

Manuscript Number: CAGEO-D-15-00434R2

Title: Mimic expert judgement through automated procedure for selecting rainfall events responsible for shallow landslide: a statistical approach to validation

Article Type: Research paper

Keywords: rainfall thresholds, shallow landslide, automated procedure, statistical tests, LANDTRAIN code

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Abstract: This paper proposes an automated method for the selection of rainfall data (Duration, D, and Cumulated, E), responsible for shallow landslide initiation. The method mimics an expert person identifying D and E from rainfall records through a manual procedure whose rules are applied according to her/his judgement. The comparison between the two methods is based on 300 D,E pairs drawn from temporal rainfall data series recorded in a 30 days time-lag before the landslide occurrence. Statistical tests, employed on D and E samples considered both paired and independent values to verify whether they belong to the same population, show that the automated procedure is able to replicate the expert pairs drawn by the expert judgment. Furthermore, a criterion based on cumulated distribution functions (CDFs) is proposed to select the most related D,E pairs to the expert one among the 6 drawn from the coded procedure for tracing the empirical rainfall threshold line.

## Response to Reviewers

All the minor revisions suggested by the editor are undertaken in this third version of the manuscript.

In addition, lines 264-284 have been rephrased in order to improve the clarity of the text, according to the following advice of Reviewer #1:

Authors added additional test which proved my previous doubts. The language (not English but language at all) of that part requires improvement:  
..differences of paired samples are NULL through student and rank tests...  
..Table 3 shows the results of these hypotheses.... ? etc.

# 1 Highlights

- 2 • A code to calculate duration and cumulated rainfall related to occurred landslides
- 3 • Six duration and cumulated values are drawn by setting  $W$  and  $\Delta T$  input variables
- 4 • An automated method for non-expert users to undertake expert tasks
- 5 • Automated and expert procedures are compared through statistical tests

1           **Mimic expert judgement through automated procedure for selecting**  
2           **rainfall events responsible for shallow landslide: a statistical approach to**  
3   **validation**

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11 **Abstract.** This paper proposes an automated method for the selection of rainfall data (Duration, D,  
12 and Cumulated, E), responsible for shallow landslide initiation. The method mimics an expert person  
13 identifying D and E from rainfall records through a manual procedure whose rules are applied  
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21

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23 code

24

## 25        **1) Introduction**

26    Shallow landslides triggered by rainfalls are a common source of damage to infrastructures,  
27    casualties and interruption of functionality of transportation systems worldwide. For this  
28    reason early warning systems have been devised to predict their possible occurrence (Baum  
29    and Godt 2010; Rossi et al. 2012; Papa et al. 2013) commonly by means of empirical rainfall  
30    thresholds. These have been introduced since several decades (Wieczorek and Guzzetti, 1999;  
31    Berti et al. 2012; Peruccacci et al. 2012; Nikolopoulos et al., 2014; Segoni et al., 2014;  
32    Zhuang et al., 2015) and represent the minimum rainfall cumulated E or intensity I versus  
33    duration values D responsible for landslide initiation. D,E or D,I pairs have been selected  
34    according to several expert criteria implemented by manual procedures proposed for different  
35    geographic and geologic settings (see the works by Caine (1980), Innes (1983) from  
36    worldwide databases, Ceriani et al. (1994) and Bolley and Oliaro (1999) from the Italian  
37    Alps, Wilson et al. (1992) from Hawaii, Sandersen (1996) from Norway, Dahal et al. (2008)  
38    from Nepal). Recently, for the Italian territory an expert method has been proposed by  
39    Brunetti et al. (2010) and Peruccacci et al. (2012) to select D,I and D-E pairs, respectively,  
40    aimed at the identification of rainfall threshold for the initiation of shallow landslides.  
41    Through the expert method the latest Italian empirical rainfall threshold has been drawn using  
42    2408 landslide events (Brunetti et al. 2015). This method has been used within the early  
43    warning system SANF (an acronym for national early warning system for rainfall-induced  
44    landslides) devised by the CNR-IRPI research group (Rossi et al. 2012) for the Italian Civil  
45    Protection Office (DPC). Within this research project, financially supported by the DPC,  
46    some attempts to implement automated procedure that mimic the expert judgement were  
47    addressed. Automated procedures are needed from local administrations which employ non-  
48    expert users to implement policies against hazards. At this aim, the automated procedure by  
49    Vessia et al. (2014) was implemented as a code in R language (R core team 2013). It enables

50 non-expert users to retrieve multiple D,E or D,I pairs from an input datasets of shallow  
51 landslide events. Comparing expert and automated methods that, starting from an observed  
52 shallow landslide event, calculate the event rainfall likely to be responsible for the failure, is  
53 not a straightforward task. In fact, there is often the possibility of multiple choices for the  
54 rainfall event. This is typically reduced at only one selection in the expert method, based on  
55 the user experience. The expert user accomplishes the calculation of E and D through flexible  
56 judgement according to different rainfall patterns in different seasons or climatic conditions.  
57 On the other hand, an “automated method” should not depend on the operator, but rather be  
58 able to guarantee the repeatability of the working steps, even though with a typically lower  
59 degree of flexibility and systematic biases. In the following, the comparison between the  
60 preceding two types of methods that independently calculate D-E pairs is undertaken. The  
61 comparison between the D-E pairs is addressed through statistical tests. In detail, to make the  
62 comparison feasible, two conditions were met: (1) the same sample, consisting of 300  
63 landslide events that occurred in Central-Southern Italy in the time span 2002-2012 was used;  
64 (2) the same criteria to define the time of the landslide onset and to derive its geographical  
65 location from the sources of information have been adopted. Main objective of the  
66 comparison is investigating the sample marginal distribution and moments of E and D to  
67 check whether both belong to the same population. If this is the case, the “automated method”  
68 can be considered to adequately reproduce the “expert” choice of the rainfall event that likely  
69 induced a shallow landslide. This means that the systematic bias introduced by a repetitive  
70 procedure does not heavily affect its calculations. In this regard, the automated method shows  
71 to be predictive like the expert method. To this end, statistical tests of hypotheses are used for  
72 paired and independent samples.

73

74

## 75 **2) Methodological approach**

76 The exhaustive description of the effects of rainfall events as inducing shallow landslides is  
77 not feasible due to many uncertain factors that are: 1) the landslide initiation time and its  
78 location, drawn by reliable sources of information, 2) the number of contemporary landslides  
79 and the time delays of multiple landslide initiation, 3) the contribution of evapotranspiration  
80 on the moisture conditions predisposing to landslide occurrence. As the sources of  
81 information are concerned, the most certain source is the direct observation of witnesses,  
82 better if they are landslide experts, which, however, is rarely the case. Thus, the main sources  
83 of information for scientists are newspapers or reports from fire fighters. These latter typically  
84 refer only to those landslides affecting the main transportation lines or urban centers. When  
85 single or multiple landslides occur outside urbanized areas they presumably go undetected.  
86 Furthermore, when a shallow landslide occurs along a transportation line, a spatial precision  
87 lower than 1km is easier to be acquired, although it strongly depends on the quality of the  
88 information sources. In these cases, it is cumbersome to associate a rain-gauge to this  
89 landslide. Shallow landslides with geographical precisions lower than  $100\text{km}^2$  will not be  
90 taken into account in this article.

91 Concerning the evapotranspiration role within soil matrix, it reduces the wet condition of the  
92 surficial soil deposits. The magnitude of this contribution over the seasons in Mediterranean  
93 climate has been investigated during the last years by means of experimental studies, thus  
94 some assumptions can be posed.

95 Longobardi and Khaertdinova (2015) investigated the evapotranspiration fluxes during inter-  
96 storm periods at an experimental site in Southern Italy. Their measures pointed out that  
97 evapotranspiration affects the 10 cm depth much more than at 30 cm. Moreover, 15 days  
98 seem to be the time span needed for increasing 3-4% the water depletion at 30 cm, both

99 during the wet and the dry seasons. Thus, at a seasonal scale, the rate of depletion appeared to  
100 be rather uniform throughout the year mostly for the deeper layers.

101 A laboratory testing in a climatic chamber has been performed by Cui et al. (2014) for  
102 investigating the desiccation process of clay with plasticity index  $PI=35\%$ . Based on the  
103 measured volumetric water content and suction, the Longobardi and Khaertinova (2015)  
104 finding is confirmed; the drying process by evapotranspiration is not active at depth  $> 25$  cm.  
105 The actual evaporation rate curve shows that the soil in the near surface zone (5 cm) become  
106 unsaturated after 5 days. Based on these investigations, it can be drawn that  
107 evapotranspiration cannot be considered influencing the landslide predisposing wet  
108 conditions. Thus it has been disregarded in the automated method. A detailed description of  
109 the “expert” and “automated” method is provided in Vessia et al. (2014), to which the reader  
110 is referred for further details. Here, the logical schemes of the two methods are summarized  
111 and shown in Fig. 1a,b, and the essential features of the methods are outlined as follows. An  
112 Online Resource is available at <https://github.com/gvessia/LANDTRAIN>.

113 The two procedures show some common characters, namely: 1) assuming the end time of the  
114 rainfall  $T_E$  as the time of the landslide onset; 2) searching for the start time of the rainfall  
115 event  $T_S$ ; 3) using representative rain gauge records selected within a radius of 10 km from  
116 the landslide point; 4) dividing the spatial precision of the landslide events into 4 spatial  
117 precision classes:  $<1$  km<sup>2</sup> (P1), 1-10 km<sup>2</sup> (P2), 10-100 km<sup>2</sup> (P3), 100-1000 km<sup>2</sup> (P4); 5)  
118 dividing the temporal precision into three classes: hourly ( $\pm 1h$ ), estimated portion of the day  
119 ( $\pm 6h$ ) and daily based ( $\pm 24h$ ) (Gariano et al. 2012). All these assumptions brought to the  
120 selection of the 300 landslide events analyzed hereafter.

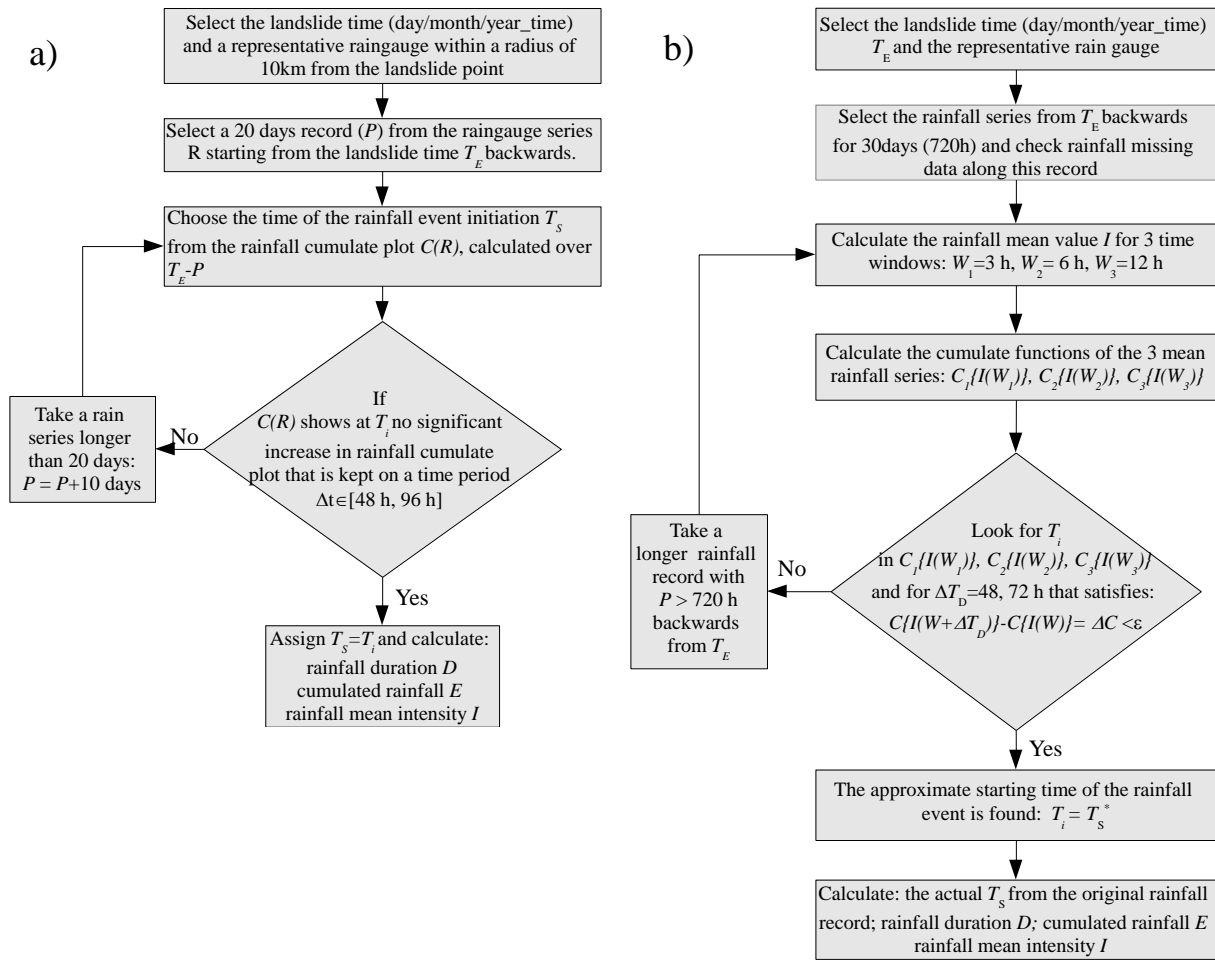
121 The main differences between the two methods can be summarized as follows: the expert  
122 method (Fig. 1a) detects the starting time of the event rainfall by means of the visual insight



123 of the cumulated rainfall over at most 20 days backwards  $T_E$ . The  $T_S$  is assumed as the first  
124 rainy hour after a dry period of  $\Delta T=48-72$ h (during spring and summer) or  $\Delta T=72-96$ h (during  
125 fall and winter).

126 On the contrary, the automated method (Fig. 1b) analyses a rainfall record of at least 30 days,  
127 going backwards from  $T_E$ , and looks for two time periods ( $\Delta T=48$ h and 72h) along which the  
128 cumulates of three rainfall intensity series (with time windows  $W= 3, 6$  and 12h) stop to  
129 increase. According to the findings in section 2.1, no differences in seasons are considered for  
130 the inter-storm time span, since evapotranspiration is disregarded. The  $T_S$  is assumed to be the  
131 first rainy hour after two periods  $\Delta T=48$  and 72h, characterized by very low rainfall intensity  
132 ( $\varepsilon<0.2$ mm/h). These two inter-storm periods are considered like the minimum time span to  
133 discriminate the end of a rainfall event and the beginning of a new one, independent of the  
134 previous. The two values try to catch four different rainfall patterns: short wet periods with  
135 short dry periods, short wet periods with long dry periods, long wet periods with short dry  
136 periods and long wet periods with long dry periods.

137



138 **Fig. 1** Logical sequence of the working procedure to calculate (D,E) pairs from rain gauge rainfall records: a) the  
 139 expert method; b) the automated method

140

141 The two methods provide different sets of (D,E) pairs for the same number of landslides: the  
 142 expert method calculates one (D,E) pair for each landslide, whereas the automated method  
 143 calculates 6 (D,E) pairs per landslide. The latter is due to the combinations of the following  
 144 two parameters: 3 time windows  $W$  and 2 time periods  $\Delta T$ . These six combinations simulate  
 145 the expert judgment looking at the rainfall records in terms of temporal patterns. These latter  
 146 change according to seasons, climatic zone and altitude. Simplifying, two models can be  
 147 considered: i) the “convective rainfall”, known as “storm” and characterized by high rainfall  
 148 intensities occurred in limited portions of territory; and ii) the “frontal rainfall”, which shows

149 high cumulated rainfall values over long durations. These two models do not take into  
150 account hurricanes, or those “extreme” events that are commonly responsible for many effects  
151 at the ground surface.

152

### 153 **3) Key features of the 300 shallow landslide samples**

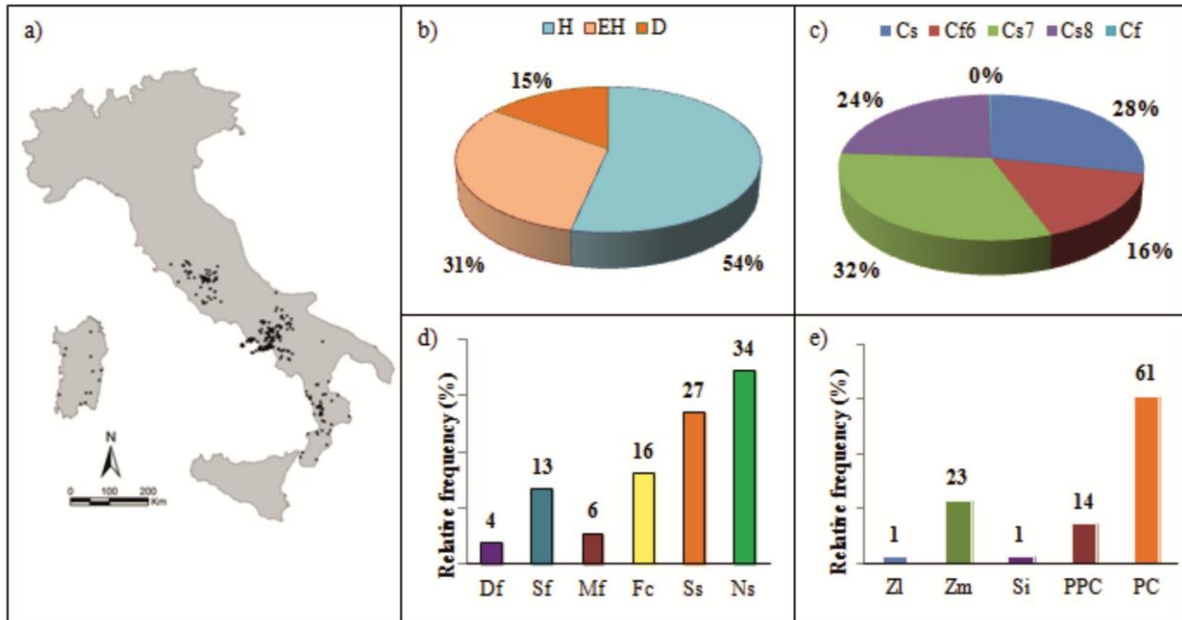
154 For the purpose of comparing the “automated” and the “expert” methods, 300 single shallow  
155 landslides located in Central-Southern Italy (Fig. 2a) have been selected from a larger  
156 database collected on the Italian territory by CNR-IRPI (Brunetti et al. 2015). The main  
157 properties of these 300 landslide events are shown in Fig. 2b-e. They have been extracted in  
158 GIS environment by using as base map a 20x20m DEM of Italy (Tarquini et al. 2007, 2012).  
159 The geographical precision in the location of the 300 landslides covers prevalently the classes  
160 P1 and P2, with 62% and 43%, respectively. Only a few landslides (4%) are characterized by  
161 P3 precision.

162 Figure 2b shows the temporal precision of the landslides: the majority (54%) are classified  
163 with hourly precision, while a presumed hour has been assigned to 31% of the landslides.  
164 Finally, 15% of the sample shows a daily precision.

165 The type of slope movements in the sample is illustrated in Fig. 2d. As it can be noted, five  
166 types of shallow landslides are considered. Nonetheless, for a significant part, corresponding  
167 to 34% of the sample, the mechanism is not known from the information source. Additionally,  
168 Fig. 2c-e show the climate and physiographic conditions related to the selected landslides. As  
169 concerns the climate, the Koppen-Geiger classification was used (Peel et al. 2007). The  
170 selected shallow landslides fall within four temperate climates, characteristic of the  
171 Mediterranean areas (Fig. 2c): Cs=Temperate sub-tropical; Cf6=Temperate Subcontinental;  
172 Cs7=Temperate Sub-coastal; Cs8=Temperate hot. Finally, modifying the Italian  
173 physiographic map “Carta Natura” (ISPRA 2013), at 1:250.000 scale, five physiographic

174 units have been considered. The selected shallow landslides mostly occurred in (Fig. 2e) hill  
 175 (61%), mountain (23%) and plain and coast (14%).

176



177

178 **Fig. 2** A) Shallow landslide locations in Italy - B) Temporal accuracy (H=hourly; EH- estimated hour; D=daily)  
 179 - C) Climate classification (Cs= Subtropical; Cf6= Subcontinental; Cs7= Sublittoral; Cs8= Warm Temperate) -  
 180 D) landslide mechanism (Df=debris flow; Sf=soil flow; Mf=mud flow; Fc=fall; Ss=soil slip; Ns=non specified) -  
 181 E) Physiographic units (Zl=Lacustrine zone/Lagoon; Zm=mountain; Si=Inter-mountain sectors; PPC= plain and  
 182 coast; PC=hill)

183

184 This brief description of the sample of 300 shallow landslides enables to state that it is  
 185 representative of the typical shallow landslides characterizing the central and southern  
 186 portions of Italy. On these bases some general conclusions can be inferred from the following  
 187 statistical analyses.

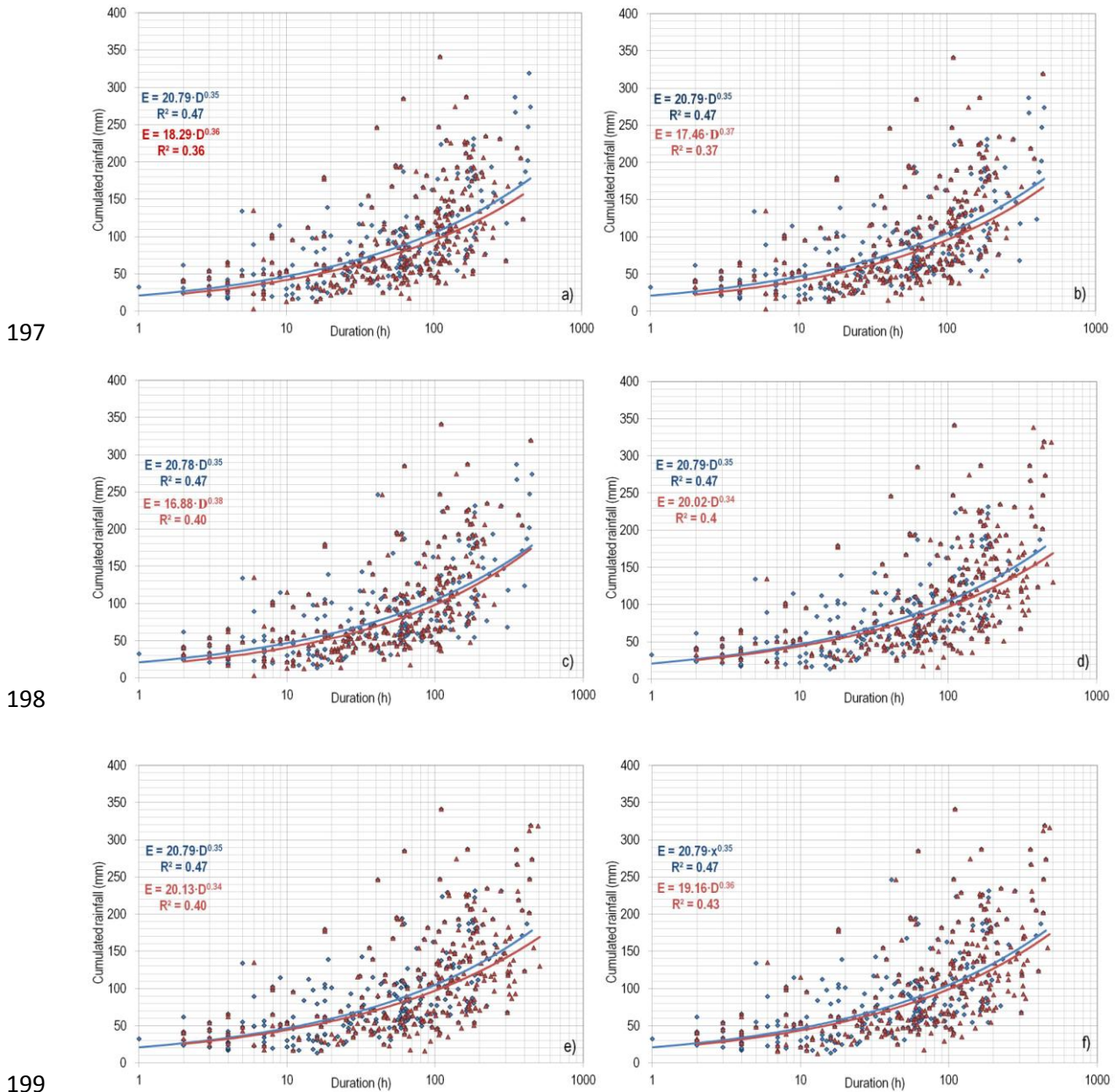
188

#### 189 4) Statistical comparisons

190

##### 191 4.1 The first glance for D-E pairs

192 The six different 300 ( $D,E$ ) pairs drawn by the automated method and that calculated through  
 193 the expert method are plotted in Figs. 3 a-f. The resulting mean threshold lines are also  
 194 shown. As it can be noted, they show similar trends (derived by the ordinary least squares  
 195 OSL method), although the coefficient of determination  $R^2$  of the expert method is always  
 196 higher than those related to the automated one.



200 **Fig. 3** Cumulated vs Duration rainfall mean threshold lines traced by means of the ordinary least squares method  
 201 in abscissa log scale: blue pairs from expert method, red pairs from automated method. Combinations from  
 202 automated method: a) 48x3; b) 48x6; c) 48x12; d) 72x3; e) 72x6; f) 72x12

203

204 It is worth noticing that the automated datasets show slightly different characters according to  
 205 the parameter combinations  $W$  and  $\Delta T$ . When  $\Delta T=48h$  (Fig. 3a-c) the automated duration  
 206 values fall between the expert extreme duration values. On the contrary, when  $\Delta T=72h$  the  
 207 automated dataset shows higher duration values than the expert ones, which are concentrated  
 208 in the short and middle duration values. Moreover, the cumulated values from the automated  
 209 method with  $\Delta T=48h$  show lower values than the expert at middle duration values. These  
 210 graphical evidences correspond to analytical differences in mean, median, standard deviation,  
 211 minimum and maximum values of cumulated and duration samples (Table 1). As it can be  
 212 argued from the cumulated sample, the expert estimator values fall within those related to the  
 213 six automated samples. In particular, the 48 group shows lower cumulated estimators than the  
 214 mean, median and minimum values of the expert method. The duration estimators, on the  
 215 other hand, show higher mean and lower standard deviation values than the expert duration  
 216 dataset. The 72 group is always higher than the expert estimator values, for both the  
 217 cumulated and duration values. Thus D-E pairs from the 48 group resemble the expert ones  
 218 rather than the 72 group. Carrying on the deterministic comparison, Table 2 shows the  
 219 overlapping percentage of cumulated and duration values calculated by the two methods.

220

221 **Table 1** Some relevant estimators of Cumulated and Duration samples drawn from the expert and the automated  
 222 methods

<b>CUMULATED</b>	<b>EXP</b>	<b>AUT</b>	<b>AUT</b>	<b>AUT</b>	<b>AUT</b>	<b>AUT</b>	<b>AUT</b>
		<b>48x3</b>	<b>48x6</b>	<b>48x12</b>	<b>72x3</b>	<b>72x6</b>	<b>72x12</b>
Mean	96.5	94.8	92.7	91.2	108.3	107.0	105.2
Median	78	74.6	70.1	69.1	93.3	93.3	91.1
Standard Deviation	63.4	60.0	61.0	61.1	67.8	66.4	66.7

Minimum	13.4	2.93	2.93	2.93	15.8	15.8	12.6
Maximum	341.2	341.2	341.2	341.2	341.2	341.2	341.2
<b>DURATION</b>							
Mean	83.8	88.3	81.3	76.1	128.5	123.9	113.9
Median	58	70	63	60	103.5	100.5	84.0
Standard Deviation	90.5	72.1	69.7	67.9	110.0	107.6	103.3
Minimum	1	2	2	2	2	2	2
Maximum	451	403	444	444	509	509	478

223

224 These overlapping percentages are calculated by considering two ranges of tolerance:  $\pm 10\text{mm}$   
225 and  $\pm 10\text{h}$  for cumulated and duration values, respectively. They show very similar high values  
226 with slight differences between the 48 and 72 groups. In detail, the 48 group shows a 77-79%  
227 overlapping percentage for cumulated values, and a 64-69% for durations; the 72 group is  
228 characterized by lower overlapping percentages for both the cumulated and duration values.  
229 The reason for higher overlapping percentage in cumulated values than duration is due to the  
230 selection criteria: the automated method selects the rainfall event on the basis of the  
231 cumulated value; accordingly the duration is selected. In doing this corresponding cumulated  
232 values can be related to different duration values according to selecting criteria used by the  
233 two methods.. Nonetheless, considering all the six samples from the automated method, the  
234 percentage of D,E overlapping rises to 82 and 90%, respectively. Precisely, the duration  
235 overlapping percentage is less than the cumulated one because, although the cumulated value  
236 is similar between the expert and one (or more than one) of the automated six combinations,  
237 the corresponding duration values can be more different than the cumulated values. As a  
238 matter of fact,  $\pm 10\text{mm}$  in cumulated value can differ much more than  $\pm 10\text{h}$  in duration value,  
239 depending on the pattern of the rainfall and the choices drawn from the expert judgement. For

240 all these reasons, the overlapping percentages shown are considered satisfactory from a  
 241 deterministic standpoint.

242

243 **Table 2** Overlapping percentages of cumulated and duration values between the two methods: EXP=expert,  
 244 AUT=automated

	Cumulated values (±10mm)	Duration values (±10h)
EXP –AUT 48x3	79%	64%
EXP –AUT 48x6	78%	66%
EXP –AUT 48x12	77%	69%
EXP –AUT 72x3	71%	58%
EXP –AUT 72x6	73%	61%
EXP –AUT 72x12	77%	66%
EXP – TOT AUT	90%	82%

245

#### 246 **4.2 Statistical tests for D-E pairs analyzed**

247 In the study developed hereafter, some hypotheses are tested through parametric and non-  
 248 parametric statistical tests on E rainfall cumulated values (and accordingly D values) drawn  
 249 by not linear operations from different parsec of rain gauge recordings. It must be recalled  
 250 that the two procedures use different criteria and rules to calculate E and accordingly D.

251 Moreover, the 300 values selected through expert judgement are drawn from many different  
 252 experts. This implies the subjectivity of the application of common rules by different  
 253 experts/scientists. Experts look at the rainfall hourly measures and sum them up backwards to  
 254 find out the initiation of the rainfall event; the automated method looks at the rate of intensity  
 255 variations in rainfall measures within different time windows. These two different procedures  
 256 produce different E samples that cannot be considered paired yet, although referred to the  
 257 same rain station related to the same landslide. This poses the question we are trying here to



258 answer: “do the two methods generate statistically equivalent D,E samples to be used for  
 259 rainfall threshold construction?”

260 In order to make a statistical comparison between the D,E datasets calculated by the two  
 261 methods, statistical tests on the sample marginal distributions of D and E, and their mean  
 262 values and variances, have been applied by using Statgraphics (StatPoint Technologies 2013).  
 263 First, the tests for paired samples have been undertaken on the differences of paired E and D  
 264 values calculated at the same rain stations from the two methods. Three null hypotheses have  
 265 been checked: (1) the mean and (2) the median of differences of the paired samples are null;  
 266 (3) the standard deviation of the paired samples is equal to 1. Student and rank tests were used  
 267 for the verification of the first two hypotheses, while the  $\chi^2$  test was used for the third one.  
 268 The null hypotheses have to be rejected if their probability P value is lower than 0.05. Table 3  
 269 shows the results of these tests: the three null hypotheses are rejected for almost all the paired  
 270 samples. Hence D,E samples from the two methods cannot be considered belonging to the  
 271 same population if they are taken as paired measures.

272

273 **Table 3** Statistical comparisons of E and D paired samples: expert samples versus the 6 combinations drawn by  
 274 the automated method. The null hypotheses are all checked at 95% of confidence. P values are listed in column.

Paired samples	No differences between	No differences	No differences
	Mean Values (Student test)	between medians (rank test)	between Standard deviations ( $\chi^2$ test)
Cumulated (expert vs 48x3)	0.35	0.0	0.0
Cumulated (expert vs 48x6)	0.06	0.03	0.0
Cumulated (expert vs 48x12)	0.02	0.12	0.0
Cumulated (expert vs 72x3)	0.0	0.0	0.0
Cumulated (expert vs 72x6)	0.0	0.0	0.0
Cumulated (expert vs 72x12)	0.0	0.0	0.0

Duration (expert vs 48x3)	0.32	0.0	0.0
Duration (expert vs 48x6)	0.58	0.0	0.0
Duration (expert vs 48x12)	0.09	0.07	0.0
Duration (expert vs 72x3)	0.0	0.0	0.0
Duration (expert vs 72x6)	0.0	0.0	0.0
Duration (expert vs 72x12)	0.0	0.0	0.0

275

276 Further, the three null hypotheses above have been also checked on the six paired samples  
 277 from the automated method (calculated using three values of  $W$  and two values of  $\Delta T$ ). The  
 278 following tests for multiple samples have been used: least significant difference (LSD) test for  
 279 mean, Kruskal-Wallis test for median, and Leven test for variance values. The tests show that  
 280 the three samples related to  $\Delta T=48$  belong to the same population. This is also true for the  
 281 three samples related to  $\Delta T=72$ . Conversely, the preceding null hypotheses fail to be tested  
 282 when applied to the preceding 6 samples (grouping  $\Delta T=48$  and 72 samples). This implies that  
 283 statistically meaningful differences in mean, median and variance values can be detected if  
 284 samples are related to different  $\Delta T$  values.

285 As a second step of this statistical study the 300 D-E pairs from the expert method are now  
 286 taken as independent of the previous 6x300 pairs, since they were demonstrated not to belong  
 287 to the same population as paired samples. This second condition is weaker than the preceding  
 288 one but for the purpose of collecting D-E pairs of data (that is tracing empirical rainfall  
 289 thresholds) it is acceptable. In fact, D-E pairs from the two methods are needed to generate a  
 290 mean trend and variance that are “statistically” similar on the whole.

291

292 **Table 4** Best fitting model distributions for D and E samples (null hypothesis checked through the Kolmogorov-  
 293 Smirnov test at 95% confidence)

Sample	Kolmogorov-Smirnov's test	P-value
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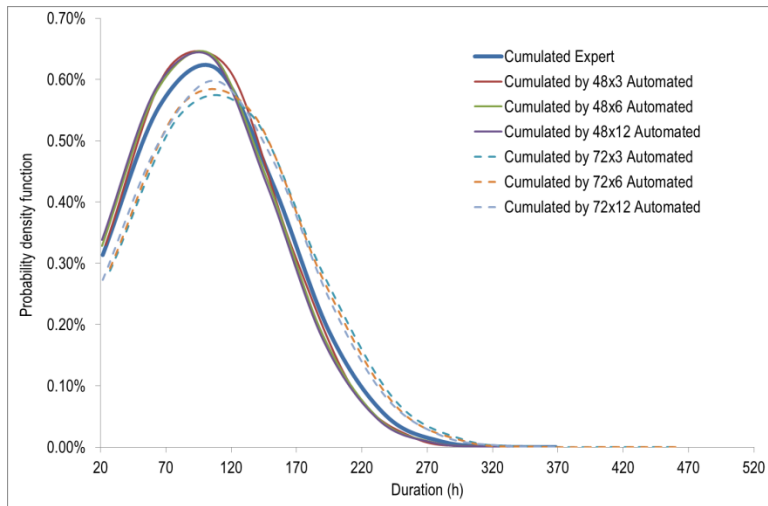
Cumulated expert	LogNormal	0.74
Cumulated 48x3	LogNormal	0.56
Cumulated 48x6	LogNormal	0.68
Cumulated 48x12	LogNormal	0.67
Cumulated 72x3	LogNormal	0.16
Cumulated 72x6	LogNormal	0.13
Cumulated 72x12	LogNormal	0.24
Duration expert	Weibull	0.46
Duration 48x3	Weibull	0.14
Duration 48x6	Weibull	0.31
Duration 48x12	Weibull	0.23
Duration 72x3	Weibull	0.59
Duration 72x6	Weibull	0.78
Duration 72x12	Weibull	0.78

---

294

295 Also in this second case, the statistical analyses are aimed at checking whether the D and E  
296 datasets drawn from the two methods belong to the same distribution and population.  
297 Frequency histograms of the E and D samples are separately studied and illustrated. Table 4  
298 lists the best fitting model distributions of the samples estimated by means of the  
299 Kolmogorov-Smirnov goodness test. P-value, the probability value for the null hypothesis,  
300 checks the correspondence between the sample and the model probability distributions. This  
301 test verifies the null hypothesis at the 95% confidence level, meaning that P-value shall be  
302 higher than 0.05 when the null hypothesis is accepted.

303

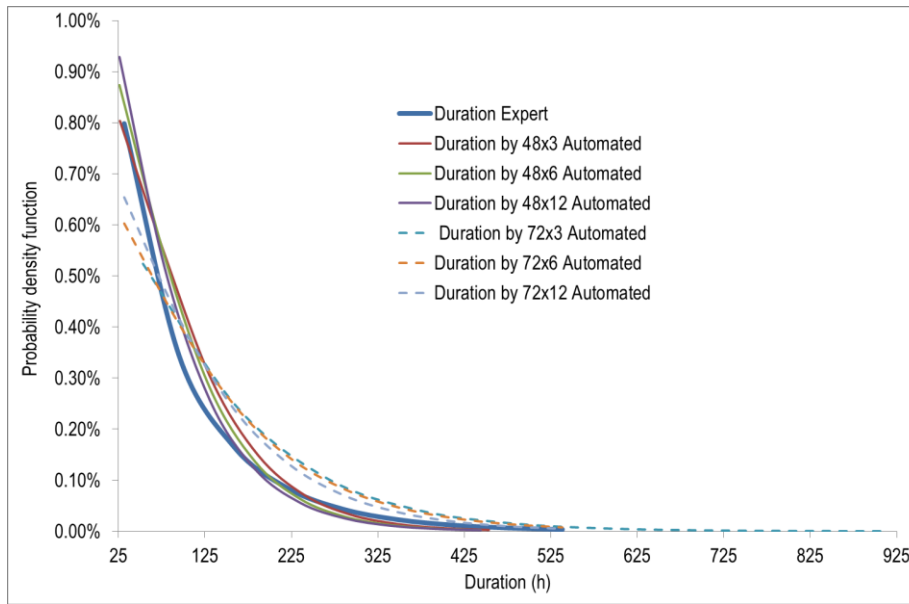


304

305 **Fig. 4** Best fitting distribution models for the six samples of cumulated values calculated by the automated  
 306 method and the sample by the expert method

307

308 From Table 4 the following two pieces of information can be drawn: 1) all E samples follow  
 309 the log-normal distribution at high P-values. These values are the highest among all tested  
 310 models; 2) all D samples show the highest P value for the Weibull distribution model. Figures  
 311 4 and 5 show the probability density functions of the best fitting models for the E and D  
 312 samples. As it is evident, the probability density functions of the expert samples are much  
 313 better mimicked by the 48 group of automated samples.



314

315 **Fig. 5** Best fitting distribution models for the six samples of duration values calculated by the automated method  
 316 and the sample by the expert method

317 Table 5 summarizes the results from statistical comparisons of the pairs of D and E samples  
 318 from the expert and the automated methods. They aim at checking three null hypotheses: 1)  
 319 Equal mean values (Fisher test is used), 2) Equal variances (ANOVA table and F test), 3)  
 320 Population of the two samples (Kolmogorov-Smirnov's test). Thus, the null hypotheses are  
 321 verified if no statistically meaningful differences between samples from the two procedures  
 322 will be found at the 95% confidence level. Comparisons between E samples verify the three  
 323 null hypotheses, but this is not true for D samples. The reason for this result is related to the  
 324 Duration sample distributions: the Weibull distributions are not fully defined by the mean  
 325 values and the variances, but rather by the two variables  $k$  and  $\lambda$  according to the following  
 326 expression:  $k/\lambda^k x^{(k-1)} e^{-(x/\lambda)^k}$ . Thus, the first two null hypotheses can be applied  
 327 only to Normal or LogNormal distributions. Nonetheless, the third null can be applied  
 328 because the Kolmogorov-Smirnov test does not need the sample to follow a Gaussian  
 329 distribution (being a non-parametric test). This null hypothesis is verified by D samples of  
 330 expert and by the 48x12 combination of the automated procedure. Thus, these two samples

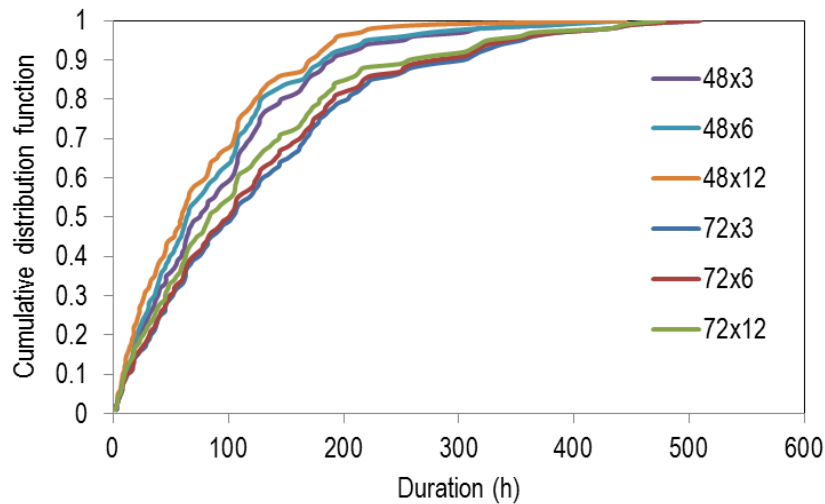
331 belong to the same population based on the statistical tests. This also implies that they can be  
 332 employed indifferently for tracing the rainfall empirical threshold lines. Moreover, this result  
 333 highlights that the automated procedure is able to mimic the expert judgment by means of the  
 334 combination device. This is true in the current study and for the 300 landslide events  
 335 analyzed.

336 **Table 5** Statistical comparisons of E and D samples: expert samples versus the 6 combinations drawn by the  
 337 automated method. The null hypotheses are checked at 95% confidence

Pairs of independent samples	No differences between Mean	No differences between Standard	No differences in
	Values (Fisher test of Least Significance Difference )	deviations (F test through ANOVA Table)	cumulative distribution functions (Kolmogorov-Smirnov test)
Cumulated (expert vs 48x3)	0.74	0.33	0.99
Cumulated (expert vs 48x6)	0.46	0.51	0.93
Cumulated (expert vs 48x12)	0.3	0.51	0.65
Cumulated (expert vs 72x3)	0.05	0.25	0.06
Cumulated (expert vs 72x6)	0.05	0.42	0.08
Cumulated (expert vs 72x12)	0.1	0.39	0.21
Duration (expert vs 48x3)	0.5	Not applicable	0.02
Duration (expert vs 48x6)	0.7	Not applicable	0.04
Duration (expert vs 48x12)	0.24	Not applicable	0.3
Duration (expert vs 72x3)	0	Not applicable	0
Duration (expert vs 72x6)	0	Not applicable	0
Duration (expert vs 72x12)	0.0002	Not applicable	0.0003

338

339



340

341 **Fig. 6** Cumulative distribution function of duration samples of 300 values calculated through 6 combinations by  
 342 the automated method

343

344 **5) Results and discussion**

345 According to the statistical analysis, only one D-E pair from the automated method is  
 346 representative for the expert sample. In detail (Table 4), all the E samples from the automated  
 347 method are representative for the expert one, but this is not true for D samples. The outcome  
 348 is statistically evident but not at first glance for a non-expert user that calculated several  
 349 combinations of  $\Delta T$  and  $W$ . As previously noted, both the automated and the expert method  
 350 select E values and derives D values. In doing this, D samples from the automated procedure  
 351 are not all similar to the expert one. Working on the Italian territory, only the combination  
 352 48x12 seems to replace the D-E samples from the expert method. Thus, in order to select the  
 353 expert-like D sample in advance, the inspection of D sample cumulative distribution functions  
 354 CdF can be undertaken. Figure 6 shows the six CdF of D samples: the combinations 48x3,  
 355 48x6 and 48x12 have the most vertical S shape. Thus, the variance associated to the sample  
 356 decreases when the CdF gets vertical. The 48x12 CdF shows to be the most vertical (Fig. 6),  
 357 as it can be analytical appreciated through a coefficient of verticality  $C_V$ :

358

359 
$$C_V = \frac{Q_{100}}{Q_{50}} \quad (1)$$

360

361 where Q are quantile values:  $Q_{100}$  is the fourth quartile and  $Q_{50}$  the second quartile. This  
362 index represents an additional measure of Duration sample dispersion. In order to choose the  
363 best D sample it will show the highest verticality, which means the less dispersion. In fact,  
364 calculating the  $C_V$  values for the six D samples we have:

365

366  $C_{V48 \times 3} = 5.37$ ;  $C_{V48 \times 6} = 6.9$ ;  $C_{V48 \times 12} = 7.46$ ;  $C_{V72 \times 3} = 4.92$ ;  $C_{V72 \times 6} = 5.06$ ;  $C_{V72 \times 12} = 5.72$

367

368 In the case study, the highest  $C_V$  is related to the combination that statistically resembles the  
369 expert D sample. Such a rule can be used when no expert duration samples are available.

370

### 371 **Conclusions**

372 This study used statistical tests to verify that an automated method can simulate the  
373 calculation of rainfall events (in terms of D and E values) responsible for shallow landslide  
374 initiation accomplished by an expert through a manual procedure. **The statistical study on 300  
375 shallow landslides occurred in Italy indicates that:**

376 **(1) E and D paired samples from the two methods do not belong to the same population;**

377 **(2) E and D independent samples show that at least one combination of W and  $\Delta T$  parameters  
378 implemented into the coded procedure provides E and D samples similar to the expert  
379 method;**

380 **(3) D samples are much variable than the corresponding E samples; ~~thus-therefore~~, the  
381 combination of D,E samples ~~must-should~~ be chosen based upon the D sample variance (a  $C_V$   
382 verticality coefficient was introduced for this purpose).**



383 These outcomes confirm that the automated procedure simulate the expert judgment  
384 notwithstanding the systematic bias discussed in section 4.1. Hence, coded procedures ~~can~~  
385 appear to be useful to minimize the human errors and to enable non-expert users to calculate  
386 the D-E pairs. In the Italian territory, this procedure ~~can~~ could be adopted also at the regional  
387 scale provided that a large number of D,E sample data is available.

388

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