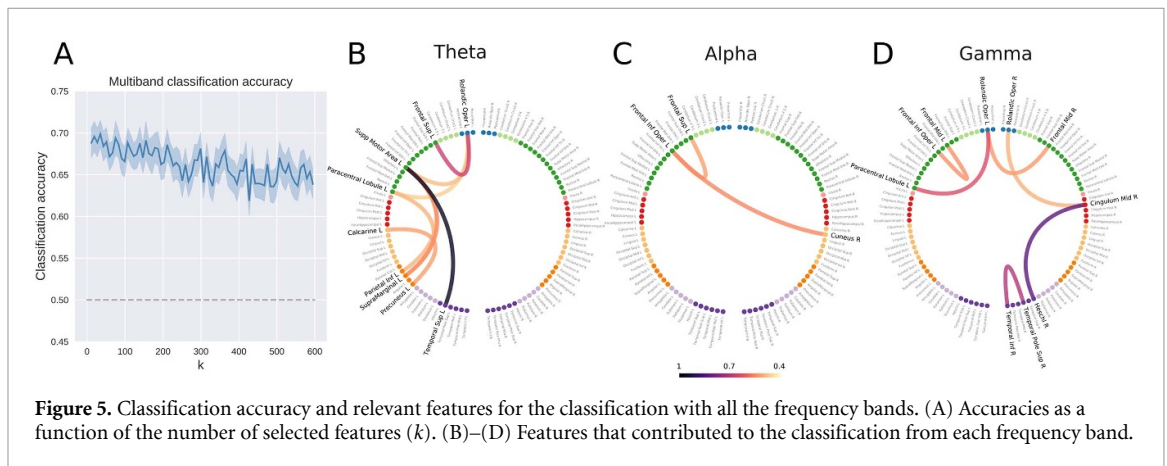
### 3.4. Multiband classification

Concatenating the phase coupling patterns from all frequency bands (theta, alpha, beta and gamma) improved the classification accuracy (figure 5(A)). The maximum accuracy was 71% (std 8%) and was reached with the number of features ( $k$ ) equal to 38. The relevant features for the multiband classification highlight some phase couplings in the theta ( $n = 8$ ) and alpha ( $n = 2$ ) bands that were also found to be relevant for the respective single band classifications





**Figure 4.** Most relevant phase couplings in the (A) theta and (B) alpha bands. The relevant phase couplings were estimated by feature selection probability. Only phase couplings that had a feature selection probability three standard deviations above the mean are shown. Each line in the circle plot represents the phase coupling between two parcels and the colour of the line indicates the frequency selection probability; darker colours indicate relatively high frequency selection probability. Red parcel labels indicate the most relevant parcels. In the bottom row, the same phase couplings are visualised on a brain template.

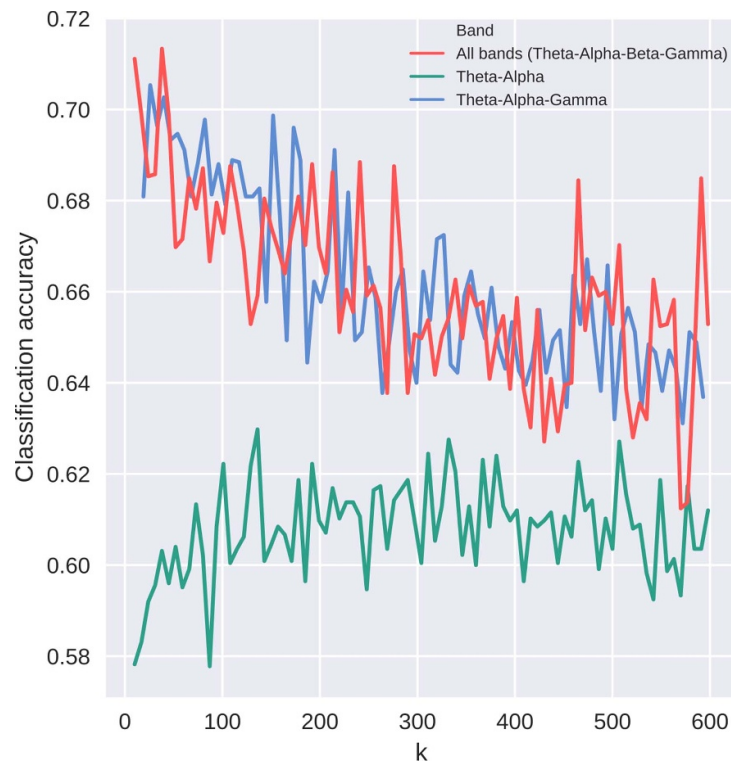


**Figure 5.** Classification accuracy and relevant features for the classification with all the frequency bands. (A) Accuracies as a function of the number of selected features ( $k$ ). (B)–(D) Features that contributed to the classification from each frequency band.

(figures 5(B) and (C)). In addition, selected phase couplings in the gamma band ( $n = 6$ ) contributed to the multiband classification (figure 5(D)). The phase couplings in the gamma band involved mainly frontal (left inferior frontal gyrus, left paracentral lobule, left middle frontal gyrus and right middle frontal gyrus) and right temporal (inferior temporal gyrus, superior temporal pole and Heschl gyrus) parcels. In addition, the middle cingulate cortex and left Rolandic operculum were involved in the relevant gamma band phase couplings.

The results of the single and multiband classifications point to the conclusion that the beta band phase coupling is not relevant for the decoding of the WM and control conditions and that the improved

accuracy results from the inclusion of the gamma band. These observations motivated two additional analyses: classification with concatenated theta and alpha band features, and classification with concatenated theta, alpha and gamma band features (figure 6). The maximum accuracy of the theta–alpha band classification was 63% (std 7%) which is comparable to the maximum accuracy achieved in the single band analysis (62% with the theta band). The maximum accuracy of the theta–alpha–gamma band classification was 71% (std 9%) and, in general, accuracy values for the theta–alpha–gamma band classification were comparable with the multiband classification accuracies across the whole range of selected features ( $k$ ).



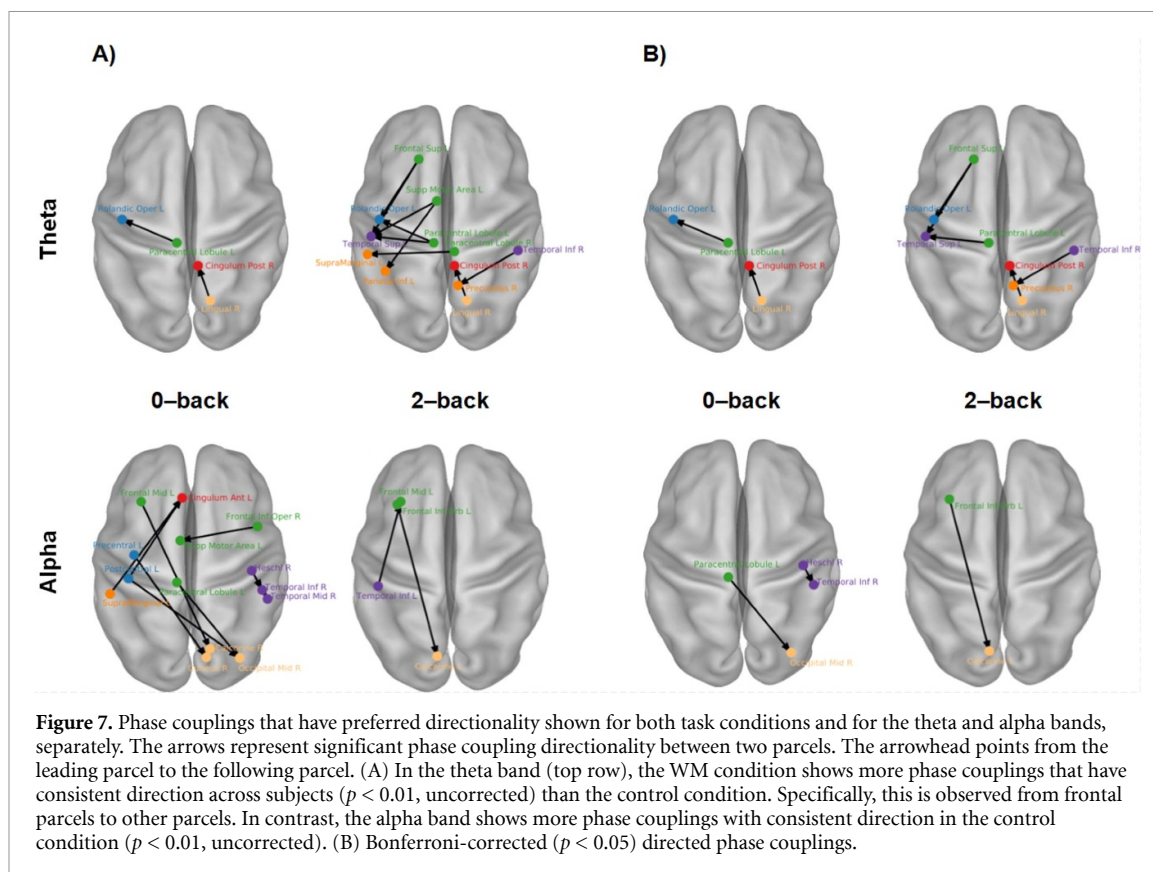
**Figure 6.** Classification accuracies for multiband (all bands: theta–alpha–beta–gamma), theta–alpha and theta–alpha–gamma analysis, respectively. The concatenation of the theta and alpha bands results in similar accuracies to the theta and alpha bands alone (see supplementary figure 4). The multiband and theta–alpha–gamma classification accuracies are comparable, indicating that the removal of the beta band did not affect the results.

### 3.5. Directed phase couplings

After identifying the most relevant phase couplings for single and multiband classifications, we tested, for both conditions separately, whether some of these phase couplings have preferred directionality across subjects (MPSI value across subjects has a non-zero median). The results are shown in figure 7 for the theta and alpha bands and for both task conditions separately. The analyses reveal that in the theta band, consistent phase couplings (sign test,  $p < 0.01$  uncorrected) from frontal parcels to other parcels and from the right inferior temporal gyrus to right precuneus were observed in the WM condition but not in the control condition. In contrast, in the alpha band, more consistent directed phase couplings emerged in the control compared to the WM condition. Specifically, directed phase couplings were found from left frontal/central parcels to right occipital parcels in the control condition but not in the WM condition. However, after multiple comparisons (sign test,  $p < 0.05$  Bonferroni-corrected), some of the significant phase couplings vanished and the differences between the conditions were less evident. The relevant gamma band features did not show any phase couplings with preferred directions in either of the conditions.

## 4. Discussion

In the current study, we used machine learning to perform data-driven analysis on MEG frequency-specific functional connectomes in order to examine the existence of phase coupling patterns that can discriminate between WM (2-back) and control (0-back) conditions and are common across subjects. For each subject and for both conditions we calculated directional phase coupling matrices in the theta, alpha, beta and gamma frequency bands. A linear support vector machine was then trained using the phase coupling data and the performance of the classifier was evaluated by means of across-subjects cross-validation. Our classification and relevant feature analyses (both in single and multiband) demonstrate phase coupling patterns in the theta and alpha bands that are important for discrimination between the WM and control conditions. This result is in accordance with a recent study by Wang *et al* (2019), which analysed information flow in WM tasks using phase transfer entropy. The results show consistent information flow only in the theta and alpha bands while the information flow in the delta, beta and gamma bands is weak and dispersed [6]. However, in addition, our results support the relevance of the



gamma band phase coupling to the WM processes as the multiband analyses show the contribution of the gamma band features to the discrimination of the two tasks.

Moreover, the fact that the classification was performed on a group level (across subjects), using a large set of participants, indicates that the phase coupling patterns found to be relevant are consistent across subjects and can be generalised to new individuals [18, 61]. In other words, we found the same pattern of phase couplings across individuals as a trace of the WM task.

We identified the parcels (brain areas) and the functional connections (phase couplings) that were most often selected for the classification. The results show that without *a priori* assumptions, the emerging relevant phase couplings and involved brain areas are in line with the putative mechanisms underlying WM. Furthermore, our additional analysis of parcel powers, reported in the supplementary materials, showed that the identified phase coupling patterns are not biased by the difference in power between the conditions, as demonstrated by: (a) correlation analysis between the feature selection probability and the mean power difference of the respective parcels (supplementary figure 1) and (b) z-scored power maps (supplementary figure 2).

To the best knowledge of the authors, this is the first study to report successful across-subjects task decoding based on source-level phase coupling of

MEG signals. Comparison of this classification performance to previous studies is not straightforward due to accuracy values being influenced by the specific conditions to be discriminated as well as by the classification design (within subjects vs. across subjects). In general, it is acknowledged that across-subjects classifiers exhibit poorer performance than within-subject classifiers [16, 17, 20, 31, 33] as a result of genuine inter-individual differences in the anatomical/functional architecture of the brain and the inherent variability in the environmental/measurement conditions between different measurement sessions [16, 20, 61]. More specifically, to the best knowledge of the authors, no MEG studies and only two EEG studies [62, 63] used source-level functional connectivity as features for classifying task condition/brain states with an across-subjects cross-validation approach. The study by Dimitrakopoulos *et al* (2017) [62] used Pearson correlation as a functional connectivity metric in order to classify the workloads of two tasks (*N*-back and arithmetic task), reporting a maximum accuracy of 88% for the workload classification. However, two factors may have inflated these accuracy values: (a) the correlation does not account for spurious connectivity induced by spatial leakage, and (b) the leave-one-out cross-validation is suboptimal since it might lead to variable and biased results [57]. The study by Wang *et al* (2020) [63] used different film clips to induce different emotions (positive, negative, neutral). They

used weighted partial directed coherence (wPDC) to obtain connectivity networks in five frequency bands: delta, theta, alpha, beta and gamma. Features derived from the wPDC analysis were used to classify the emotion. This study showed a maximum accuracy of 66.67% when relying on theta band connectivity. Furthermore, these classification studies used a limited set of subjects ( $n = 25$  in Dimitrakopoulos *et al* (2017) [62],  $n = 15$  in Wang *et al* (2020) [63]) that might cause a large error in the estimation of classification accuracy [42]. Conversely, we used a large cohort of subjects ( $n = 83$ ) in the across-subjects classification approach.

#### 4.1. Relevant phase couplings and involved brain areas in the theta band support the role of theta band activity in executive control and temporal order coding

In previous WM literature, the theta band activity has been proposed to have a special role in coordinating, monitoring and controlling complex WM tasks [64]. In particular, theta band phase coupling from prefrontal to temporal areas [8, 12] and from prefrontal to parieto-occipital areas has been shown to have a key role in executive control in WM [65, 66]. Interestingly, we demonstrate that frontoparietal, frontotemporal and frontocentral phase couplings are the dominant features that underlie the discrimination of the WM and control conditions in the theta band. This is observed both in single band and multiband analyses. Furthermore, the left SFG, left SMA and left SMG, which we found to be among the most relevant parcels, have all been reported as important for WM.

The SFG, an area of the prefrontal cortex, has been shown to be regularly activated in the  $N$ -back paradigm [67]. A study by Boisgueheneuc *et al* (2006) showed that patients with SFG lesions were impaired in  $N$ -back WM tasks, especially when the executive demand was high [68]. Given that executive demands in the WM condition are arguably higher with respect to the control condition, our results are in agreement with the proposed role of the SFG in executive control.

Theta band oscillations have been also reported to be involved in the maintenance and organisation of the temporal order of items in WM [5, 69]. Interestingly, the left SMG and the SMA, which we identified among the most important parcels for the classification, have been associated with temporal order coding [70] and sequence processing [71, 72]. A recent study by Guidali *et al* (2019) demonstrated that low-frequency rTMS applied over SMG disrupted the performance of participants in a short-term memory task. This effect was specific for temporal order information; that is, the participants remembered the correct items but made more errors in recalling the temporal order of these items [70]. It is therefore plausible that the emergence of the SMA and SMG as the most important parcels for the theta band

decoding reflects the different demands between the two task conditions in the temporal domain; that is, encoding and maintenance of the temporal order of the stimuli are relevant for the WM but not for the control condition.

Finally, we analysed, in each condition, whether the relevant phase couplings have preferred directions that are consistent across subjects. Preferred direction was mainly observed in the WM condition and the frontal parcels were identified as the 'leading' parcels, indicating anterior to posterior phase coupling direction (figure 7). This is in accordance with a recent study by Wang *et al* (2019), which showed increased information flow strength from frontal to parieto-occipital regions and associated this information flow with central executive functions in WM [6].

Taken together, the relevant phase couplings for the theta band classification found in the current study converge with the evidence supporting the proposed role of the theta band in executive control when the complexity of the task or its attentional demands are high. Furthermore, the theta band phase coupling seems to be involved in temporal order coding, with SMG and SMA possibly acting as key areas related to this function.

#### 4.2. Alpha band oscillations might serve multiple functional roles

The frontocentral, frontoparietal, parieto-occipital and fronto-occipital phase couplings were found to be relevant for decoding in the alpha band (figure 4). Furthermore, alpha band phase couplings between left frontal (superior and inferior opercular) parcels and the right cuneus were found to be relevant for multiband classification. The most relevant parcels for the classification were found in the frontal, central, parietal and occipital regions. This widespread pattern of phase couplings needed for the alpha band classification proposes that phase coupling in the alpha band could serve multiple functions in WM. In fact, it has been hypothesised, based on nonhuman primate studies, that alpha oscillations might support different functions depending on the laminar organisation of the alpha generators [73]. Increased alpha oscillations in higher-level cortices may play a direct role in facilitating representation of task-relevant information and sensory processing, while increased alpha in lower-level (sensory) cortices could support functional inhibition [74]. Although the  $N$ -back task does not directly emphasise attention, the involvement of the frontal and parietal parcels indicates that phase coupling in the alpha band plays a role in attentional functions. Indeed, the alpha band phase coupling has been previously associated with top-down modulation and attention [11, 75–77]. On the other hand, phase coupling in the alpha band has been associated with distraction inhibition [78, 79] and inhibition of task-irrelevant brain areas [13]. The involvement of occipital parcels supports



the commonly suggested ‘gating by inhibition’ role of alpha activity [80–82] for which the evidence has often been observed in the posterior brain areas [13, 69, 78, 83]. Directed phase couplings involving parcels in the occipital cortex were found in the current study mainly in the control condition (figure 7). This might reflect the inhibitory control of the alpha phase coupling because in the control condition the currently presented image becomes a distraction after the matching process is completed and the suppression of the current image is therefore beneficial, while in the WM condition each image needs to be encoded for forthcoming trials.

An interesting notion can be taken from the observations that a relatively large number of features were needed in the alpha band for successful decoding in the single band case, and that only two features from the alpha band were identified as relevant for the multiband classification. This suggests that the differences between the conditions are subtle and it is the joint information stored in multiple phase couplings and not individual phase couplings that allows the discrimination between the two tasks.

#### 4.3. Multiband classification emphasises the complementary role of the different frequency bands and reveals the role of the gamma band

The multiband classification improved the classification accuracy (figure 5(A)) by leveraging the information coded in patterns of phase couplings across the frequency bands. This implies that the phase coupling patterns in different frequency bands add different information to the classification, since pooling redundant information would not lead to improved classification performance. The frequency band specificity of the phase coupling patterns can also be observed in the MPSI connectome graphs (supplementary figure 3).

The multiband classification also revealed that a few gamma band features contributed to the multiband classification, even though the classification in the gamma band individually did not yield statistically significant results. To confirm that the improved accuracy resulted from the inclusion of the gamma band, we performed two additional analyses (figure 6). First, we removed the beta band from the multiband analysis. This yielded virtually the same results as the concatenation of all bands, indicating that the beta band did not contribute to the improved accuracy observed in the multiband classification. Next, we concatenated only the theta and alpha bands, i.e. further removed the gamma band. The classification accuracies using the concatenated theta and alpha bands resulted in slightly better accuracies compared to the respective single band accuracies (supplementary figure 4) but a remarkable drop in accuracies compared to the

multiband classification. These results further indicate that the gamma band phase coupling patterns appear relevant when combined with information from the other frequency bands and demonstrate the strength of the multiband approach, since it can show the complementary information coded in different frequency bands that cannot be revealed using a single band.

The gamma band phase couplings that were relevant for the multiband classification involved mainly frontal and right temporal parcels. Increased local [84–86] and long-range [11, 87] gamma band synchronisations have been previously reported during WM tasks, especially during the maintenance period of the task. The increased gamma band synchronisation has been related to maintenance of object/feature representations and binding of the object representations. On the other hand, gamma band synchronisation has been proposed to serve a more general role in attentional functions [11, 88, 89]. A study by Tseng *et al* (2018) provided evidence of the feature binding account of gamma oscillations by applying transcranial alternating current stimulation (tACS) over the left temporal and parietal cortex. Anti-phase gamma tACS enhanced the performance of low-performing individuals and this effect was specific to the binding task [90]. In the current study, the visual features of the stimuli were on average the same for both conditions. However, as discussed earlier, the WM condition requires active effort to encode and maintain the currently presented image for the next trials, whereas the control condition does not require such effort. It is therefore plausible that the phase coupling patterns involving temporal and frontal parcels are related to this difference between the conditions, as Honkanen *et al* (2015) proposed that gamma oscillations in frontal areas might be related to the active effort required to bind the task-relevant object features into coherent WM representations [88].

#### 4.4. Limitations

We have discussed how the phase couplings that were the most relevant for the classification support the earlier findings in the WM literature. However, it must be noted that the *N*-back task used in the HCP does not allow a clear separation of the different stages of WM, that is, the encoding, maintenance and retrieval. Therefore, it is not possible to conclusively establish that the observed phase coupling patterns were induced by specific neural processes. Ad hoc tasks should be designed in order to further investigate how the presented approach can contribute to unravelling the processing in different stages of WM.

As a second possible limitation of this study, we acknowledge that the HCP WM task design is such that the time window used to estimate the phase coupling is the same in which the subjects provided their motor response. This might have affected the



beta band results, since it is possible that subtle beta band phase coupling differences between the conditions were masked by strong motor responses that were similar in both conditions.

Finally, a low number of incorrect trials was reported in these data since the subject performance was high. Thus, due to this imbalance, it did not make sense to separately analyse incorrect and correct trials. Nevertheless, a task that induces more incorrect responses would be desirable for future studies since the classification between incorrect and correct trials would give stronger evidence of the behavioural relevance of the involved phase coupling patterns.

#### 4.5. Conclusions

We report, for the first time to our knowledge, that it is possible to discriminate between a WM condition and control condition by using a machine learning approach applied to MEG source-level phase coupling data. The across-subjects cross-validation in this relatively large cohort of 83 subjects indicates that the phase coupling patterns in theta and alpha bands are task-specific and common across subjects. These results accumulate evidence for the crucial role of low-frequency oscillatory networks in inter-regional communication, especially in tasks involving top-down processes [6, 91–93]. Furthermore, the multiband classification increased the accuracy and revealed that gamma band phase coupling also contains task-specific information. The increased accuracy in the multiband classification also implies that phase coupling patterns in different frequency bands are independent and related to different neural processes. In future studies, the approach based on the source-level directed phase couplings could be used to contrast many different WM (or cognitive in general) conditions. As already demonstrated in an fMRI study [14], contrasting multiple conditions, all addressing different aspects, allows one to find connectivity patterns that characterise different aspects of WM processing but are still overlapping. Furthermore, a comparative study testing different (robust) phase coupling measures in combination with different classifiers would be insightful.

#### Data and code availability statement

The data used in this study are publicly available and can be downloaded from the Human Connectome Project database (<https://db.humanconnectome.org>). The FieldTrip toolbox (Oostenveld *et al* 2011) is freely available ([www.fieldtriptoolbox.org/download/](http://www.fieldtriptoolbox.org/download/)) under the GNU General Public License. Software packages used for classification analyses are freely available at: scikit-learn (<https://scikit-learn.org/>), nilearn (<https://nilearn.github.io>) and scipy ([www.scipy.org](http://www.scipy.org)).

The phase coupling (MPSI) data and the MatLab script for calculation of the MPSI are available upon

request. Conditions for sharing or re-use include citation of the related papers, compliance with CC BY-NC-ND 4.0 licensing, with the requirements of the funding bodies and with institutional ethics approval.

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#### Conflict of interest

The authors declare no conflict of interest.

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