

1 **Cervical rib in a young individual from the late medieval**
2 **cemetery of Corfinio (XII–XIII centuries CE, Italy): a case**
3 **report and review of the literature**

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11
12 With 7 figures and 1 table

13
14 **Abstract:** Cervical ribs constitute the most important variants among ribs, particularly because
15 of their proximity to the brachial plexus nerve network and subclavian artery. Thus, cervical ribs
16 may exert excessive pressure upon these structures, producing a variety of symptoms that may be
17 considered as a neuro-vascular complex. Cervical ribs are not uncommon in the catalogue of
18 anatomic abnormalities in modern medicine, but few cases have been reported from historic
19 skeletal material. We conducted a review with the existent articles published in
20 palaeopathological literature, the historical background with the most important milestones
21 regarding the studies on cervical ribs, the embryological processes in their development, and their
22 prevalence and principal clinical features. Finally, we described a case of a cervical rib that was
23 observed in the skeletal remains of a young individual from the late medieval cemetery of Corfinio
24 (XII–XIII centuries CE, Italy).

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26 **Keywords:** supernumerary rib; congenital anatomical variant; thoracic outlet syndrome;
27 archaeology; palaeopathology; *Corfinium*

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40 Introduction

41 Anatomical ‘variant’ is defined as the normal flexibility of size, form, structure and position
42 of body structures (Sañudo et al., 2003). They represent a small departure of the normal
43 presentation, and therefore, usually do not require therapeutic treatments in the clinical setting;
44 however, they may become symptomatic under certain circumstances (Georgiev, 2017). Thus,
45 anatomical variants represent an embryological and comparative framework for disciplines such
46 as medicine and life sciences, whose objectives are to understand the morphological properties of
47 the human body and its related structures (Sañudo et al., 2003; Viciano et al., 2017b). According
48 to Hennekam et al. (2013), an anatomical structure is defined as a ‘variant’ when it is within the
49 normal range of variation (i.e., when the prevalence is between 2.5% and 10% for an appropriate
50 reference population), while it is defined as an ‘anomaly’ when it refers to a particular abnormality
51 found in a small proportion (i.e., a prevalence < 2.5%).

52 The thoracic cage of the human skeleton consists of 12 pairs of ribs with their costal cartilage
53 and the sternum (White et al., 2011), but this can vary by either agenesis or the presence of
54 supernumerary bone elements (Black & Scheuer, 1997; Capasso et al., 1999). Congenital agenesis
55 of the ribs is relatively a rare condition (Chen, 2007; Shalaby & Elnagdy, 2020; Zhang & Wang,
56 2018); however, the presence of supernumerary ribs in both the cervical and lumbar regions of
57 the spine is well-reported in the literature (e.g., Anderson, 1996; Barnes, 2012; Black & Scheuer,
58 1997; Brintnall et al., 1956; Capasso, 2001; Chengetanai et al., 2017; Cumming, 1926; Eaves-
59 Johnson, 2010; Hertslet & Keith, 1896; Kammerer, 1901). The presence of supernumerary ribs is
60 considered a phenomenon of atavism due to the general evolutionary tendency towards the
61 reduction in the number of ribs in humans and higher primates (Black & Scheuer, 1997; Gladstone
62 & Wakeley, 1932; Jones, 1913). This evidence is based on the fact that vertebrate animals that
63 develop limbs require greater mobility in the region of the pectoral and pelvic girdles; therefore,
64 the presence of supernumerary ribs in these locations could limit the flexibility and mobility of
65 these regions (Aiello & Dean, 2002; Black & Scheuer, 1997; Scheuer & Black, 2004). For this
66 reason, supernumerary ribs are considered indicators for disadvantageous developmental events
67 and, therefore, are subject to strong negative selection during the evolutionary process (Furtado
68 et al., 2011; Galis, 1999a; Galis et al., 2006). In contrast, limbless vertebrate animals, such as
69 snakes, retain two ribs associated with each vertebra (Adson & Coffey, 1927; Davis & King,
70 1938; Jones, 1913). Jones (1913, 1911) proposed that the morphology and mechanical pressure
71 of the brachial plexus on developing ribs influence the formation of cervical ribs, preventing or
72 limiting their growth; however, Todd (1911) did not agree with this proposition and stated that
73 the brachial plexus alone is insufficient for the presence of cervical ribs. On the other hand, Davis
74 & King (1938) suggested that the development of cervical ribs was constrained by a distinctive
75 trajectory of spinal nerves. Be that as it may, as Black & Scheuer (1997) noted, if these were the
76 possible explanations for the presence of cervical ribs, one would also expect to find ribs in the
77 upper cervical vertebrae. However, as highlighted by the same authors, in the literature, there are
78 no reported cases of supernumerary ribs from first to the fourth cervical vertebrae. Thus, these
79 hypotheses based on anatomical and mechanical factors associated with the brachial plexus and
80 spinal nerves are currently controversial and not widely accepted.

81 We describe a case of a cervical rib that was observed in the skeletal remains of a young
82 individual from the late medieval cemetery of Corfinio (XII–XIII centuries CE, Italy), and we
83 briefly review the existent articles published in palaeopathological literature, the historical
84 background with the most important milestones regarding the studies on cervical ribs, the
85 embryological processes in their development, and their prevalence and principal clinical
86 features.

87

88 Historical background

89 The most important milestones regarding the studies on cervical ribs are briefly described in
90 Table 1. The initial description of a cervical rib apparently dates back to the Roman period (Roos

91 et al., 1999). Other cases have been reported by Andreas Vesalius in the XIV century and by J.F.
92 Hunauld in the XVIII century (Roos et al., 1999). Since the XIX century, clinical studies have
93 described cases of cervical ribs and correlated this anatomical variant with neurovascular
94 symptoms (e.g., Cooper, 1818; Peet et al., 1956).

95 -----INSERT TABLE 1 ABOUT HERE-----

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97 **Review of the palaeopathological literature**

98 Hrdlička (1899) described the anomalous skeleton of an adult male individual from the Valley
99 of Mexico, belonging apparently to the Aztec period. The skeletal remains showed a pair of
100 cervical ribs articulating with the seventh cervical vertebra and concluded that all the thoracic
101 structures (the blood vessels included) were elevated, assuming the supernumerary ribs the
102 anatomical relations which ordinarily belong to the first thoracic pair. Barclay-Smith (1911)
103 provided a detailed report of multiple abnormalities in the vertebral column of a young adult
104 female skeleton from excavations at Sakkara in Egypt (dated to a period between 600 and 500
105 BCE). In this specimen, the neural arch of the seventh cervical vertebra was divided by the spinous
106 process, and an extra cervical vertebra (eighth cervical vertebra) had an associated small cervical
107 rib on the right side. MacCurdy (1923) described an adult male skeleton from Peru, in which there
108 was a supernumerary rib associated with hemivertebrae of the right side. Denninger (1931)
109 provided a detailed description of a specimen from a prehistoric Indian mound in Illinois. The
110 skeleton belonged to a young adult male, in which the body and arch of the seventh cervical
111 vertebra showed winged rib-like processes. On the right side, the supernumerary rib was fused
112 with the transverse process and body of the vertebra. On the left side, the cervical rib was roughly
113 L-shaped and was not fused with the body of the vertebra, but it possessed two distinct articular
114 facets that corresponded with the similar ones on the left side of the body and transverse process
115 of the vertebra. Denninger concluded that the cervical rib was of sufficient length to come into
116 contact with the left brachial plexus, basing his observation on the fact that there were two smooth
117 grooves transversely crossing the inner and upper sides of the body the rib near its anterior end.
118 The latter was diffusely pitted and tapered in such manner to suggest that a fibrous cord or tendon
119 connected this rib with the sternum on the right. Angel (1946) noted a left cervical rib in an ancient
120 Greek skeleton, but without a detailed description of this anatomical variant. Finnegan (1976)
121 reported a case of a cervical rib with associated disuse bone atrophy in an Archaic American male
122 aged 45–55 years from the Ken Caryl Range, Colorado (dated 490 BCE). Wells (1979) reported
123 one case of a female Anglo-Saxon dwarf from a northern English monastery who also had a
124 cervical rib, suggesting its possible association with atrophy of her arm. Anderson (1996)
125 published a short note addressed to archaeologists, with special emphasis on the importance of
126 carrying out an excavation of the skeletal remains avoiding subsequent damage for the correct
127 analysis by the osteologist, presenting photographs of several skeletal abnormalities. Of the 13
128 cases presented, one of them referred to a cervical rib and another referred to a lumbar rib. Black
129 & Scheuer (1997) reported a case of a cervical rib from the post-medieval St. Bride's documented
130 skeletal collection (London). Capasso (2001) reported three cases of cervical ribs in the seventh
131 cervical vertebra from the victims who died while trying to flee by sea from the ancient beach of
132 Herculaneum (Naples, Italy), during the eruption of Mount Vesuvius on 24–25 October 79 CE.
133 Individual E40 (male individual aged 30–35 years) presented with a left cervical rib fused with
134 the first thoracic rib; individual E57 (female individual aged 40–45 years) showed a bilateral
135 cervical rib, with the right one articulating with the first thoracic rib; and individual E65 (female
136 individual aged 45–50 years) had a left cervical rib. The same author also described a case of a
137 left supernumerary lumbar rib ankylosed to the transverse apophysis of the first lumbar vertebra
138 (E52, female individual aged 20–25 years). Jankauskas (2001) noted three cases of cervical ribs
139 in recent archaeological excavations in Lithuania, but without a detailed description of this
140 anatomical variant. Nagar (2002) observed a seventh cervical vertebra in an adult individual (over
141 50 years old) manifesting as a bony extension from its left transverse process, which was
142 interpreted as a cervical rib. The skeletal remains of this individual, dated to the Roman period,

143 were found in the excavation of Cave VIII/28 ('Cave of the Sandal') in the northern Judean
144 Desert. Fernandes & Costa (2007) analysed the skeletal remains of an adult male exhumed from
145 a Portuguese graveyard dating from the XIII to XV century, showing several malformations in
146 the cranium and spine corresponding to Klippel-Feil syndrome. Among the skeletal
147 abnormalities, the researchers observed a rudimentary cervical rib in the left transverse process
148 of the seventh cervical vertebra. Lewis (2013) observed a possible cervical rib in the skeletal
149 remains of a young individual (16 years of age) in England. Piombino-Mascali et al. (2017)
150 observed a large cervical rib in a mummy held in a crypt beneath the Mother Church of Piraino,
151 Italy. This cervical rib started from the seventh cervical vertebra with synostosis to the transverse
152 process and reached the sternum. Rubini et al. (2019) described an adult female skeleton, aged
153 30–40 years, from an Italian site dating to the Late Antiquity period (IV–V centuries CE), in
154 which there was a cervical rib articulated with the first thoracic rib through a probable fibrous
155 band. Partiot et al. (2020) provided a detailed report of 43 unilateral and bilateral cervical ribs in
156 27 deceased individuals between 24 weeks of amenorrhoea and 2 years of age from the 8B-51
157 necropolis of Sai Island (Sudan), dated to the Classic Kerma period between XVIII and XVI
158 centuries BCE. Finally, there are several theses and archaeological and anthropological reports
159 (e.g., Caffell & Holst, 2012; Connell & White, 1998; Dinwiddy, 2009; Malnasi, 2010) that show
160 the presence of cervical ribs. However, these reports make neither a brief nor detailed description
161 of this skeletal variant, as they simply record their presence in the skeletal individual.

162 Cervical ribs are a common modern clinical finding (Brewin et al., 2009; Checa, 2020;
163 Spadliński et al., 2016; Viertel et al., 2012; Walden et al., 2013). However, it seems to be evident
164 that the few cases of cervical ribs so far reported in the palaeopathological literature might be due
165 to several factors, such as (i) scarce attention to this anatomical variant in past years, (ii) the
166 inadequate state of preservation of skeletal remains, (iii) the loss of the cervical rib during storage,
167 or (iv) its easy confusion with the eleventh or twelfth thoracic rib (especially if the ribs are
168 damaged) (Partiot et al., 2020; Waldron, 2009). In the latter case, Waldron (2009) suggests that,
169 if it seems that there are 13 ribs, the seventh cervical vertebra should be examined for an articular
170 facet, since cervical ribs are more common than 13 thoracic or lumbar ribs. Thus, although the rib
171 may be damaged or may not be recovered, a costal facet on the vertebra is evidence for its
172 presence.

173

174 **Embryology**

175 Several authors have suggested that cervical ribs result from a secondary ossification centre
176 that appears within the cartilaginous costal elements of vertebrae around the sixth month of
177 gestation (Black & Scheuer, 1997; Meyer, 1978) and remain separate until the age of 10 years
178 (Black & Scheuer, 1997). During the development of the embryo, lateral costal processes form in
179 each of the vertebrae along the entire spine (Donahue, 2013). However, only in the thoracic region
180 do these processes separate from the developing vertebral mass and continue to grow to develop
181 into ribs, whereas in the rest of the spine, they fuse with the vertebrae to become transverse
182 processes (Cave, 1975; Donahue, 2013; Scheuer & Black, 2004; Sherk, 2005). Abnormal
183 development of these costal processes is a common condition at the seventh cervical vertebra,
184 resulting in either a cervical rib or an elongated transverse process that extends beyond the
185 transverse process of the first thoracic vertebra (a condition known as 'transverse
186 apophysomegaly') (Donahue, 2013; Galis, 1999a; Merks et al., 2005); nevertheless, it can also be
187 found less frequently associated with the fifth and sixth cervical vertebrae (Adson & Coffey,
188 1927; Barnes, 2012; Donahue, 2013; Hrdlička, 1899; Scheuer & Black, 2004). Currently, this
189 hypothesis on the development of cervical ribs is the focus of debate and it is not widely accepted
190 by the scientific community (Bots et al., 2011; Galis et al., 2006; Partiot et al., 2020).

191 Recent investigations suggest that the presence of cervical ribs is caused by a disturbance in
192 the *Hox* gene expression during early development (Böhmer et al., 2018; Furtado et al., 2011;
193 Galis et al., 2006; Partiot et al., 2020). This hypothesis is based on the fact that, in all vertebrates,
194 *Hox* genes are key regulators in the patterning of the axial skeleton, involving the development

195 of each vertebral element into a specific type of vertebra (i.e., cervical, thoracic, etc.) and
196 development of the nervous system (Mallo et al., 2010; Wellik, 2009). A group of 40 highly
197 conservative evolutionary transcription factors in four clusters (*HoxA–HoxD*) distributed in
198 different chromosomes control the *Hox* genes (Oostra et al., 2005). Thus, disruptions in *Hox* gene
199 expression often present as abnormalities of the spine, including cervical ribs (Böhmer et al.,
200 2018; Bots et al., 2011; Galis, 1999a, 1999b; Oostra et al., 2005; Wellik, 2009). According to
201 Furtado et al. (2011) and ten Broek et al. (2012), cervical ribs would be the result of a homeotic
202 transformation leading to a change in the phenotype in which the identity of the seventh cervical
203 vertebra is transfigured into a rib-bearing thoracic vertebra.

204

205 **Epidemiology and clinical significance**

206 Several studies show that the prevalence of cervical ribs is extremely higher in deceased
207 fetuses and diseased children than in adults. These studies report high prevalence rates in
208 fetuses (ranging from 19% to 63%) (Bots et al., 2011; Chernoff & Rogers, 2004; Furtado et al.,
209 2011; Galis et al., 2006; McNally et al., 1990; Partiot et al., 2020); however, much lower
210 prevalence rates have been found in adults (ranging from 0.03% to 8.0%) (Brewin et al., 2009;
211 Furtado et al., 2011; Galis et al., 2006; McNally et al., 1990; Walden et al., 2013). Several studies
212 state that the significant discrepancy between foetal and adult prevalence would be explained by
213 the strong selection against the appearance of these anatomical variants as a consequence of a
214 homeotic transformation (see ‘Embryology’ section), based on the extremely high prevalence of
215 cervical ribs found in fetuses who die before or around birth and in infants before reaching the
216 age of 1 year (Bots et al., 2011; Furtado et al., 2011; Galis et al., 2006; Partiot et al., 2020).

217 Currently, the prevalence of cervical ribs in adults is uncertain as a result of the difficulty of
218 detecting this congenital variant in clinical medicine through routine radiology (Spadliński et al.,
219 2016; Viertel et al., 2012). Research has reported a wide variety for the prevalence rates in
220 different populations, from 0.05% in the US population (Steiner, 1943) to 3.0% in the Anatolian
221 population (Gülekon et al., 1999). However, recently, Erken et al., (2002) reported a prevalence
222 of 6.2% in a population sample from Turkey, but the largest conducted studies in largely
223 Caucasian populations produced frequencies in the range of 0.05% to 0.54% (Bokhari et al.,
224 2012). Although this discrepancy of prevalence rates in adults may represent real differences
225 between populations, part of the variation may be a consequence of the sex of the individuals
226 studied, the sample size, the imaging techniques used for diagnosis (Brewin et al., 2009) and the
227 discrepancy about the definition of what constitutes a cervical rib (detailed explanation in
228 ‘Classification system’ section).

229 Cervical ribs are usually associated with the seventh cervical vertebra and are more common
230 in females than males, in a ratio from 2:1 (Bokhari et al., 2012; Brewin et al., 2009; Viertel et al.,
231 2012) to 3:1 (Gülekon et al., 1999) in adult individuals; in fetuses, an equal sex prevalence is
232 observed (Furtado et al., 2011; McNally et al., 1990). It is present bilaterally in 40.3% to 80.0%
233 of cases in adults (Adson & Coffey, 1927; Bokhari et al., 2012; Gülekon et al., 1999; Viertel et
234 al., 2012) and between 59% to 78.3% in fetuses (Bots et al., 2011; Furtado et al., 2011; Galis et
235 al., 2006; McNally et al., 1990), but the two sides are often asymmetrical (Du Toit & De
236 Muelenaere, 1982). When unilateral, it is more frequently on the left side than on the right side in
237 adults and fetuses (Adson & Coffey, 1927; Bots et al., 2011; Brewin et al., 2009; Furtado et al.,
238 2011; Galis et al., 2006). Although the aetiology is uncertain, there is some evidence of a familial
239 connection, and therefore, a tendency towards a genetic predisposition, reporting autosomal
240 dominant transmission with variable expression (Schapera, 1987; Weston, 1956).

241 The presence of cervical ribs commonly causes the development of thoracic outlet syndrome
242 in adults (Chang et al., 2013), a condition characterized by compression of and diminished blood
243 flow in the subclavian vessels and carotid arteries and alteration of the position of the stellate
244 ganglia, sympathetic ganglia, and seventh cervical and first thoracic nerve roots (Chernoff &
245 Rogers, 2004); however, this condition is poorly described in childhood (Haroun, 2016). In

246 clinical medicine, about two-thirds of cervical ribs in adults are asymptomatic and are identified
247 on radiographs obtained for unrelated reasons. The ribs may continue to grow until the age of
248 about 25 years, so symptoms from cervical elements may not be present until late adolescence or
249 even early adulthood (Black & Scheuer, 1997). The symptomatology of thoracic outlet syndrome
250 is of vascular and neurological origin. The vascular effects include cerebral and distal embolism
251 (Bearn et al., 1993; Jusufovic et al., 2012; Naz & Sophie, 2006), whereas neurologic symptoms
252 include extreme pain, migraine and Parkinson's disease (Evans, 1999; Fernandez Noda et al.,
253 1996; Saxton et al., 1999). The presence of cervical ribs is a characteristic of the Klippel-Feil
254 syndrome, also known as synostosis of the cervical spine (Fernandes & Costa, 2007; Tubbs et al.,
255 2006). This disorder results in the congenital fusion of two or more cervical vertebrae. A strong
256 relationship between the presence of axial skeletal abnormalities and cancer has been reported in
257 several studies (Anbazhagan & Raman, 1997; Bots et al., 2011; Galis, 1999a; Henry et al., 2018;
258 Loder et al., 2007; Merks et al., 2005; Schumacher et al., 1992; Zierhut et al., 2011), indicating a
259 highly significant association with rib abnormalities, particularly cervical ribs, and a series of
260 cancers, including germ cell tumours, neuroblastomas, brain tumours (astrocytoma and
261 medulloblastoma), acute lymphoblastic and myeloid leukaemia, soft tissue sarcoma, Wilms
262 tumour, and Ewing sarcoma; however, these severe medical conditions have only been reported
263 in fetuses and infants in the aforementioned studies. These associations may be due to effects on
264 genes that play a role in the development of the axial skeleton and are also involved in the
265 regulation of cell proliferation. Therefore, it has been hypothesized that disruptions in *Hox* gene
266 expression can lead to disturbances of cell proliferation resulting in childhood cancers, which
267 would explain the high association observed between the presence of cervical ribs and the
268 occurrence of oncogenic activity in children (Anbazhagan & Raman, 1997; Galis, 1999a; Loder
269 et al., 2007; Merks et al., 2005; Oostra et al., 2005; Quinonez & Innis, 2014; Spadliński et al.,
270 2016). Thus, the presence of cervical ribs during early life reduces the chances of survival of
271 individuals to develop into viable adults, and being considered, the presence of this anatomical
272 variant is an indicator of a strongly compromised health status of the individual (Galis et al., 2006;
273 Partiot et al., 2020).

274

275 **Classification system**

276 In 1869, Gruber (quoted in Beck, 1905) proposed a classification of cervical ribs for adults
277 and older children, differentiating the different grades of this anatomical variant according to
278 several stages of development. On the basis of his viewpoint we may divide the different classes
279 as follows (Barnes, 2012; Beck, 1905; Honeij, 1920):

- 280 - *Class I (slight degree)*. The cervical rib is a small tubercle or small separate ossicle at the
281 end of the transverse process of the cervical vertebrae.
- 282 - *Class II (more advanced)*. The cervical rib reaches beyond the transverse process, either
283 with a free end or touching the first rib. This cervical rib is a blunt projection of bone 40–
284 50 mm in length.
- 285 - *Class III (almost complete)*. The cervical rib extends beyond the transverse process to
286 articulate with the first rib (by means of a distinct band or by the end of its long body) or
287 is even attached to the sternum (by means of a fibrous band of cartilage).
- 288 - *Class IV (complete)*. It has become a true rib and possesses a true cartilage, which unites
289 with the cartilage of the first rib. Sometimes the cervical rib imitates a first thoracic rib;
290 however, the cervical rib appears more constricted and narrower than the first rib, and the
291 width and length vary from 20 mm to 85 mm.

292 Although historically classified into these four groups, from a clinical point of view, cervical
293 ribs can be divided into two types (Powell & Illig, 2015):

- 294 - *Type I (complete)*. The cervical rib attaches to the first rib by fusion or by a true joint.
- 295 - *Type II (incomplete)*. The cervical rib attaches to the first rib instead by way of a thick,
296 tight ligament.

297 A problem detected during the implementation of this study was the controversy about the
298 definition of what constitutes a cervical rib. In clinical medicine, there has been no consensus
299 reached on what constitutes a well-developed elongated transverse process or rudimentary first
300 rib as opposed to a cervical rib (Bokhari et al., 2012). The lack of consensus on this definition
301 could be translated in the discrepancy data about the prevalence of true cervical ribs in the
302 different populations reported in the literature based on radiographs (see section ‘Epidemiology
303 and clinical significance’). According to De Luca et al. (2013), it is important that the basis of
304 palaeopathological diagnosis of skeletal remains is as close as possible to that in modern clinical
305 medicine. Therefore, despite the few cases reported in the palaeopathological literature, some of
306 them may have misidentified true cervical ribs using the different definitions available in the
307 medical literature. To avoid errors in future palaeopathological studies, we recommend using the
308 criteria for diagnosing cervical ribs widely used in medical literature suggested by Merks et al.
309 (2005) and Brewin et al. (2009), which are not only based on radiographs, but also are easy to use
310 on dry bone remains. These are as follows:

- 311 - The *cervical rib* must articulate with the seventh cervical vertebra and project either
312 caudally or laterally from the spine, as opposed to the transverse process, of the first
313 thoracic vertebra, which projects diagonally upward from the point of origin.
- 314 - For a rib to qualify as *cervical rib*, it must not articulate with the manubrium sterni, but
315 it may be joined to the first thoracic rib. This serves to differentiate it from the
316 *rudimentary first rib*. The *rudimentary first rib* differs from the *typical thoracic rib* in
317 that it attaches to the second rib instead of the sternum.
- 318 - The *cervical rib* must be separated from, but articulate with, the transverse process of the
319 seventh cervical vertebra with a well-defined joint. If the rib is fused with the vertebra, it
320 is considered an *elongated transverse process* (*transverse apophysomegaly* or *transverse*
321 *mega-apophysis*). The transverse process of the seventh cervical vertebra is considered
322 to be elongated if it projects beyond the lateral margins of the first thoracic vertebra.

323

324 **Material and Methods**

325 **Archaeological context**

326 From the pre-Roman to the medieval age, *Corfinium* constituted the main settlement of the
327 southern zone of the *Valle Peligna*, located on the route of the consular road *Claudia Valeria*
328 inland of the region of Abruzzo (southern Italy) (Figure 1).

329 -----INSERT FIGURE 1 ABOUT HERE-----

330 Between 1988 and 1994 and more recently between 2013 and 2018, a series of archaeological
331 excavation campaigns were started near the Romanesque complex of the Cathedral of San Pelino
332 aimed at reconstructing the methods of construction and characteristics and times of use of the
333 Late Antiquity and medieval funerary area (Cavallari, 2015; Colleti et al., 1990; De Nino, 1879;
334 Somma, 2015; Somma et al., 2018; Somma & Antonelli, 2015; Van Wonterghem, 1984). It seems
335 clear that the most significant sepulchral nucleus was located in the space immediately behind the
336 apse of Sant’Alessandro’s oratory. In this area, approximately 92 burials and six ossuaries were
337 identified, of which two of them (tombs T39 and T47) were made by re-using the stone coffins
338 relating to the previous use of the funerary area in the Late Antiquity period, and were still present
339 *in situ* during the phase of frequentation of the late medieval cemetery (Giuntella, 1989). Based
340 on the first data that emerged from the stratigraphic analysis and from the preliminary study of
341 the very few grave goods found in the tombs, it is hypothesized the use of this late medieval
342 cemetery was from the XII to XIII century CE. Although the archaeological excavation is not yet
343 complete, it can clearly be seen how the area in which the medieval cemetery was initially
344 arranged was object to some organization in the arrangement of the burials; later, the space was
345 intensively exploited for depositional purposes. The constant need to free up space for new burials
346 should have also led to the periodic dismantling and removal of older tombs with the consequent

347 construction of ossuaries, in addition to the reuse of pre-existing monumental tombs for the burial
348 of a different number of deceased. Specifically, in two of the three stone coffins of the early
349 Middle Ages (tombs T39 and T47), a rather complex depositional situation has been found. In
350 both tombs, the superficial layers involved commingled skeletal remains. In the immediate
351 underlying layers skeletal remains (partially or totally articulated) were found belonging to
352 different individuals deposited one on top of the other. In the current state of research, preliminary
353 anthropological analyses carried out on the skeletal remains have calculated a minimum number
354 of nine individuals for T39 and eight individuals for T47. Among the nine individuals of tomb
355 T39 (Figure 2), the individual I44bis was of particular interest, of which only a few skeletal
356 remains have been preserved.

357 -----INSERT FIGURE 2 ABOUT HERE-----

358

359 **Brief description of individual I44bis**

360 In recent years, during the anthropological examination of the skeletal remains belonging to
361 the individual I44 (male individual, aged 50–55 years), three vertebrae (seventh cervical and first
362 and second thoracic vertebrae) and one rib belonging to a juvenile individual were found. These
363 bones articulated correctly between them; therefore, they were identified as belonging to the same
364 individual (designated I44bis). No other bone remains were preserved or could be assigned to this
365 juvenile skeleton. Sex could not be estimated. The age of death was estimated through the
366 morphological and dimensional features of the vertebrae (Albert & Maples, 1995; Scheuer &
367 Black, 2004). The internal structure of the bone elements was observed through X-ray analysis.

368

369 **Results and discussion**

370 The three vertebrae showed the centres of ossification completely fused with the transverse
371 processes. In addition, there was no evidence of the presence of the epiphyseal ring and regular
372 undulations were present on the edges of the vertebral body of all three vertebrae. Thus, the
373 individual was aged between 10 and 16 years according to the descriptions of Albert & Maples
374 (1995) and Scheuer & Black (2004).

375 Accurate inspection of these bones showed that the first and second thoracic vertebrae were
376 normal in morphology and size. However, the seventh cervical vertebra showed a well-defined
377 articular facet on the transverse process on the left side (Figure 3). The rib (Figure 4) had a well-
378 developed shaft, measuring 49 mm in length, 40 mm in chord length, and a maximum breadth of
379 4.5 mm. The rib presented a free rounded distal extremity with no evidence suggesting a fibrous
380 band in connection to the first thoracic rib below. The vertebral end showed an articular facet that
381 match with the one on the left transverse process of the seventh cervical vertebra. In other words,
382 the rib articulated with the vertebra via a well-defined joint with the left transverse process, and
383 it could truly be a cervical rib in origin. The right transverse process was partially broken due to
384 postmortem damage, precluding any observation of a contralateral joint. Thus, there was no
385 reason to presume that this was a case of a bilateral cervical rib.

386 -----INSERT FIGURES 3 AND 4 ABOUT HERE-----

387 The cervical rib did not follow the normal curve of the first thoracic rib but tended to be
388 straight, running nearly vertically, inferiorly and anterolaterally. According to length and the
389 absence of osseous signs of a fibrous band, the cervical rib can be classified as Class II (more
390 advanced) according to Gruber's classification. Figure 5 shows the spatial orientation of the
391 cervical rib in association with the three articulated vertebrae. In addition, in the left transverse
392 process of the seventh cervical vertebra, a complete double foramen transversarium was observed,
393 where the foramen was subdivided by a thin bony septum (Figure 3). Both foramina were of small
394 size compared to the contralateral one. Conversely, the foramen transversarium on the right side

395 corresponded to an incomplete double foramen transversarium. Figure 6 shows the radiographic
396 images of the seventh cervical vertebra and its associated cervical rib, precluding any type of
397 pathologies (e.g., antemortem fractures related to traumatic events, infections, etc.).

398 -----INSERT FIGURES 5 AND 6 ABOUT HERE-----

399 According to the anatomical model (Du Toit & De Muelenaere, 1982; Rockwood & Matsen
400 III, 2009; Testut, 1943), the scalenus anterior muscle is inserted into the scalene tubercle on the
401 inner border of the first thoracic rib, while the scalenus medius muscle is inserted about halfway
402 along the arc of the first rib into the upper surface, between the tubercle and the subclavian groove
403 (Figure 7). The subclavian artery and brachial plexus pass between the anterior and middle scalene
404 muscles, whereas the subclavian vein passes anterior to the scalenus anterior as the muscle crosses
405 over the first rib. Together, the subclavian vessels (vein and artery) and brachial plexus leave the
406 neck for the arm, passing posterior to the clavicle, and then between the clavicle and the first
407 thoracic rib, where the divisions form the lateral, posterior, and medial cords of the plexus.
408 Laterally, the neurovascular structures lie inferior to the coracoid process and deep to the
409 pectoralis minor muscle. In the presence of a complete cervical rib or the fibrous extension of an
410 incomplete rib, it implants on (or close to) the scalene tubercle of the first thoracic rib. This makes
411 the interscalene triangle even smaller, causing the above-mentioned structures to ‘hang over’ the
412 cervical rib or its fibrous extension.

413 -----INSERT FIGURE 7 ABOUT HERE-----

414 Many clinical symptoms may be attributed to a cervical rib, whose main manifestations are
415 located at the base of the neck, anterior chest wall, shoulder, arm, elbow, forearm, wrist, hand, or
416 any combination of these. The characteristic symptoms are pain, atrophy, circulatory
417 abnormalities and disturbance of sensation (Adson & Coffey, 1927; Du Toit & De Muelenaere,
418 1982; Gilliatt et al., 1970; Patterson, 1940; Sanders & Hammond, 2002). The pain may be
419 associated with hyperesthesia, paraesthesia or anaesthesia, depending on the degree of
420 involvement and varying intensity. This is usually referred to as tingling, burning and numbness
421 along the inner side of the arm, hand and fingers. Atrophy is late to occur and is rarely complete.
422 Circulatory symptoms are rarely severe, but they may manifest themselves in a dusky hue of the
423 arm and hand. Other symptoms include occipital headaches; tiredness and weakness of the arm
424 and forearm; cramps in the fingers; numbness, tingling or coldness of the hand; shrinking of some
425 of the muscles of the hand; a lump at the base of the neck; tremor of the fingers; discoloration of
426 the fingers; and gangrene of the distal parts (e.g., a fingertip or even a whole digit) (Adson &
427 Coffey, 1927; Du Toit & De Muelenaere, 1982; Gilliatt et al., 1970; Patterson, 1940; Sanders &
428 Hammond, 2002).

429 The size of a cervical rib is associated with the severity of medical problems (Bots et al., 2011)
430 and, in a well-developed case such as the individual I44bis from Corfinio, with an almost
431 complete left cervical rib 49 mm in length extending far forward in the posterior triangle of the
432 neck, and with no evidence of a thin fibrous band connected to the first rib, this supernumerary
433 rib can cause a bony obstruction of the subclavian artery as it curves over the thoracic outlet to
434 reach the neck and axilla. This can happen if the left subclavian artery is elevated so that it passes
435 over the cervical rib, which assume the anatomical relations that ordinarily belong to the first
436 thoracic pair. This anatomical condition could be related to considerable compression of the
437 subclavian artery, leading to diminished blood flow that could manifest clinical symptoms. The
438 case of individual I44bis from Corfinio is in agreement with Chang et al. (2013), who highlighted
439 that more advanced, almost complete and complete cervical ribs (Classes II, III and IV) lead to
440 vascular compromise, including arterial compression, thrombosis, and aneurysm formation.
441 Besides, Ross (1959) noted that complete ribs were associated with vascular complications,
442 whereas if the cervical rib was a short pointed structure and there was a fibrous band connected
443 to the first rib, the situation could lead to neurologic complications due to the compression of the
444 brachial plexus. When the blood vessels are compressed, thrombosis, leading to gangrene of the
445 fingers, may occur (Wells, 1979). Bearn et al. (1993) and Du Toit & De Muelenaere (1982)
446 observed that complete cervical ribs were more commonly associated with arterial insufficiency

447 than with neurological or venous symptoms. Nevertheless, only 5–10% of people with cervical
448 ribs experience symptoms (Gülekon et al., 1999), which are manifested in older children and
449 adults, whereas cervical ribs are rarely symptomatic in early childhood (Glass et al., 2002; Todd,
450 1911). The individual I44bis described from the late medieval cemetery of Corfinio had an
451 estimated age of 10–16 years and could have manifested certain symptoms, but in a very mild
452 degree. However, evidence of symptoms is difficult, if not impossible, to diagnose and interpret
453 on skeletal human remains, particularly in this reported case. According to Ortner (2003),
454 evidence of disease on human skeletal remains in past populations is a strong challenge in
455 palaeopathology, and the inference of signs and symptoms can be subjective and difficult to
456 associate with specific pathological conditions in certain contexts. Due to the absence of other
457 skeletal elements belonging to this same individual, it was not possible to conduct a more
458 exhaustive study; therefore, interpretations about the possible symptoms that it could have
459 suffered were avoided.

460 In summary, the analysis of human skeletal remains not only allows the study of the history
461 and evolution of the disease but also the reconstruction of health conditions in past populations
462 (D’Anastasio et al., 2013; De Luca et al., 2013; Viciano et al., 2017a, 2015a, 2015b, 2012; Viciano
463 & D’Anastasio, 2018). The examination of human skeletal remains has demonstrated that
464 anatomical variants may be potentially associated with pathological conditions (Barnes, 2012;
465 Nikolova et al., 2014; Ortner, 2003). Thus, the palaeopathological study of ancient human remains
466 allows to highlight if anatomical variants could be related to life and health conditions in past
467 populations. However, although specific case studies do not contribute by themselves to the
468 advocated ‘health status population approach’, these case studies provide an important source of
469 data for particular palaeopathological conditions; without them, there would be less data available
470 for future studies, and therefore, we would have a less comprehensive picture of the impact that
471 these pathological conditions could have had in past individuals/populations.

472

473 **Conclusion**

474 The palaeopathological cases of cervical ribs are difficult to diagnose on ancient human
475 remains. Curiously, this anatomical variant is also silent in modern populations, as many cases
476 are asymptomatic. However, the discovery of cervical ribs on ancient human remains can be
477 interesting, as it could be related to complex symptomatology found in some clinical cases
478 through X-ray images.

479 The skeletal remains of individual I44bis from the late medieval cemetery of Corfinio have all
480 the anatomical features necessary to classify it as a true cervical rib (Class II, more advanced)
481 according to the diagnostic criteria suggested in the scientific literature. This supernumerary rib
482 could be related to considerable compression of the subclavian artery, leading to diminished blood
483 flow of the subclavian artery, which could manifest clinical symptoms. Moreover, this study has
484 given us the opportunity to review the palaeopathological and clinical cases in order to highlight
485 the difficulties of classifying a true cervical rib and suggest diagnostic criteria for its diagnosis.

486

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489

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842 **Fig. 1.** Geographic location of late medieval cemetery of Corfinio (XII–XIII centuries CE) in the
843 region of Abruzzo (Italy).

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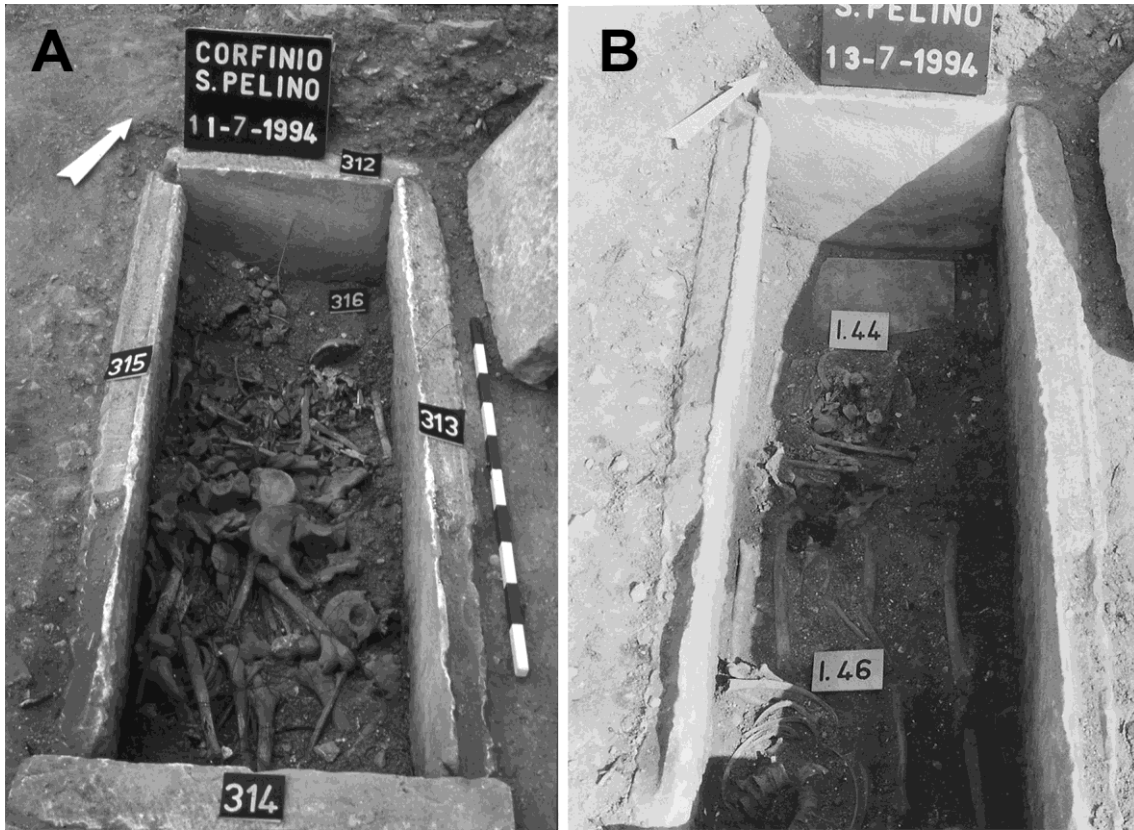
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855 **Figure 2. A.** Excavation context in the stone coffin T39 with commingled human remains. **B.**
856 Inside of the stone coffin after the excavation was almost complete, with the presence of two
857 completely articulated skeletons (I44 and I46) and a partially spine of one individual not identified
858 in the image. The skeletal remains of I44bis are not visible in this image (images courtesy of M.C.
859 Somma).

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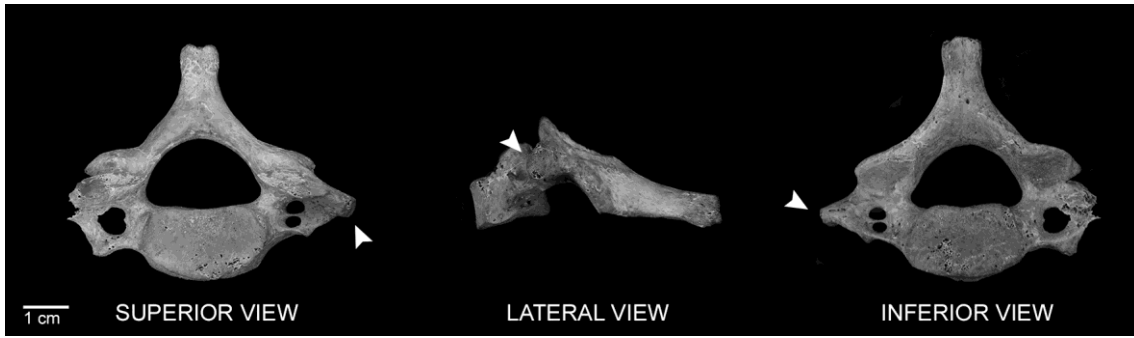
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876 **Figure 3.** Different views of the seventh cervical vertebra, showing a well-defined articular facet
877 (arrowhead) and incomplete (right) and complete (left) double foramen transversarium on the
878 transverse process.

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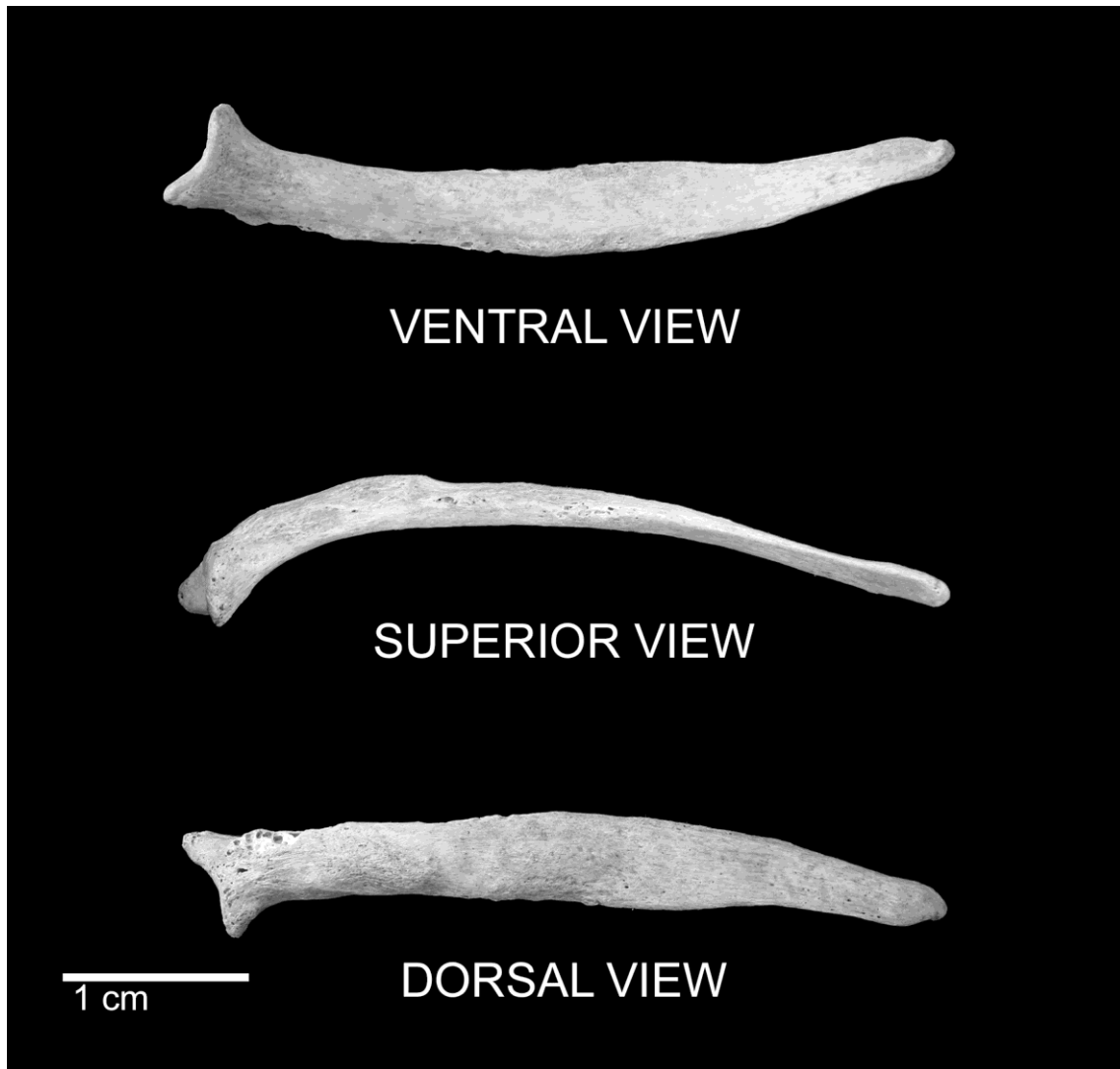
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905 **Figure 4.** Different views of the cervical rib.

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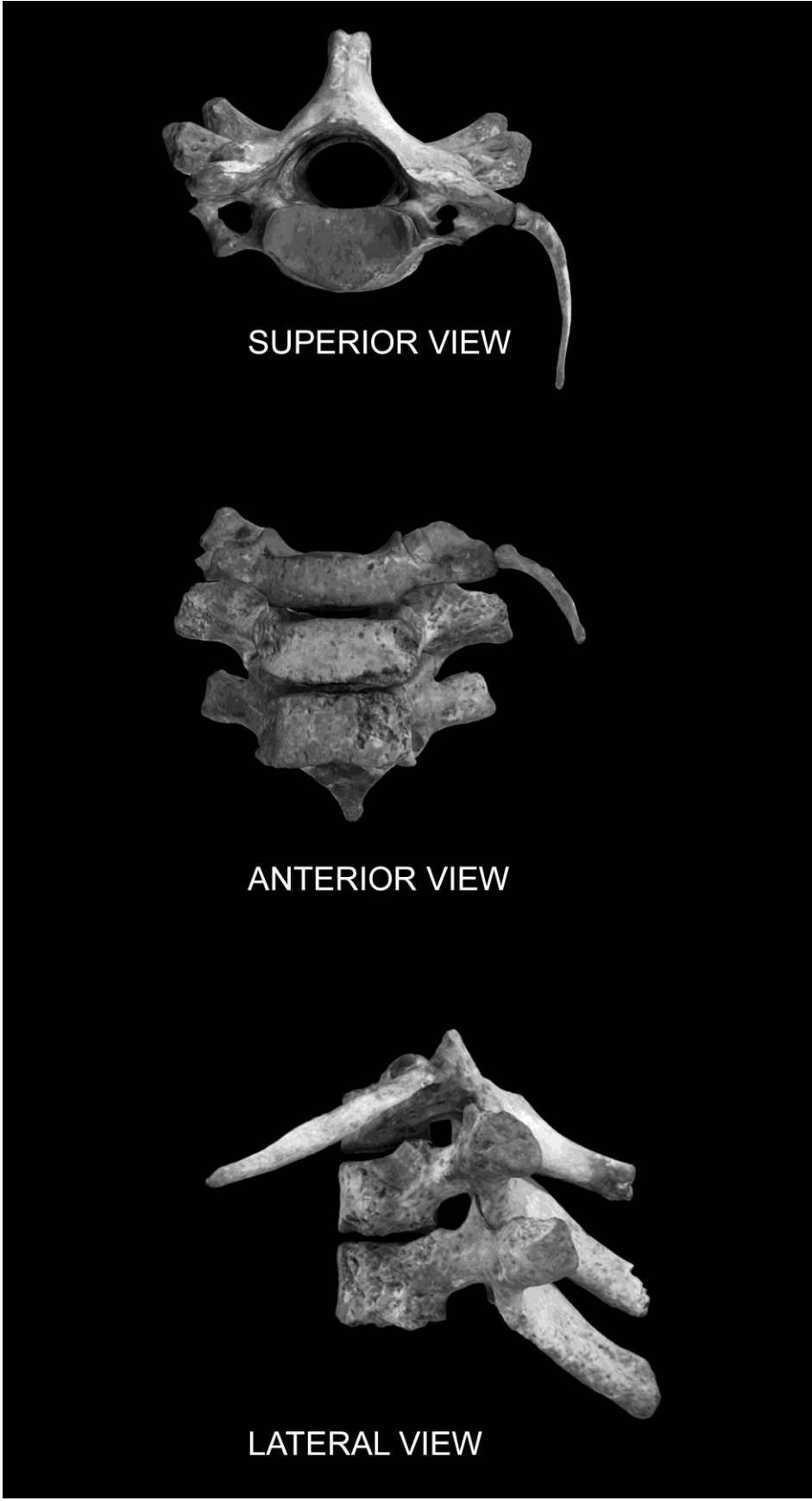
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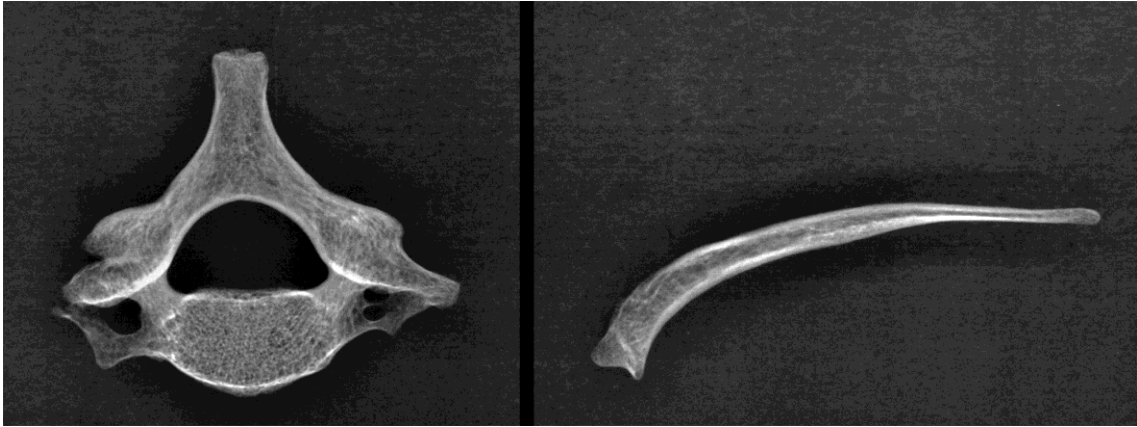
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920 **Figure 5.** Different views of the spatial orientation of the cervical rib in association with the three
921 articulated vertebrae (seventh cervical and first and second thoracic vertebrae).



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923 **Figure 6.** Radiographic images of the seventh cervical vertebra and its associated cervical rib.

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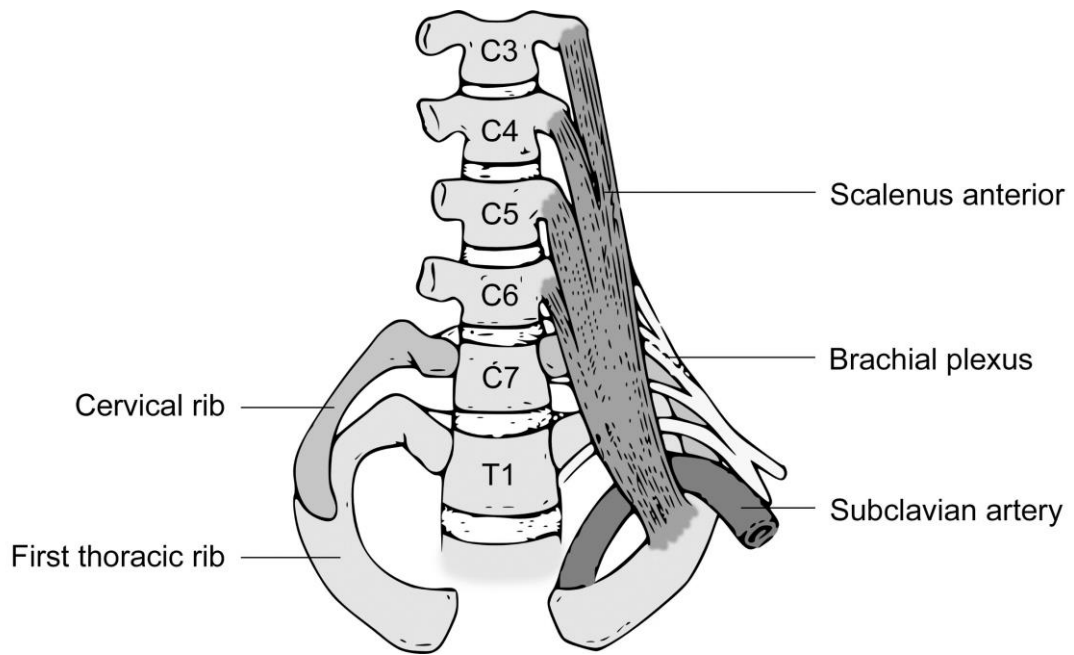
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950 **Figure 7.** Relevant anatomy of the neck. In the presence of a complete cervical rib the interscalene
951 triangle is smaller, causing compression of the neurovascular structures (adapted from Ellis &
952 Mahadevan, 2019).

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Table 1. Historical background with the most important milestones regarding the studies on cervical ribs.

Year (CE)	Event	Source
130–201	The first description of a cervical rib was apparently made by Galen during dissections of human cadavers.	Roos et al. (1999)
1514–1564	Andreas Vesalius again described the cervical rib in human cadavers.	Roos et al. (1999)
1742	François-Joseph Hunauld described and categorized supernumerary ribs in humans, including the cervical rib.	Clare, Schilp, & Starr (1956); Wei Lum & Fresichlag (2014)
1818	Sir Astley Cooper first described arterial thoracic outlet syndrome in a young woman with an absent radial pulse and reduced sensation and pain in her hand and demonstrated the relationship of neurological and vascular symptoms in the upper limb with a cervical rib. His observation led gradually to the recognition of a ‘cervical rib syndrome’.	Brewin et al. (2009); Cooper (1818)
1860	W.H. Willshire noted the relationship between a cervical rib and paraesthesia of the upper extremity.	Wei Lum & Fresichlag (2014)
1861	Richard Holmes Coote, at St. Bartholomew’s Hospital in London, performed the first surgical operation to treat thoracic outlet pathology in a woman diagnosed as having an ‘exostosis’ of the seventh cervical vertebra with predominantly vascular symptoms and an absent radial pulse. Coote resected a portion of the transverse process, and the patient’s symptoms improved.	Coote (1861)
1869	W. Gruber defined four types of cervical rib ranging from rudimentary ribs barely longer than the transverse process of the seventh vertebra to complete, fully articulated ribs.	Beck (1905); Honeij (1920)
1905	John Benjamin Murphy was the first surgeon to resect a cervical rib that was associated with a subclavian artery aneurysm.	Murphy (1905)
1907	William W. Keen, at Thomas Jefferson University in Philadelphia, published a review of 42 cases of resected cervical ribs and described a clinical definition and surgical treatment for this disorder.	Keen (1907)
1908	J.B. Roberts described numerous anatomic forms of the cervical rib and surgical importance of each form.	Roberts (1908)
1911–1913	Between the years 1911 and 1913, in a series of scientific papers outlining the anatomy and anatomical variability of the thoracic outlet, Thomas Wingate Todd described the potential causes of neurovascular compression in the thoracic outlet. Todd’s anatomic dissections included descriptions of cervical ribs, anatomic variants of the first rib and scalene muscles, and the position of the clavicle with shoulder movements. Todd also postulated that the gradual descent of the shoulder girdle with aging causes narrowing of the space between the clavicle and rib, contributing to neurovascular compression.	Todd (1911, 1912, 1913)
1916	W.S. Halsted evaluated 716 case reports of cervical ribs, in which 125 had vascular symptoms and 27 had aneurysms distal to the point of compression by the cervical rib and its attachments.	Halsted (1916)

1927	Alfred W. Adson and Jay R. Coffey suggested the mechanism of the anterior scalene muscle causing upper extremity neurovascular compression in patients with cervical ribs and for the first time demonstrated that scalenotomy could relieve symptoms of neurovascular compression without resection of the cervical rib.	Adson & Coffey (1927)
1934	T. Lewis and G.W. Pickering reported that subclavian artery thrombosis and embolization could occur due to trauma caused by a cervical rib.	Lewis & Pickering (1934)
1956	R.M. Peet and colleagues first use the term 'thoracic outlet syndrome' to unify all the upper extremity neurovascular compression syndromes.	Peet et al. (1956)
