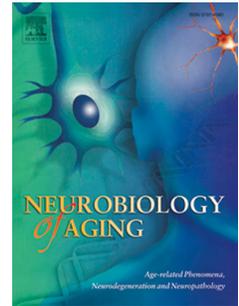


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Functional signature of conversion of Mild Cognitive Impairment patients

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http://adni.loni.usc.edu/wp-content/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

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Running title: Functional connectivity signature in MCI

Abstract

The entorhinal-hippocampal circuit is a strategic hub for cognition and the first site affected by Alzheimer's Disease (AD). We investigated MRI patterns of brain atrophy and functional connectivity in an ADNI dataset that included healthy controls, Mild Cognitive Impairment (MCI), and AD subjects. MCI individuals were clinically evaluated 24 months after the first MRI scan, and the cohort subdivided into sets of individuals who either did (c-MCI) or did not (nc-MCI) convert to AD. The MCI group was also divided into patients who did show (MCI^{AD+}) or not (MCI^{AD-}) the presence of AD-related alterations in the liquor. AD patients exhibited the collapse of the long-range hippocampal/entorhinal connectivity, pronounced cortical/sub-cortical atrophy, and a dramatic decline in cognitive performances. c-MCI or MCI^{AD+} patients showed memory deficits, entorhinal/hippocampal hypo-connectivity, and concomitant atrophy of the two regions. nc-MCI or MCI^{AD-} subjects had no atrophy but showed hippocampal/entorhinal hyper-connectivity with selected neocortical/sub-cortical regions involved in memory processing and brain meta-stability. This hyper-connectivity may represent a compensatory strategy against the progression of cognitive impairment.

Keywords: Alzheimer's Disease; cerebellum; entorhinal cortex; functional connectivity; hippocampus; GABA; excitotoxicity.

Abbreviations

A β_{1-42} =amyloid β_{1-42} ; AD=Alzheimer's Disease; ADAS=Alzheimer's Disease Assessment Scale; ADNI=Alzheimer's Disease Neuroimaging Initiative; ADRDA=Alzheimer's Disease and Related Disorders Association; AF=Animal Fluency; AVDELT= Rey Auditory Verbal Learning Test-Delayed Recognition Score; AVDEL30=Rey Auditory Verbal Learning Test-Delayed 30 Minute Delay Total; BNT=Boston Naming Test; BOLD=Blood Oxygen Level Dependent; CDR-RS=Clinical Dementia Rating Scale; c-MCI=MCI patients who convert to AD; DMN=Default-Mode Network; EC=entorhinal cortex; EPI=Echo-planar imaging; eTIV=estimated total intracranial volume; FC=functional connectivity; FAQ=Functional Activities Questionnaire; GM=grey matter; HC=healthy controls; HP=Hippocampus; LDEL=Logical Memory-Delayed Recall Total Number of Story Units Recalled; LIMM=Logical Memory-Immediate Recall Total Number of Story Units Recalled; MCI=Mild Cognitive Impairment; MCI^{AD+}=MCI subjects who showed presence of AD-related alterations in the CSF; MCI^{AD-}=MCI subjects who did not show presence of AD-related alterations in the CSF; MMSE=Mini-Mental State Examination; MNI=Montreal Neurological Institute; MoCA=Montreal Cognitive Assessment; mPFC=medial prefrontal cortex; NA=Not Applicable; nc-MCI=patients with Mild Cognitive Impairment who remained stable in their diagnosis of or who returned to be healthy; NINCDS=National Institute of Neurologic and Communicative Disorders and Stroke; PMN=posterior medial network; p-Tau₁₈₁=tau phosphorylated at threonine 181; RAVLT=Rey Auditory Verbal Learning Test; rs-fMRI=Resting-state functional Magnetic Resonance Imaging; TMT=Trail Making Test; t-Tau=total tau; WM=white matter; WMS-R=Wechsler Memory Scale-Revised.

1. Introduction

Brain aging and aging-related neurodegenerative disorders are a significant health challenge for contemporary societies. Brain aging represents a favorable background for the onset and development of neurodegeneration and dementia. Alzheimer's Disease (AD) is a condition associated with the development of irreversible cognitive and behavioral deficits and preceded by a prodromal stage known as Mild Cognitive Impairment (MCI). MCI patients do not fulfill the diagnostic criteria for dementia but show significant cognitive deficits that mostly occur in mnemonic domains (Petersen et al. 2010). MCI subjects progress to AD in 60-65% of cases (Busse et al. 2006) with a conversion rate that reaches 8.1% per year (Mitchell and Shiri-Feshki 2009). Thus, the early identification of the brain changes associated with MCI is critical to catch the disease at its initial stage, unravel its pathogenic mechanisms, and help the design of more effective therapeutic interventions.

Neuroimaging approaches have been extensively employed to detect the initial changes associated with the early stages of AD (Frisoni and Jessen 2018). Resting-state functional Magnetic Resonance Imaging (rs-fMRI) is a non-invasive tool that allows the investigation of the operational changes and network reconfigurations that occur in several neurological/neurodegenerative conditions, including AD. In MCI patients, rs-fMRI has been successfully employed in the quest to detect abnormalities in the brain functional connectivity that occur before the appearance of patent signs of structural damage (Badhwar et al. 2017, Drzezga et al. 2011, Canuet et al. 2015).

The entorhinal-hippocampal circuit is a strategic region for the control of cognitive processes and the first site to be affected by the AD-related pathology (Braak et al. 2013, Gomez-Isla et al. 1996). In the AD brain, early signs of synaptic degradation occur within the perforant path, neurodegeneration then spreads to the layers II-III of the entorhinal cortex, the hippocampal CA1 subfield, the subicular areas, ultimately affecting the whole hippocampus (Mueller et al. 2010; Wolk et al. 2011; Yushkevich et al. 2015). This sequence is crucial as the entorhinal-hippocampal complex plays an essential role in the processing of long-term memory (Preston and Eichenbaum 2013). Functional changes in the hippocampus and, in particular, the CA3/dentate regions have been associated with the occurrence of episodic memory deficits that develop upon physiological aging as well as MCI (Yassa et al. 2010a, 2011). Moreover, the hippocampus plays a role in maintaining the brain system stability and promotes the adaptive recalibration of network functioning observed in response to pathological stressors (van den Heuvel and Sporns 2011, Hillary and Grafman 2017).

In this study, we investigated, in a cohort of one hundred thirty-five individuals, differences in structural MRI (sMRI) and rs-fMRI features that occur within the cortico-hippocampal and cortico-

entorhinal circuits. The study group included Healthy Control (HC) (n=40), MCI (n=67), and AD (n=28) subjects. The dataset also provided information on the demographic, neuropsychological/clinical, APOE status, and the CSF levels of AD-related pathogenic proteins like the amyloid β_{1-42} peptide ($A\beta_{1-42}$), tau phosphorylated at threonine 181 (p-Tau₁₈₁), and the ratio of p-Tau₁₈₁/ $A\beta_{1-42}$.

sMRI data were employed to investigate differences in brain volumes and cortical thickness among the study participants. Rs-fMRI data were used to evaluate differences in the functional connectivity (FC) occurring in the circuits linking the hippocampus or the entorhinal cortex to the cortex. The progression or clinical stability of HC or MCI subjects was assessed by using clinical follow-up data obtained 24 months after the initial MRI session. These longitudinal data were instrumental to divide the MCI group into two subsets: patients who converted (c-MCI) or did not convert (nc-MCI) to AD. As not all the MCI symptoms are necessarily dependent on the presence on underlying AD pathology, it is conceivable that some nc-MCI subjects are protected from the AD conversion mainly because of the absence of significant levels of amyloid- or tau-related pathology. Conversely, compared to MCI with low levels of amyloid and tau, MCI subjects with high levels of these molecular AD determinants are likely to convert with higher frequency (Jack et al. 2016; Johnson et al. 2016). Thus, to explore this issue, in a subsequent analysis, we also subdivided our MCI sample in MCI^{AD+} or MCI^{AD-} by taking in consideration the presence of an AD-related CSF biomarker, the p-Tau₁₈₁/ $A\beta_{1-42}$ ratio, a value that can be used as a proxy of ongoing pathology.

The overall aim of the study was to unravel a functional biomarker, centered on the FC of the hippocampus and entorhinal cortex, that can be employed to identify MCI subjects who are more likely to convert to AD. As altered synaptic activation and plasticity participate in shaping the course of the disease, we tested whether nc-MCI patients, among other factors, maintain their cognitive status through an underlying adaptive hyper-connectivity that takes place between the hippocampus and the neocortical/sub-cortical regions that are strategic for memory processing and brain meta-stability. We also tested whether c-MCI and AD subjects diverge from nc-MCI in terms of structural and functional changes that correlate with the decline of the cognitive performances. Finally, given the role of amyloid and tau-driven pathology in the modulation of neurodegeneration, we also evaluated how the presence of these proxy indices of AD-related pathology affects the connectivity patterns of the MCI^{AD+} and MCI^{AD-} subgroups.

2. Materials and methods

2.1 Experimental design

Data employed for this article were obtained from the ADNI-GO/2 database. ADNI was launched in 2003 as a public-private partnership led by Michael W. Weiner. The primary goal of ADNI is to employ serial MRI, PET, biological markers, and clinical and neuropsychological data to investigate the features of patients affected by the AD spectrum. For up-to-date information on the initiative, see www.adni-info.org.

Experiments fulfilled the ethical standards and the Declaration of Helsinki (1997) and subsequent revisions. Informed consent was obtained from study participants or authorized representatives. Study participants had a good general health status and no diseases expected to interfere with the study. Overall, the ADNI-GO/2 database included one hundred seventy participants who have completed the 3T-sMRI and 3T-rs-fMRI. The age range was between 57 and 88 years old.

Participants who did not complete a clinical follow-up, performed 24 months after the first MRI session, or those who showed the presence of technical issues related to their MRI raw-data (i.e., artifacts, dis-homogeneity in acquisition parameters, images deformed for missing information raw-file) were excluded from the study sample (**Suppl. Fig. 1**). Our final sample included one hundred thirty-five participants divided into forty HC subjects, sixty-seven MCI patients, and twenty-eight AD patients. Based on the clinical follow-up, the MCI group was further subdivided into a group of fifty-four nc-MCI patients and thirteen c-MCI patients. Our MCI sample was also subcategorized taking into account the CSF levels of AD-related biomarkers. Using a cut-off of 0.0198 for the p-Tau₁₈₁/A β ₁₋₄₂ ratio (Schindler et al. 2018), the MCI group as well as the nc-MCI subset was divided into subjects positive [(MCI^{AD+} (n=37) or nc-MCI^{AD+} (n=25)] or negative [(MCI^{AD-} (n=22) or nc-MCI^{AD-} (n=21)] for AD pathology (**Suppl. Fig. 2**).

2.2 Neuropsychological assessment

All subjects underwent clinical and cognitive evaluations at the time of the MRI scan. The ADNI neuropsychological dataset includes the Mini-Mental State Examination (MMSE) (Folstein et al. 1975) and the Montreal Cognitive Assessment (MoCA) (Nasreddine et al. 2005) to investigate global cognition; the Functional Activities Questionnaire (FAQ) for the assessment of daily living activities (Pfeffer et al. 1982); the Alzheimer's Disease Assessment Scale-Cognitive subscales (ADAS - 11 items scores; ADAS - 13 items scores) to evaluate the severity of impairments of memory, learning, language (production and comprehension), praxis, and orientation (Mohs and Cohen 1988; Mohs et al. 1997); the Animal Fluency (AF) (Morris et al. 1989) and the 30-item Boston Naming Test (BNT) (Kaplan et al. 1983) to investigate semantic memory and language abilities; the Trail Making Test (TMT), part A and B (time to completion) to assess attention/executive functions (Spreeen 1998); the Rey Auditory Verbal Learning Test and Logical

Memory II, subscale of the Wechsler Memory Scale-Revised (WMS-R) to investigate recall and recognition (Rey 1964; Wechsler 1987).

HC subjects were free of memory complaints and without significant impairment as far as general cognitive functions or performance in daily living activities. The inclusion criteria for HC subjects were: MMSE scores between 24 and 30, a global score of 0 on the Clinical Dementia Rating Scale (CDR-RS; Morris JC 1993), and a score above the cutoff level on the WMS-R Logical Memory II (≥ 3 for 0-7 years of education, ≥ 5 for 8-15 years of education, and ≥ 9 for 16 or more years of education).

The inclusion criteria for MCI patients were: MMSE scores between 24 and 30, memory impairments identified by the partner, with or without complaints by the participant, a CDR score of 0.5, and memory deficits as indicated by scores below the cutoff level on the WMS-R Logical Memory II (3-6 for years of education 0-7, 5-9 for 8-15 years of education, and ≤ 9 for >15 years of education). The subject general cognition status and functional performances were sufficiently preserved to exclude a diagnosis of AD.

AD patients fulfilled the criteria of probable AD set by the National Institute of Neurologic and Communicative Disorders and Stroke (NINCDS) as well as the Alzheimer's Disease and Related Disorders Association (ADRDA). AD patients had MMSE scores between 20 and 26 and CDR-RS between 0.5 and 1.0.

2.3 CSF and APOE genotyping

CSF data were available for 87.4% of the total sample. The set included information on levels of the $A\beta_{1-42}$, total tau (t-Tau), and p-Tau₁₈₁. Highly standardized $A\beta_{1-42}$, t-Tau and p-Tau₁₈₁ levels were measured using the Roche automated immunoassay platform (Cobas e601) and immunoassay reagents. Details on the methods for the acquisition and measurement of CSF are reported in the ADNI website (<http://www.adni-info.org>). The apolipoprotein E (APOE) $\epsilon 4$ allele frequency was also investigated at the screening stage.

2.4 MRI acquisition protocol

MR data were all acquired with a Philips 3T scanner (see details at http://adni.loni.usc.edu/wp-content/uploads/2010/05/ADNI2_MRI_Training_Manual_FINAL.pdf), thereby limiting bias and technical issues associated with the use of different scanner types/brands. T_1 -weighted images were obtained using 3D Turbo Field-Echo sequences (TFE, Slice Thickness=1.2 mm; TR/TE=6.8/3.1 ms). One run of Resting-state Blood Oxygen Level Dependent (BOLD) fMRI data was acquired using gradient-echo T_2^* -weighted echo-planar (EPI) sequence (in-plane voxel size=3.3125 mm x 3.3125 mm, slice thickness

3.3125 mm, and TR/TE=3000/30 ms. Subjects were instructed to lay still and keep their eyes open during acquisition.

2.5 MRI data analysis

FreeSurfer (version 6.0) was employed to perform sMRI and rs-fMRI data analysis. For each study participants, T_1 -weighted images were analyzed using the “recon-all -all” command line to obtain the automated reconstruction and labeling of cortical and subcortical regions (Fischl et al. 2004). The pre-processing steps encompassed magnetic field inhomogeneity correction, affine-registration to Talairach Atlas, intensity normalization and skull-strip. The processing steps involved segmentation of the subcortical white matter (WM) and deep grey matter (GM) volumetric regions, tessellation of the GM and WM matter boundary, automated topology correction, surface deformation following intensity gradients to optimally position the GM and WM and GM/cerebrospinal fluid borders at the location where the greatest shift in intensity delineates the transition to the other tissue class. The total volume of the left and right hippocampi and the estimated total intracranial volume (eTIV) were calculated using the “asegstats2table”. The hippocampal volumes were normalized with a ratio obtained by dividing hippocampal volumes by eTIV. The left and right masks of the hippocampi and entorhinal cortices were obtained by the “recon-all -all” command lines and used as “seed regions” for FC analysis using FreeSurfer - Functional Analysis Stream (<http://surfer.nmr.mgh.harvard.edu/fswiki/FsFastFunctionalConnectivityWalkthrough>). The “preprocess” command line was employed to perform motion and slice timing corrections, masking, registration to the structural image, sampling to the surface, surface smoothing by 5 mm, and sampling to the MNI305 with volume smoothing. Surface sampling of time-series data was carried out onto the surface of the left and right hemispheres of the “fsaverage” template of FreeSurfer. Nuisance regressors were obtained for each study participants by extracting the EPI average time courses within the ventricle mask and the white matter mask (taking into consideration the top 5 principal components). These regressors and the motion correction parameters were eliminated from the EPI time series. Temporal band-pass filtering ($0.01 < \text{Hz} < 0.1$) was applied to analyze only rs-fMRI data within this frequency range. The first four rs-fMRI time points were discarded to allow T_1 -weighted equilibration of the MRI signal. The mean signal time course within each seed region was employed as “regressor” to assess FC. With the “selxavg3-sess” command line, we performed the first level analysis (single subject analysis) including the computation of the Pearson correlation coefficient (r-value) between the time series within the seed and the time series at each voxel. The obtained correlation maps were then converted

to Z-score maps before entering the second level analysis (group analysis). The “isxconcat-sess” command line was employed to create a “stack” of maps from each subject.

The Desikan-Killiany’s Atlas (Desikan et al. 2006) was employed to identify the location of clusters displaying structural MRI differences. Also, two functional atlases focused on cortical (Yeo et al. 2011) and cerebellar (Buckner et al. 2011) networks were used to integrate the information provided by the Desikan-Killiany’s Atlas and define the positioning of clusters that show between-group differences or within-group correlations. Mean thickness and z-scores were extracted from each region of interest by employing the “mri_segstats” and “mris_anatomical_stats” command lines.

For each subject, we have performed pair-wise correlations of the time-series obtained, in the right and left hemisphere, in the hippocampus and the entorhinal cortex. Using the Fisher transformation, Pearson’s R-values were transformed into a t-values and entered into 1) one sample t-tests to assess whether the connectivity values are significantly greater than zero within each group; 2) ANOVAs to assess between-group differences.

2.6 Statistical analysis

One-way analysis of variance (ANOVA) and Bonferroni post-hoc test were employed to evaluate the group differences regarding demographic/clinical data as well as the hippocampal and entorhinal morphometry. Chi-squared test was used to investigate the group differences on gender and the APOE $\epsilon 4$ carrier status. For analyses related to FC and cortical thickness, general linear models (<https://surfer.nmr.mgh.harvard.edu/fswiki/FsgdFormat>) were used. The study investigated the differences between groups. The first comparison was HC subjects, nc-MCI patients, c-MCI patients, AD patients; the second comparison HC subjects, MCI^{AD-} subjects, MCI^{AD+} subjects, AD patients; the third comparison HC subjects, nc-MCI^{AD-} subjects, nc-MCI^{AD+} subjects, AD patients. Moreover, further general linear models were used, in the MCI patients, to assess, relationships between FC strength and other variables of interest like the seed morphometric measures, the cortical thickness in each vertex, and CSF biomarkers. The correlation analyses between the variables of interest and the FC of a given seed region FC were performed in a vertex-by-vertex computation by using the “pvr” option in “mri_glmfit” and regressing out the effect of age and educational level. Using the “mri_concat” command line, conjunction maps were created to highlight the sites of overlaps occurring between clusters expressing significant group difference (HC vs. MCI) and clusters that indicate significant correlations between FC strength and variables of interest. To investigate the effect of gender on FC, we took into consideration an additional model with two levels (male and female) and three variables including CSF biomarker levels, age, and educational levels. All the results are shown on statistical maps and adjusted by

applying a cluster-wise correction for multiple comparisons (Hagler et al. 2006). Finally, Spearman's correlation or "mri_glmfit" with --mask (GM of the cerebellum) option was used, respectively, to investigate whether the hippocampal FC with the mPFC or cerebellum was associated with the longitudinal changes in neuropsychological test scores. Longitudinal variations were calculated as the Δ between baseline scores and scores at 24 months. Therefore, MCI subjects with smaller Δ are characterized by better longitudinal preservation of cognitive functions. All statistical tests were two-tailed, and the significant p-value threshold was set at 0.05.

3. Results

3.1 Demographic and clinical features of the study groups

When compared to HC subjects, global cognition and episodic memory (recall and recognition) were affected in MCI subsets and AD groups. AD patients also showed significantly higher frequency of APOE ϵ 4 when compared to nc-MCI or HC subjects. Within the MCI subsets, global cognition and episodic memory (recall) were found to be more compromised in the c-MCI and MCI^{AD+} subsets. Between MCI subsets, no differences were found when considering other neuropsychological and clinical features or the APOE ϵ 4 frequency. AD patients show altered levels of A β ₁₋₄₂, t-Tau and p-Tau₁₈₁ when compared to HC. No statistically significant differences were found when comparing levels of CSF biomarkers in HC vs. nc-MCI or c-MCI vs. AD. Higher levels of t-Tau, p-Tau₁₈₁, A β ₁₋₄₂/t-Tau, and p-Tau₁₈₁/A β ₁₋₄₂ as well as lower A β ₁₋₄₂ concentrations were found in c-MCI patients when compared to HC subjects and in AD patients compared to nc-MCI or HC subjects. No differences regarding age and educational levels were observed among the study groups (HC, MCI, and AD) or within the MCI subsets (nc-MCI and c-MCI or MCI^{AD-} and MCI^{AD+}). Statistics on demographics and clinical features of the study groups are shown in **Tables 1-3** and **Suppl. Table 1**.

3.2 Morphometric variations in the study groups

Compared to HC or nc-MCI subjects, AD patients showed bilateral hippocampal and entorhinal atrophy. No differences in hippocampal volume or entorhinal thickness were found when comparing AD patients with c-MCI. No statistically significant differences in hippocampal volumes were found in the comparison between the nc-MCI and HC subgroups. However, when compared to HC, c-MCI subjects exhibited signs of atrophy in the right hippocampus and the entorhinal cortex. Entorhinal atrophy in the right hemisphere was also found when comparing c-MCI with nc-MCI subjects. When compared to HC or MCI^{AD-} subjects, MCI^{AD+} exhibited atrophy of the hippocampus. No differences in thickness of the

entorhinal cortex were found when comparing MCI^{AD-} vs. MCI^{AD+} or the two MCI groups with HC. No differences in the estimated total intracranial volume (eTIV) were seen in the study groups. The statistical analysis of the structural data is shown in **Tables 1-2** and **Suppl. Table 1**.

The comparison of AD patients with nc-MCI (**Suppl. Fig. 3A**) or HC (**Suppl. Fig. 3C**) subjects revealed that the AD group shows a greater thinning of brain regions that belong to the Default-Mode Network (DMN) and Posterior Memory Network (PMN). A significant thinning of the mesial temporal areas was instead found in AD patients when compared to c-MCI patients (**Suppl. Fig. 3B**). Finally, no statistically significant differences in cortical thickness were found when comparing c-MCI with nc-MCI patients or HC subjects. A ROI-based analysis confirmed these surface-based results (**Suppl. Table 2**).

No statistically significant differences in cortical thickness were found when comparing HC vs. MCI^{AD-}, HC vs. MCI^{AD+} or MCI^{AD-} vs. MCI^{AD+}. The comparison of AD patients with MCI^{AD-} (**Suppl. Fig. 4A**) or MCI^{AD+} subjects (**Suppl. Fig. 4B**) revealed that AD individuals show a greater thinning of brain regions that belong to the DMN/PMN and the salience Network (SN)/Cingulo-Opercular Network (CON). These changes were more patent in the AD vs. MCI^{AD-} comparison. Compared to MCI^{AD-} subjects, AD patients displayed signs of atrophy in the medial prefrontal cortex (mPFC) and the superior parietal gyrus.

3.3 Hippocampal FC variations in subsets of MCI subjects

The analysis of the hippocampal FC of MCI subgroups identified the presence of significant differences. First, we evaluated nc-MCI and c-MCI subjects. Compared to HC subjects, nc-MCI patients showed enhanced FC between the hippocampus and the mPFC as well as the cerebellar regions that are part of DMN, the thalamus, and the striatum. The analysis also indicated reduced FC between the hippocampus and the brainstem (**Fig. 1A**). c-MCI patients showed hypo-connectivity of the hippocampus with the thalamus or the cerebellar areas that are part of the DMN and dorsal attentional network (DAN) and no differences in hippocampal FC with the rest of the cortex (**Fig. 1B**). Finally, the direct comparison within the nc/c MCI subset revealed that nc-MCI patients show hyper-connectivity between the hippocampus and the cerebellar areas that are functionally associated with the DMN, the Salience Network (SN)/Cingulo-Opercular Network (CON) as well as the limbic system (**Fig. 1C**). Compared to nc-MCI subjects, AD patients showed diffuse patterns of hypo-connectivity between the hippocampus and cortical and cerebellar regions that are mainly involved in the DMN/PMN, the thalamus, or the brainstem. The hippocampus was also hypo-connected with the insula and sensorimotor regions as well as with cerebellar areas that are part of the limbic system (**Fig. 2A**). No significant differences were found by comparing c-MCI and AD groups. When compared to HC subjects,

AD patients showed decreased FC between the hippocampus and cortical and cerebellar regions that are part of the DMN/PMN, the striatum, and the brainstem. Moreover, hypo-connectivity of the hippocampus was observed with cerebellar regions of sensorimotor networks (Fig. 2B).

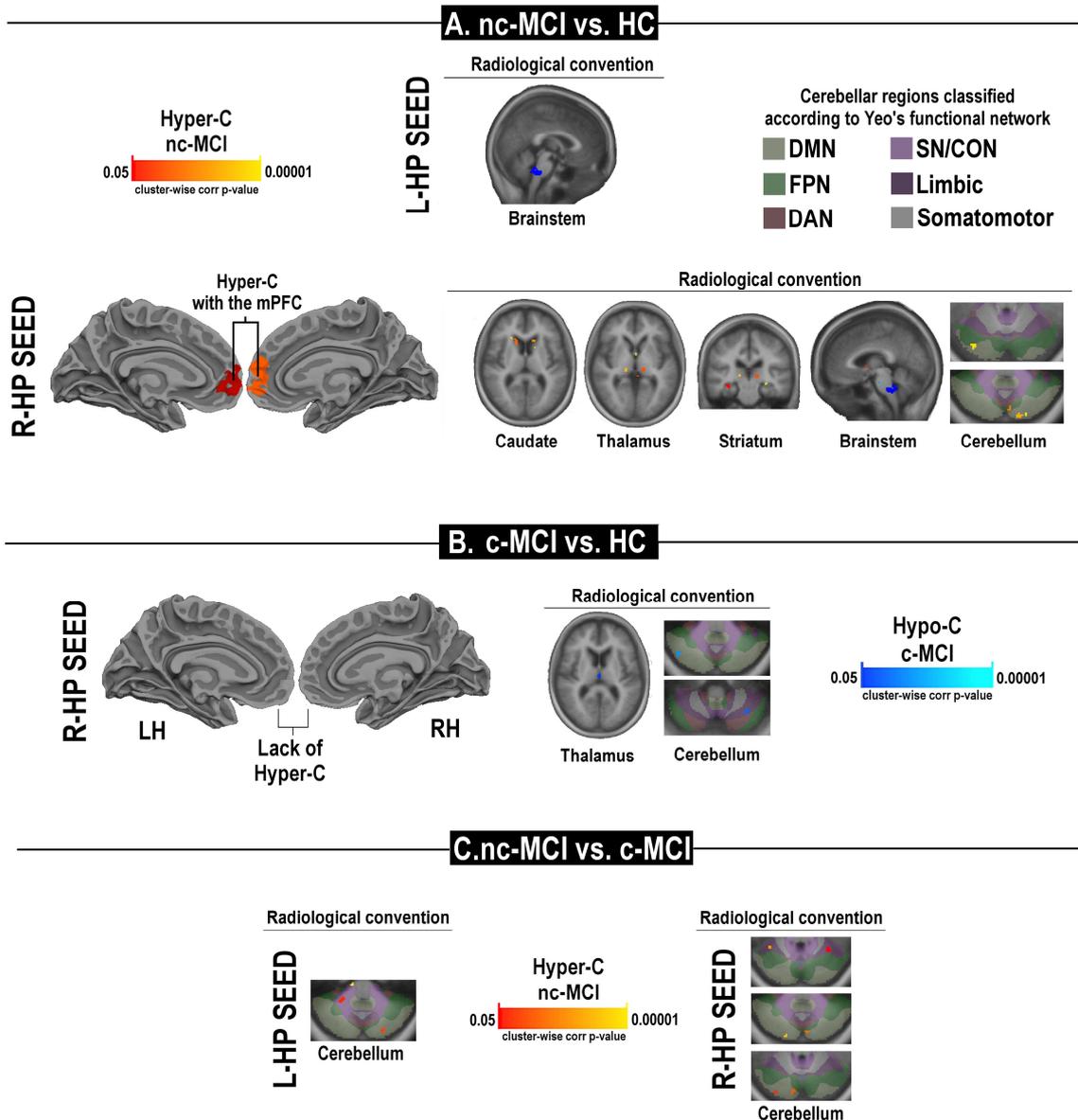


Figure 1. Statistical maps of differences in hippocampal (HP) functional connectivity of MCI subsets. Panel A shows the comparison between nc-MCI and HC subjects; panels B and C show the comparison of c-MCI subjects with HC or nc-MCI subjects, respectively. The figure depicts areas with a cluster-wise probability below the corrected p-value of 0.05. Pseudocolor scales indicate the statistical strength of the hyper-connectivity (Hyper-C) or hypo-connectivity (Hypo-C). Clusters changing from red to yellow or from dark blue to light blue indicate increased hyper-C or hypo-C, respectively. *Abbreviations:* DAN=Dorsal Attention Network; DMN=default-mode network; FPN=fronto-parietal network;

SN/CON=Saliency/Cingulo-Opercular Networks; L=left; LH=left hemisphere; mPFC=medial prefrontal cortex; R=right; RH=right hemisphere.

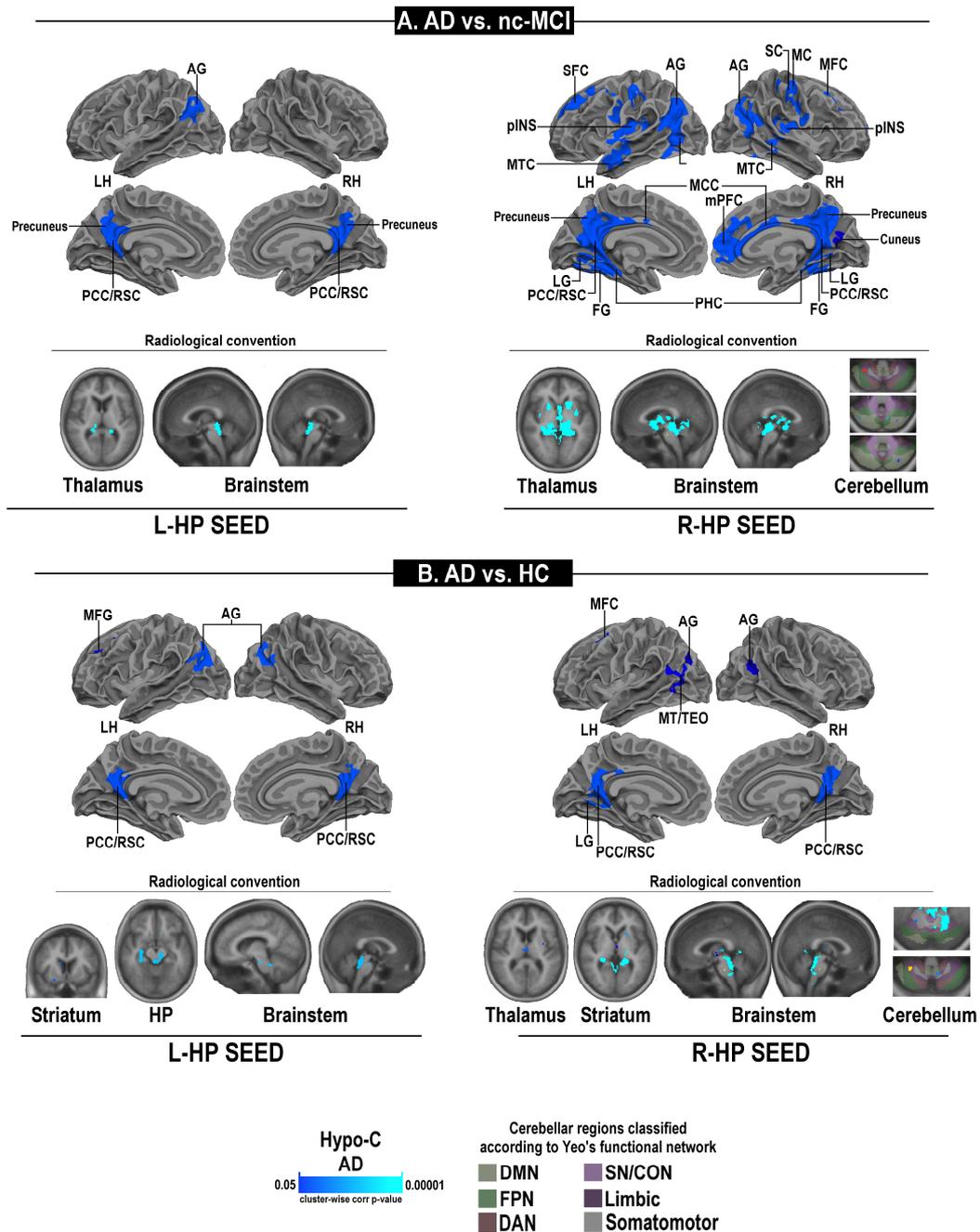


Figure 2. Statistical maps of differences in hippocampal (HP) functional connectivity of AD patients. Significant hypo-connectivity is observed in AD patients when compared to nc-MCI (panel A) or HC subjects (panel B). The figure depicts areas with a cluster-wise probability below the corrected p-value

of 0.05. Pseudocolor scales, with clusters changing from dark blue to light blue, indicate the statistical strength of the hypo-connectivity (Hypo-C).

Abbreviations: AG=angular gyrus; DAN=Dorsal Attention Network; DMN=default-mode network; FG=fusiform gyrus; FPN=fronto-parietal network; IPL=interior parietal lobe; L=left; LH=left hemisphere; LG=lingual gyrus; MC=motor cortex; MCC=middle cingulate cortex; MFG/MFC=middle frontal gyrus/cortex; MTC= middle temporal cortex; pINS=posterior insula; SMA=supplementary motor area; mPFC=medial prefrontal cortex; PCC/RSC=posterior cingulate cortex/retrosplenial cortex; PHC=parahippocampal cortex; R=right; RH=right hemisphere; SC=sensory cortex; SFC =superior frontal cortex; SN/CON=Salience/Cingulo-Operacular Networks.

We also analyzed MCI subjects taking into account their load of AD-related pathology as expressed by altered values of an AD-related biomarker, the p-Tau₁₈₁/ A β ₁₋₄₂ ratio. Compared to HC subjects, MCI^{AD-} individuals exhibited hippocampal hyper-connectivity with the mPFC, the cortical and cerebellar regions that are part of the DMN, the amygdala, the hypothalamus, the striatum, and the thalamus (**Fig. 3A**). On the other hand, MCI^{AD+} individuals showed no differences in hippocampal FC with the cortex, but hypo-connectivity with cerebellar regions that are part of the DMN. We also found mixed patterns of hypo-/hyper-connectivity with cerebellar regions that are part of the FPN as well as hyper-connectivity with cerebellar regions that are functionally linked to the limbic system (**Fig. 3B**). The MCI^{AD-} vs. MCI^{AD+} comparison revealed that MCI^{AD-} subjects show hippocampal hyper-connectivity with cortical and cerebellar regions that participate in the DMN as well as with the amygdala, the striatum, and the thalamus (**Fig. 3C**). Compared to MCI^{AD-} subjects, AD patients showed diffuse patterns of hippocampal hypo-connectivity with DMN/PMN- and SN- related cortical areas as well as with the brainstem, the hypothalamus, the thalamus, the limbic system, and the striatum (**Fig. 3D**). On the other hand, compared MCI^{AD+} subjects, AD patients showed hippocampal hypo-connectivity with core regions of the DMN/PMN and SN (posterior insula) as well as with the hypothalamus, the thalamus, the limbic system and the striatum (**Fig. 3E**).

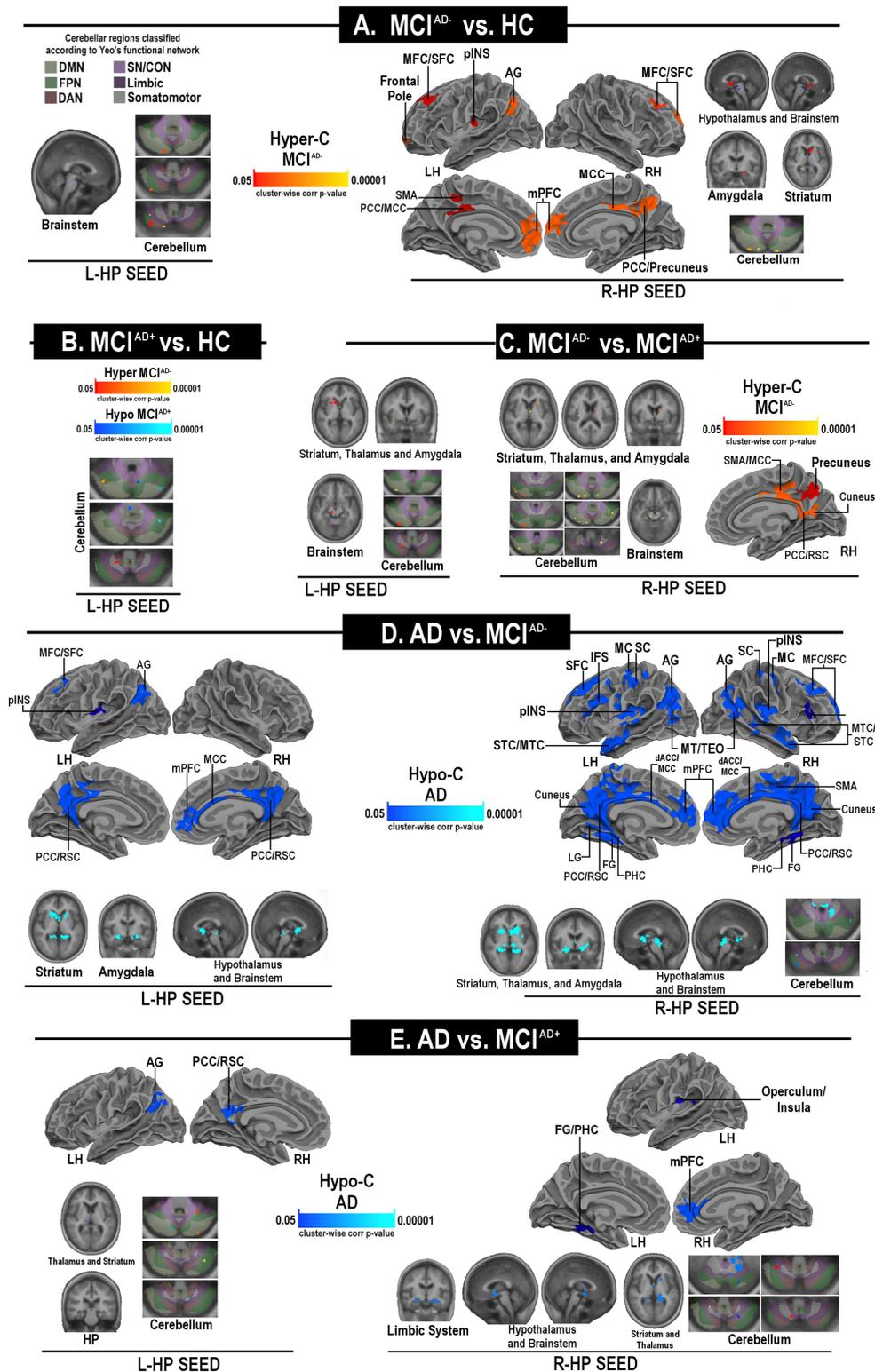


Figure 3. Statistical maps of differences in hippocampal (HP) functional connectivity of MCI subtypes categorized by the p-Tau/A β ratio. Panels A and B show the comparison between HC and MCI^{AD-} or MCI^{AD+} individuals, respectively; panels C show the comparison between the two MCI subsets. Panel D

and E show connectivity changes in AD patients when compared to MCI^{AD-} or MCI^{AD+} subjects, respectively. The figure depicts areas with a cluster-wise probability below the corrected p-value of 0.05. Clusters changing from red to yellow or from dark blue to light blue indicate increased hyper-C or hypo-C, respectively.

Abbreviations: AG=angular gyrus; dACC= dorsal Anterior Cingulate Cortex; DAN=Dorsal Attention Network; DMN=default-mode network; FG=fusiform gyrus; FPN=fronto-parietal network; L=left; LH=left hemisphere; LG=lingual gyrus; IFS=inferior frontal sulcus; MC=motor cortex; MCC=middle cingulate cortex; MFG/MFC=middle frontal gyrus/cortex; MTC= middle temporal cortex; SMA=supplementary motor area; mPFC=medial prefrontal cortex; PCC/RSC=posterior cingulate cortex/retrosplenial cortex; PHC=parahippocampal cortex; pINS=posterior insula; R=right; RH=right hemisphere; SC=sensory cortex; SFC =superior frontal cortex; SN/CON=Salience/Cingulo-Opercular Networks; STC=superior temporal cortex.

Finally, we assessed the FC changes in the nc-MCI set taking into account their load of AD-related pathology. Compared to HC subjects, nc-MCI^{AD-} individuals showed hippocampal hyper-connectivity with regions of the DMN and the cerebellum (**Suppl. Fig. 5A**). On the other hand, when compared to HC subjects, nc-MCI^{AD+} did not display hippocampal hyper-connectivity with DMN-related areas but showed the presence of small-size clusters of mixed patterns of hyper-connectivity and hypo-connectivity occurring between the hippocampus and the cerebellum. This set also showed hypo-connectivity of the hippocampus with the amygdala and the striatum (**Suppl. Fig. 5B**). Compared to nc-MCI^{AD+}, nc-MCI^{AD-} individuals, showed hyper-connectivity with the cerebellum and the striatum (**Suppl. Fig. 5C**).

3.5 Entorhinal cortex FC variations in subsets of MCI subjects

As with the hippocampus we first analyzed MCI patients categorized by their clinical follow-up. Compared to HC, nc-MCI patients showed hyper-connectivity with cerebellar areas that are part of the DMN, the FPN, the SN/CON and the limbic system (**Fig. 4A**). Conversely, compared to HC (**Fig. 4B**) or nc-MCI subjects (**Fig. 4C**), c-MCI patients showed reduced connectivity with the lateral-occipital cortex, the cortical and cerebellar regions that are part of the FPN and DAN, the cerebellar regions of the Salience/Cingulo-Opercular Networks (SN/CON) and the limbic system as well as the brainstem, the striatum, and the thalamus. Compared to nc-MCI subjects, AD patients showed diffuse patterns of entorhinal hypo-connectivity with the cerebellum, the cortical regions that are mainly involved in the SN/CON and VAN as well as the thalamus, the striatum and the brainstem (**Fig. 5A**). In contrast, compared to c-MCI individuals, AD patients did not show differences in hippocampal or entorhinal FC. When compared to HC subjects, AD patients displayed hypo-connectivity of the entorhinal cortex with

regions of the SN/CON, the cerebellum, the brainstem, the thalamus, the striatum, and the limbic system (Fig. 5B).

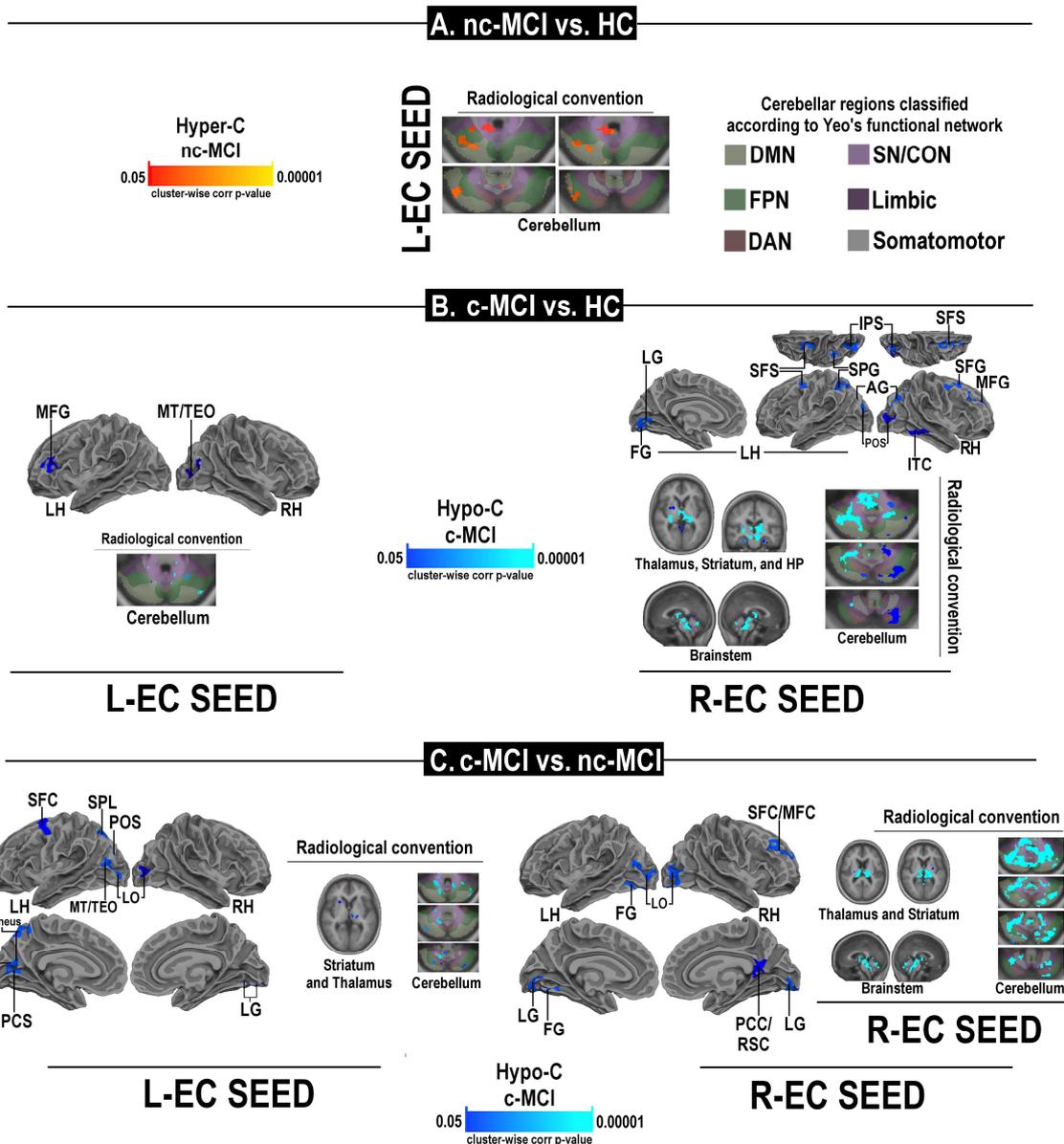


Figure 4. Statistical maps of differences in entorhinal (EC) functional connectivity of MCI subsets.

Panel A shows the comparison between nc-MCI and HC subjects; panels B and C show the comparison of c-MCI subjects with HC or nc-MCI subjects, respectively. The figure depicts areas with a cluster-wise probability below the corrected p-value of 0.05. Clusters changing from red to yellow or from dark blue to light blue indicate increased hyper-C or hypo-C, respectively.

Abbreviations: AG=angular gyrus; DAN=Dorsal Attention Network; DMN=default-mode network; FG=fusiform gyrus; FPN=fronto-parietal network; IPS=intraparietal sulcus; ITC=inferior temporal cortex; L=left; LG=lingual gyrus; LH=left hemisphere; LO=lateral-occipital; MFC= middle frontal cortex;

Abbreviations: AG=angular gyrus; dACC=dorsal anterior cingulate cortex; DAN=Dorsal Attention Network; DMN=default-mode network; FG=fusiform gyrus; FPN=fronto-parietal network; INS=insula; L=left; LH=left hemisphere; MCC=middle cingulate cortex; MFC=middle frontal cortex; mPFC=medial prefrontal cortex; MTG=middle temporal gyrus; PHC=parahippocampal cortex; R=right; RH=right hemisphere; SFS =superior frontal sulcus; SMG= supramarginal gyrus; SMA=Supplementary Motor Area; SN/CON=Saliency/Cingulo-Opercular Networks.

In the second analysis, we evaluated the MCI subjects subdivided by their loads of AD-related pathology. In this second set, we found, that compared to HC subjects, MCI^{AD-} individuals showed hyper-connectivity of the entorhinal cortex with the cerebellar regions that are part of the DMN and sensorimotor network as well as with the brainstem and the striatum (**Fig. 6A**). In contrast, MCI^{AD+} showed hypo-connectivity with the lateral-occipital area, the striatum, and the limbic system as well as hyper-connectivity with the hypothalamus. We also found a mixed pattern of hyper- and hypo-connectivity occurring between the entorhinal cortex and selected cerebellar regions (**Fig. 6B**). The direct comparison between the two MCI subsets revealed that MCI^{AD+} subjects show entorhinal hypo-connectivity with the sensorimotor cortex, associative motor areas, DMN-related cerebellar areas as well as the hypothalamus and the brainstem (**Fig. 6C**). Compared to MCI^{AD-} subjects, AD patients showed patterns of hippocampal hypo-connectivity with the cerebellum, SN-related cortical areas, and the sensorimotor network as well as the brainstem, the hypothalamus, the limbic system, the striatum, and the thalamus (**Fig. 6D**). Compared to MCI^{AD+} individuals, AD patients showed hippocampal hypo-connectivity with FPN- and SN-related cerebellar areas, the sensorimotor network, the brainstem, the striatum, and thalamus (**Fig. 6E**).

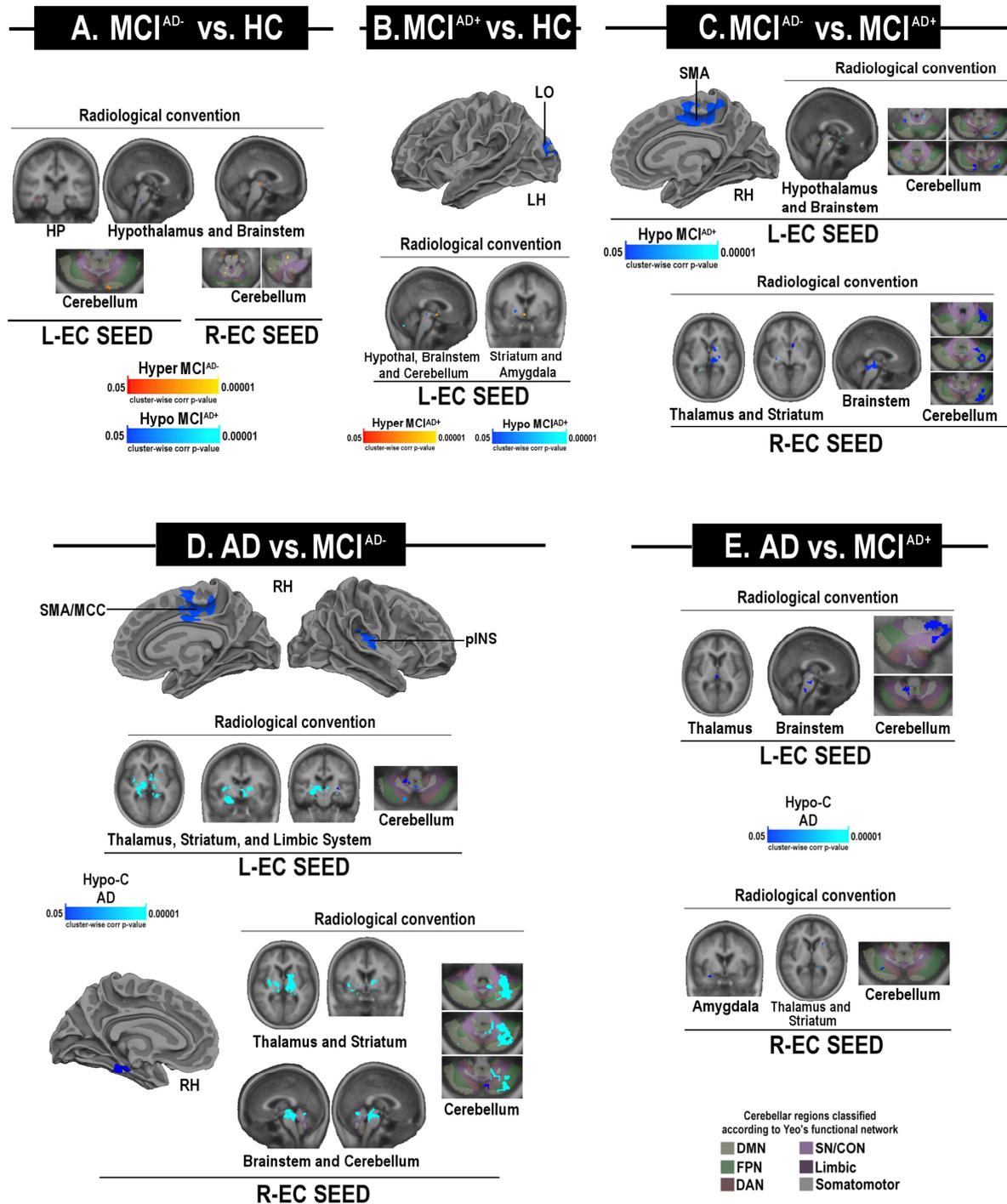


Figure 6. Statistical maps of differences in entorhinal (EC) functional connectivity of MCI subtypes categorized by the p-Tau/A β ratio. Panels A and B show the comparison between HC and MCI^{AD-} or MCI^{AD+} individuals, respectively; panels C show the comparison between the two MCI subsets. Panel D and E show the connectivity changes in AD patients when compared to MCI^{AD-} or MCI^{AD+} subjects, respectively. The figure depicts areas with a cluster-wise probability below the corrected p-value of 0.05.

Clusters changing from red to yellow or from dark blue to light blue indicate increased hyper-C or hypo-C, respectively.

Abbreviations: DAN=Dorsal Attention Network; DMN=default-mode network; FPN=fronto-parietal network; L=left; LH=left hemisphere; LO=lateral-occipital; MCC=middle cingulate cortex; pINS=posterior insula; SMA=supplementary motor area; R=right; RH=right hemisphere; SN/CON=Saliency/Cingulo-Opercular Networks.

The third analysis investigated the nc-MCI group in reference to different loads of AD-related pathology. We found that, compared to HC (**Suppl. Fig. 6A**) or nc-MCI^{AD+} (**Suppl. Fig. 6C**), nc-MCI^{AD-} subjects showed hippocampal hyper-connectivity with selected cerebellar regions. In contrast, when compared to HC subjects, nc-MCI^{AD+} subjects displayed small clusters of hyper-connectivity between the entorhinal cortex and selected subcortical areas (**Suppl. Fig. 6B**).

3.7 Relationship of rs-fMRI data with age, morphometry, CSF biomarkers and longitudinal changes in cognition

In the MCI group, using a whole brain correlation analysis, we found that the features of hippocampal FC were associated with altered CSF levels of p-Tau and amyloid. Increased FC, occurring between the hippocampus and DMN regions, was negatively associated with levels of p-Tau₁₈₁ and p-Tau₁₈₁/A β ₁₋₄₂, and positively associated with A β ₁₋₄₂ levels (**Suppl. Fig. 7**). The strength of the hippocampal FC did not correlate with age, educational level or alterations in the structural integrity of the hippocampus or cortex. No significant effect was found as far as the synergistic interaction of gender with respect to CSF AD-related biomarkers. In the entorhinal cortex, the strength of FC positively correlates with the structural integrity of the seed (**Suppl. Fig. 8**). Finally, increased hippocampal FC with the mPFC was significantly and positively associated with a smaller longitudinal decline in the Boston Naming Test (BNT) and the Trail Making Test-A (TMTA) tests, two tests that evaluate naming and executive functions. The parameter also showed a trend towards significance when considering the correlation with longitudinal changes in scores of the AVDEL30 (**Table 3-4**). Moreover, the increase of hippocampal FC was significantly associated with smaller longitudinal variations in cognition. Conjunction maps show that these relationships are linked to variations of episodic memory (RAVLT) and executive functions (TMTB) (**Fig. 7**). These findings indicate that the increased hippocampal FC can help to maintain performances in subsets of cognitive domains.

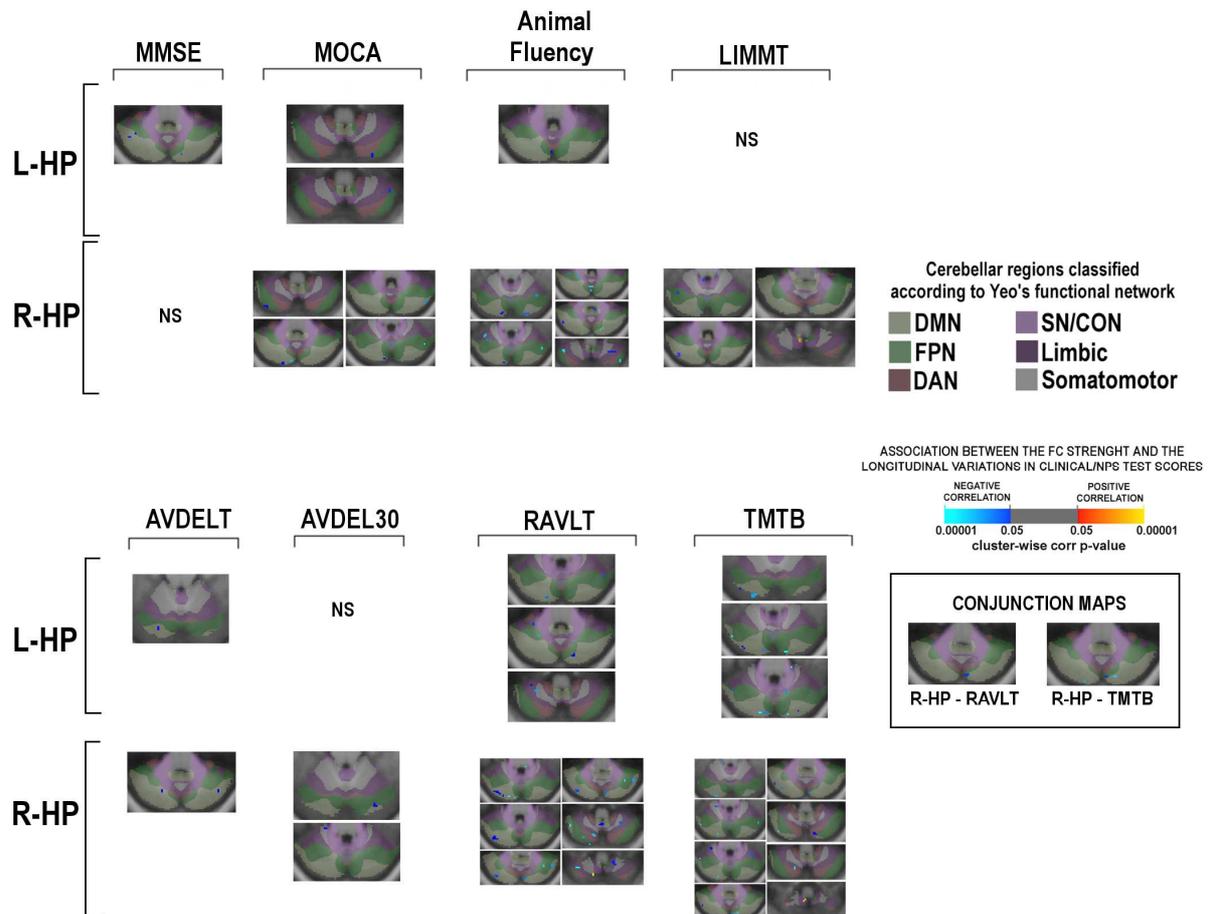


Figure 7. Whole-cerebellum correlation analysis between hippocampal (HP) functional connectivity and longitudinal variations of neuropsychological/clinical scores. Each conjunction map shows the intersection of significant clusters that indicate correlations between FC and longitudinal variations of RAVLT and TMTB scores and clusters expressing significant FC differences in the comparisons between nc-MCI and c-MCI. Clusters changing from red to yellow or from dark blue to light blue indicate positive or negative correlations, respectively. Images are shown in radiological convention. Note that the enhanced hippocampal FC is associated with lower longitudinal decline in cognitive domains assessed by the RAVLT and TMTB tests.

Abbreviations: BNT=Boston Naming Test; AVDELT= Rey Auditory Verbal Learning Test-Delayed Recognition Score; AVDEL30=Rey Auditory Verbal Learning Test-Delayed 30 Minute Delay Total; LDEL=LIMMT=Logical Memory-Immediate Recall Total Number of Story Units Recalled; NS=not significant; TMTB=Trail Making Test Part B; RAVLT=Rey Auditory Verbal Learning Test Immediate.

4. Discussion

In the present study, we investigated patterns of hippocampal and entorhinal FC in a cohort of HC, MCI and AD subjects. The rs-fMRI data were also evaluated in relation to the clinical progression

and degrees of AD-pathology of MCI subjects. Overall, the analysis indicates that AD patients show a parallel process of hypo-connectivity that is localized in the hippocampus and entorhinal cortex. The analysis of the MCI subsets shows that c-MCI and MCI^{AD+} subjects are characterized by hypo-connectivity of the entorhinal cortex and hippocampus while nc-MCI, and MCI^{AD-} subjects exhibit hyper-connectivity of the two regions.

4.1 Patterns of functional connectivity, cognitive status and structural damage of AD patients

Our AD subjects were characterized by the collapse of hippocampal and entorhinal connectivity, the decline in memory and executive skills, and the presence of marked signs of cortical and subcortical atrophy. These findings confirm the notion that macro-structural damage severely impairs global communication efficiency, prevents the adaptive functional reorganization of the brain networks, ultimately setting the stage for the disease progression (Hillary and Grafman 2017). Of note, we observed hypo-connectivity in the angular gyrus and retrosplenial/posterior cingulate cortex, two areas that are strictly involved in memory retrieval and intimately connected to the hippocampus and entorhinal cortex (Eichenbaum 2017; Sestieri et al. 2017). It is therefore conceivable that, in AD subjects, the reduced connectivity of the hippocampus and entorhinal cortex represents a functional correlate of the defective episodic memory retrieval that is found in the disease.

4.2 Patterns of functional connectivity, cognitive status, and structural features of nc-MCI and MCI^{AD-} subjects

Our study shows that nc-MCI and MCI^{AD-} individuals exhibit hippocampal and entorhinal hyper-connectivity as well as the relative preservation of cognitive functions and brain structures.

The hippocampal hyperactivity exhibited by MCI patients is controversial in value. While some authors have proposed that the process plays a compensatory role and helps to maintain cognitive performances (Bondi et al. 2005; Dai et al. 2009; Dickerson et al. 2008; Kircher et al. 2007; Oh and Jagust, 2013; Huijbers et al. 2015; Mormino et al. 2011; Putcha et al. 2011; Schultz et al. 2017; Sperling et al. 2009), others have considered the phenomenon disadvantageous and set to promote cognitive impairment (Das et al. 2013; Pasquini et al. 2015).

Furthermore, previous studies have indicated that MCI patients show hyper-connectivity or hyper-activity that is regionally restricted within the medial temporal lobe (MTL) while reduced connectivity occurs between MTL and other network nodes, including the DMN. These intra-MTL effects have been confirmed by Arterial Spin Labeling studies (Alsop et al. 2008; Alsop et al. 2010), task fMRI (Yassa et al. 2010b), and rs-fMRI (Das et al. 2013; Pasquini et al. 2015). We have performed the

MTL analysis and found that, compared to HC or nc-MCI groups, the mean values of intra-MTL connectivity are higher in the AD or c-MCI sets. However, these FC differences did not reach statistical significance (data not shown), thereby suggesting differences between our and the other (Das et al. 2013; Pasquini et al. 2015) datasets.

Our results, indicating the presence of enhanced FC between the hippocampus, thalamus, striatum, and the mPFC support the “compensatory hypothesis” for the hyperconnectivity that we have detected. The thalamus is a structural and functional hub for the communication occurring between the hippocampus and mPFC, thereby favoring strategic cognitive functions, including memory consolidation (Ferraris et al. 2018; Eichenbaum 2017). The striatum, along with the PFC, is also implicated in the modulation of memory retrieval (Scimeca and Badre 2012). The mPFC is part of an integrated system, the DMN, that sustains the global communication and meta-stability of the brain (Hellyer et al. 2014) and, ultimately, affects a wide-range of high-order cognitive functions as well as the resilience against neurodegenerative processes (Hillary and Grafman 2017). The hippocampal hyper-connectivity with the mPFC is in line with different proposed modelizations of brain ageing (i.e., HERA, HAROLD, PASA, CRUNCH, STAC, GOLDEN Aging) that postulate that the increased engagement of the prefrontal brain regions is set to compensate the functional decline occurring in posterior regions of the brain (Tulving et al. 1994; Cabeza et al. 1997; Davis et al. 2008; Schneider-Garces et al. 2010; Park and Reuter-Lorenz 2009; Reuter-Lorenz and Park 2014; Fabiani 2012). The compensatory hypothesis fits with evidence indicating that the mPFC and the hippocampus are tightly interconnected by bidirectional projections that are structurally and functionally integrated. The oscillatory synchronic activity between these two regions supports the organization and processing of the episodic memory (Eichenbaum 2017). The mPFC is strategic for memory as the area receives information on contextual cues from the anterior hippocampus and, in turns, indirectly sends the information - via the thalamus and perirhinal/entorhinal cortices- to the posterior hippocampus (**Suppl. Fig. 9**). In this context, the hippocampus acts as a key region that is set to control memory organization and encoding whereas the mPFC is implicated in the retrieval of context-appropriate memory engrams, the suppression of distractors or the interference and switching or selection of episodic memories according to contextual rules (Eichenbaum 2017). Furthermore, the presence of altered connectivity between the mPFC and the hippocampus impairs the object-place and temporal-order memory and leads to severe impairment of conditional visual discrimination as well as to learning and memory deficits related to defective suppression of irrelevant memory engrams (Eichenbaum 2017; Barker et al. 2007). In summary, the enhanced FC between the

hippocampus and the mPFC that we observed in the nc-MCI group is likely to result in positive cognitive outputs.

Our findings also unravel a functional connection between the hippocampus and the cerebellum. The comparison with HC subjects or within MCI subsets revealed that nc-MCI and MCI^{AD-} individuals show hippocampal hyper-connectivity with cerebellar areas that are functionally associated with the DMN. The interplay between these regions - through circuits that involve the entorhinal cortex, the cerebellum-thalamo-cortical, and cortical-ponto-cerebellar pathways - is strategic for the modulation of cognitively-relevant prefrontal and parietal activities (Yu and Krook-Magnuson 2015). The involvement of the cerebellum is intriguing as recent evidence indicate that the region acts as a critical hub for the control of a wide range of cognitive processes encompassing language, visual-spatial, executive, and working memory processes (Stoodley 2012). In that regard, we found that the hippocampal hyper-connectivity of the nc- or MCI^{AD-} subsets was associated with the preservation of cognition, and mnemonic and executive functions, in particular, thereby suggesting that the cerebellum can be strategically involved in maintaining the cognitive reserve (**Fig.7**).

From a theoretical standpoint, the increased FC that we observed in nc-MCI subjects may help to cope with and counteract, the undergoing neurodegenerative process and related cognitive impairment. These speculative considerations are inferred from a model that is pseudo-longitudinal as the dataset offers information on the clinical progression but not on the related longitudinal FC changes. However, the overlap of findings that we observed between nc-MCI subjects who are also MCI^{AD-} lend support to the idea that the hippocampal hyper-connectivity of MCI individuals - when the AD-related pathology is not present or at sub-threshold levels - serves as a valuable adaptive strategy to maintain cognitive functions. Our findings also lend support to the possibility of a previously described “inverse-U-shaped” model and proposes that divergent patterns of hippocampal FC can be used as functional biomarker to identify the presence or absence of dynamic processes that drive MCI subjects to AD (Dickerson and Sperling, 2008; Sperling et al. 2010; Sperling et al. 2014; Schultz et al. 2018).

4.3 Patterns of functional connectivity, cognitive status and structural alterations in c-MCI and MCI^{AD+} subjects

c-MCI and MCI^{AD+} subjects did not show signs of hippocampal hyper-connectivity with the mPFC, DMN-related areas or the cerebellum. This lack of hyper-connectivity may result in reduced compensatory engagement of critical regions that are involved in the brain meta-stability and lead to more severe cognitive decline. c-MCI and MCI^{AD+} individuals also showed entorhinal hypo-connectivity with cortical and cerebellar regions that take part in the modulation of long-term memory and

attentional systems. The entorhinal hypo-connectivity should be considered in relation to the role played by the superficial layers, II-III, of this region (**Suppl. Fig. 9**). These layers act as relay stations that carry, through the perirhinal or parahippocampal cortices, unimodal/multimodal cortical information from cortical associative areas to the hippocampus (Canto et al. 2008; Ranganath and Ritchey 2012). Moreover, the deep layers, V-VI, of the lateral entorhinal cortex send projections from the posterior hippocampus, via the cingulum, to the parahippocampus and the cortical areas that are involved in attentional networks and the DMN/PMN bundle (Kahn et al. 2008; Lacy and Stark 2012; Libby et al. 2012). These circuits promote the integration of spatial information as well as the representation of retrieved events (Preston and Eichenbaum 2013; Vann et al. 2009). It is therefore conceivable that, in c-MCI subjects, reduced FC with the DMN and associative networks represents a functional marker of underlying alterations that occur before the onset and development of AD.

In line with previous MRI studies (Dickerson et al. 2001; Grundman et al. 2002; Jack et al. 2004; Apostolova et al. 2006; Henneman et al. 2009), c-MCI patients show mesial temporal atrophy in the hippocampus and entorhinal cortex. Although some studies have reported the presence of cortical atrophy in MCI subjects (Tabatabaei-Jafari et al. 2015), our MCI individuals were characterized by relative preservation of the cortical thickness. In that regard, it should be underlined that these patients did not show a substantial impairment of the global cognitive functions. In addition, our study subjects were highly educated individuals who likely possessed significant levels of cognitive reserve (Lo and Jagust 2013).

Overall, these data are in agreement with neuropathological evidence indicating that the entorhinal cortex and the hippocampus are the first brain regions to display tau-pathology and neurodegeneration in the course of AD (Braak et al. 1994). In line with this notion, our c-MCI patients showed decreased CSF levels of $A\beta_{1-42}$ and increased levels of t-Tau, p-Tau₁₈₁, t-Tau/ $A\beta_{1-42}$, and p-Tau₁₈₁/ $A\beta_{1-42}$, alterations that went along with the presence of more significant memory deficits.

The correlation between altered CSF features and the presence of mPFC-related modifications is in accordance with studies showing that decreased FC between central hubs of the DMN correlates with enhanced $A\beta$ deposition (Buckner RL et al. 2005; Elman et al. 2016; Foster et al. 2018; Grothe et al. 2016; Koch et al. 2010; Mutlu et al. 2017; Mormino et al. 2011; Palmqvist et al. 2017). fMRI/PET studies have recently shown that increased levels of tau-related pathology lead to a progressive decline of the brain FC (Cope et al. 2018; Hoenig et al. 2018; Jones et al. 2016; Sepulcre et al. 2017) and reduced activation of the DMN in particular (Hoenig et al. 2018). Schultz and colleagues (2017) have also suggested that, upon pre-symptomatic AD, a transient hyper-connectivity phase is followed by hypo-

connectivity. The authors reported that DMN connectivity is negatively associated with global cortical deposition of amyloid and the presence of PET-related signs of tau pathology in the MTL and inferior parietal cortices. These fMRI/PET findings and our data fit with a model in which the appearance of signs of tau-pathology go along with the loss of hippocampal GABAergic interneurons that may be instrumental in setting the stage for regional hyperexcitability (Levenga et al. 2013).

We, therefore, propose a “work in progress” model (**Fig. 8**) where patterns of altered hippocampal FC can serve as a proxy functional biomarker of the susceptibility to AD status of subsets of MCI subjects. The model proposes a two-stage process. In MCI^{AD-} patients, the benign, para-physiological, occurrence of tau deposition that is restricted to the MTL (Braak and Braak 1994; Braak et al. 2013) (Scholl et al. 2016; Ossenkoppele et al. 2016) may favor a down-regulation of GABAergic neurotransmission that sustains a compensatory and cognitively-relevant enhanced glutamatergic neurotransmission and excitability. In MCI^{AD+} patients, the pathological load produced by the convergence of amyloid-dependent and -independent mechanisms may accelerate injurious tau deposition outside of the MTL (Braak and Braak 1994; Braak et al. 2013), unleash a glutamatergic overdrive, and favors the onset of pathological hyperexcitability, excitotoxicity, micro/macro-structural damage, atrophy, deficient hippocampal functioning, and the progression of cognitive and behavioral disorders (Frazzini et al. 2016; Gilani et al. 2014; Jones et al. 2016; Palop and Mucke 2016; Schmitz et al. 2017; Schobel et al. 2013). This hypothesis finds some support by the combined analysis of the MCI subgroups. We find that the hyper-connectivity of nc-MCI subjects is inhibited by the presence of the AD-related pathology as, out of a total of 54 nc-MCI subjects, the phenomenon is missing in 25 who are AD⁺ (**Suppl. Fig. 2**). On the other hand, in our MCI set, the presence of the AD-related pathology is not sufficient, at least in the two-year timeframe of the dataset, to promote the conversion to AD. A crossed analysis (nc/c; AD+/-) shows in fact that as, out of a total of 37 nc-MCI subjects, 25 are also AD⁺, a finding that is in line with the current view of AD as a multifactorial condition driven by amyloid-dependent and independent mechanisms.

Further investigations, combining proton MR spectroscopy and rs-fMRI, will be needed to test this hypothesis and understand the nature and precise timeline of the functional changes occurring in MCI individuals along with their molecular underpinnings.

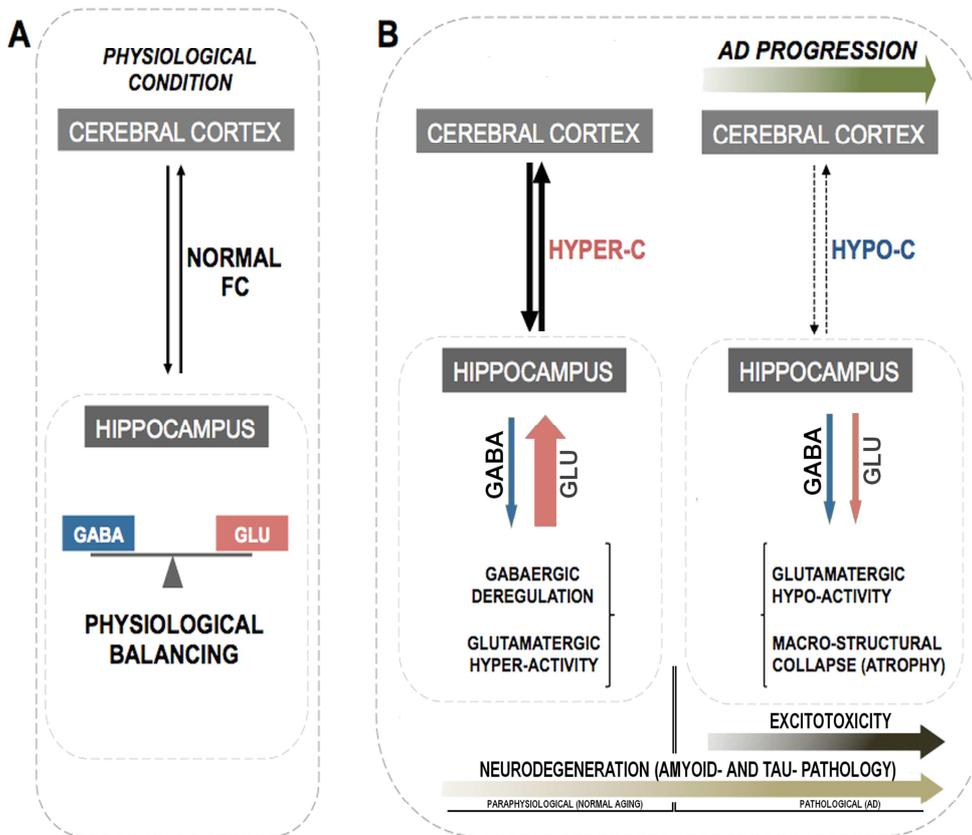


Figure 8. Proposed model of changes in hippocampal connectivity that occur at different stages of the AD spectrum. In MCI^{AD-} patients, the para-physiological occurrence of tau deposition, a phenomenon restricted to the MTL, may favor the down-regulation of GABAergic neurotransmission that can promote compensatory, cognitively-relevant, hyperexcitability. In MCI^{AD+} patients, the convergence of amyloid-dependent and independent mechanisms may favor the transition to widespread and patent tau pathology, thereby unleashing a glutamatergic overdrive, pathological hyperexcitability, excitotoxicity, micro/ macro-structural damage, atrophy, deficient hippocampal functioning, and the progression to cognitive and behavioral disorders.

5. Conclusions

The study identifies the functional correlates of alterations that occur in MCI patients. Our study has some limitations. For instance, the neuropsychological tests employed in the ADNI database

are skewed toward the investigation of mnemonic domains and do not allow a detailed analysis of visuospatial and attentional domains. The dataset does offer information on the longitudinal variations of the fMRI changes, an issue that should be addressed by future investigation. Finally, the model should be tested and further validated by investigating changes in functional and structural connectivity in relation to ongoing processes of amyloid and tau deposition, brain metabolism and synaptic density as assessed by PET imaging. The study nevertheless offers a functional biomarker that can be used in selected diagnostic settings and may indicate a window of therapeutic opportunity in subsets of MCI patients.

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Table 1. Demographic, neuropsychological, and clinical features - I model

	nc-MCI (N=54)	c-MCI (N=13)	ANOVA ^d		Post-hoc					
			F or μ_2	p-value	HC vs. nc-MCI	HC vs. c- MCI	nc-MCI vs. c- MCI	nc-MCI vs. AD	c-MCI vs. AD	HC vs. AD
Gender (% Male)	54%	69%	8.729	0.021	0.011	0.007	0.310	0.352	0.116	0.188
Age (y)	71.6±7.1	71.7±6.1	0.470	0.703	NA	NA	NA	NA	NA	NA
Education (y)	15.9±2.7	16.2±2.5	1.558	0.203	NA	NA	NA	NA	NA	NA
CDR-RS	1.2±0.7	2.8±1.0	150.878	<0.001	<0.001	<0.001	<0.001	<0.001	0.012	<0.001
MMSE	27.9±1.7	27.0±1.6	55.520	<0.001	0.129	0.012	0.667	<0.001	<0.001	<0.001
MOCA	23.1±3.2	22.6±2.5	41.567	<0.001	0.038	0.062	1.000	<0.001	<0.001	<0.001
LM	7.2±3.0	6.5±3.0	87.650	<0.001	<0.001	<0.001	1.000	<0.001	<0.001	<0.001
ADAS11	8.7±3.5	12.2±4.3	72.559	<0.001	0.084	0.001	0.114	<0.001	<0.001	<0.001
ADAS13	14.0±5.2	19.1±6.2	86.011	<0.001	0.013	<0.001	0.046	<0.001	<0.001	<0.001
FAQ	2.1±3.4	8.0±3.1	84.147	<0.001	0.157	<0.001	<0.001	<0.001	<0.001	<0.001
AF	18.7±4.8	17.8±4.5	17.733	<0.001	0.295	0.235	1.000	<0.001	0.004	<0.001
BNT	27.1±3.1	25.8±4.9	13.471	<0.001	0.740	0.229	1.000	<0.001	0.041	<0.001
TMTA [#]	37.7±14.9	44.0±18.0	25.197	<0.001	1.000	0.587	0.975	<0.001	<0.001	<0.001
TMTB	105.5±60.	144.4±85.	34.944	<0.001	1.000	0.017	0.080	<0.001	<0.001	<0.001
LDEL ^{###}	9.4±2.7	8.4±3.7	91.511	<0.001	<0.001	<0.001	1.000	<0.001	<0.001	<0.001
LIMM ^{###}	7.2±3.0	6.5±3.0	105.492	<0.001	<0.001	<0.001	1.000	<0.001	<0.001	<0.001
AVDELT ^{###}	35.9±9.6	30.2±4.5	38.838	<0.001	<0.001	<0.001	0.226	<0.001	0.057	<0.001
AVDEL30 ^{###}	4.5±3.7	1.5±1.9	28.687	<0.001	0.001	<0.001	0.011	<0.001	1.000	<0.001
RAVLT ^{###}	11.0±3.0	9.7±2.3	35.416	<0.001	0.874	0.002	0.005	<0.001	0.001	<0.001
APOE ϵ 4	37%	62%	15.428	0.001	0.415	0.082	0.274	0.004	0.622	0.001
A β (pg/ml) ^{###}	1246±601	727±357	8.976	<0.001	1.000	0.003	0.023	0.003	1.000	0.002
tau (pg/ml) ^{###}	273±119	389±160	7.757	<0.001	1.000	0.003	0.017	0.015	1.000	0.002
p-Tau (pg/ml) ^{###}	25.4±12.5	39±19	8.294	<0.001	1.000	0.001	0.004	0.017	1.000	0.003
t-Tau/A β ^{###}	0.29±0.24	0.65±0.47	13.494	<0.001	1.000	<0.001	0.001	0.001	1.000	<0.001
p-Tau/A β ^{###}	0.03±0.02	0.07±0.05	9.843	<0.001	1.000	0.001	0.003	0.002	1.000	0.004
L-HP/eTIV ^{a,b}	2.47±0.44	2.28	15.710	<0.001	0.449	0.062	0.961	<0.001	0.056	<0.001
R-HP/eTIV ^{a,b}	2.56±0.46	2.23±0.53	14.620	<0.001	0.940	0.008	0.110	<0.001	0.679	<0.001
eTIV (mm ³) ^c	1.51±0.21	1.56±0.23	0.442	0.723	NA	NA	NA	NA	NA	NA
L-EC (mm)	3.31±0.48	3.21±0.63	12.225	<0.001	0.601	0.463	1.000	<0.001	0.058	<0.001
R-EC (mm)	3.42±0.41	3.04±0.63	10.729	<0.001	1.000	0.014	0.039	<0.001	1.000	<0.001

Values are expressed as the mean \pm standard deviation (SD). ^{###} Data on episodic memory tests were available for 1 nc-MCI patient. ^{###} Data on CSF biomarkers were available for 46 nc-MCI patients and 13 c-MCI patients. ^a right (R) and left (L) hippocampus (HP) volumes are normalized for estimated total intracranial volume (eTIV); ^b values $\times 10^{-3}$; ^c values $\times 10^6$; ^d I model: comparison between HC, nc-MCI, c-MCI, and AD.

Abbreviations: ADAS=AD Assessment Scale; AF=Animal Fluency; BNT=Boston Naming Test; CDR-RS=Clinical Dementia Rating Scale; AVDELT= Rey Auditory Verbal Learning Test-Delayed Recognition Score; AVDEL30=Rey Auditory Verbal Learning Test-Delayed 30 Minute Delay Total; EC=entorhinal cortex; eTIV=estimated total intracranial volume; FAQ= Functional Activities Questionnaire; LDEL= Logical Memory-Delayed Recall Total Number of Story Units Recalled; LIMM=Logical Memory-Immediate Recall Total Number of Story Units Recalled; MMSE=Mini-Mental State Examination; MoCA=Montreal Cognitive Assessment; TMT=Trail Making Test; A β_{1-42} =amyloid β_{1-42} ; p-Tau₁₈₁=tau phosphorylated at threonine 181; RAVLT=Rey Auditory Verbal Learning Test Immediate.

Table 2. Demographic, neuropsychological, and clinical features - II model

	MCI ^{AD-} (N=22, 50% Male)	MCI ^{AD+} (N=37, 64% Male)	ANOVA ^d		Post-hoc				
			F or μ_2	p-value	HC vs. MCI ^{AD-}	HC vs. MCI ^{AD+}	MCI ^{AD-} vs. MCI ^{AD+}	MCI ^{AD-} vs. AD	MCI ^{AD+} vs. AD
Age (y)	72±5.8	71.5±8	1.023	0.385	NA	NA	NA	NA	NA
Education (y)	16.2±2.5	15.5±2.7	1.523	0.212	0.726	1.000	0.378	1.000	1.000
CDR-RS	1.9±1.1	1.1±0.8	131.4	<0.001	<0.001	<0.001	0.020	<0.001	<0.001
MMSE	27.4±1.8	28.3±1.7	66.3	<0.001	1.000	0.002	0.375	<0.001	<0.001
MOCA	23±3.1	23.5±2.8	50.1	<0.001	0.084	0.004	1.000	<0.001	<0.001
LM	6.7±3	7.7±2.6	109.8	<0.001	<0.001	<0.001	1.000	<0.001	<0.001
ADAS11	10.2±4.4	8.3±3.3	73.2	<0.001	0.462	0.002	0.933	<0.001	<0.001
ADAS13	16±6.3	13.1±5.3	85.8	<0.001	0.213	<0.001	0.534	<0.001	<0.001
FAQ	4.3±4.7	2±2.9	76.0	<0.001	0.603	<0.001	<0.001	0.331	0.331
AF	18.6±4.6	18.9±5	15.2	<0.001	0.911	0.340	0.340	1.000	1.000
BNT	26.5±3.9	27.4±2.1	14.9	<0.001	1.000	0.119	1.000	<0.001	<0.001
TMTA	37±13.7	38.9±16.6	21.9	<0.001	1.000	1.000	1.000	<0.001	<0.001
TMTB	111.4±60.9	100.4±55.9	31.9	<0.001	1.000	0.633	1.000	<0.001	<0.001
LDELT	8.8±3.2	9.8±2.6	87.9	<0.001	<0.001	<0.001	1.000	<0.001	<0.001
LIMM	6.7±3	7.7±2.6	109.8	<0.001	<0.001	<0.001	1.000	<0.001	<0.001
AVDELT	33.8±7.9	35.3±10.3	36.2	<0.001	<0.001	<0.001	1.000	<0.001	<0.001
AVDEL30	3.4±3.5	4.3±3.7	24.6	<0.001	0.010	<0.001	1.000	<0.001	0.002
RAVLT	10.2±3.1	11.5±2.5	36.0	<0.001	0.265	<0.001	0.490	<0.001	<0.001
L-HP/eTIV ^{a,b}	2.25±0.43	2.23±0.37	14.8	<0.001	1.000	0.026	0.203	0.004	1.000
R-HP/eTIV ^{a,b}	2.64±0.44	2.24±0.43	11.7	<0.001	1.000	0.019	0.087	<0.001	0.075
eTIV (mm ³) ^c	1.49±0.18	1.55±0.21	0.69	0.560	NA	NA	NA	NA	NA
L-EC (mm)	3.36±0.52	3.20±0.48	12.1	<0.001	1.000	0.087	1.000	<0.001	0.004
R-EC (mm)	3.48±0.42	3.24±0.51	9.0	<0.001	1.000	0.173	0.310	<0.001	0.060

Values are expressed as the mean ± standard deviation (SD). ^a right (R) and left (L) hippocampus (HP) volumes are normalized for the estimated total intracranial volume (eTIV); ^b values x 10⁻³; ^c values x 10⁶; ^d II model: comparison between HC, MCI^{AD-}, MCI^{AD+}, and AD. Note: the comparison between HC and AD is also reported in Table 2.

Abbreviations: ADAS=AD Assessment Scale; AF=Animal Fluency; BNT=Boston Naming Test; CDR-RS=Clinical Dementia Rating Scale; AVDELT= Rey Auditory Verbal Learning Test-Delayed Recognition Score; AVDEL30=Rey Auditory Verbal Learning Test-Delayed 30 Minute Delay Total; EC=entorhinal cortex; eTIV=estimated total intracranial volume; FAQ= Functional Activities Questionnaire; LDEL= Logical Memory-Delayed Recall Total Number of Story Units Recalled; LIMM=Logical Memory-Immediate Recall Total Number of Story Units Recalled; MMSE=Mini-Mental State Examination; MoCA=Montreal Cognitive Assessment; TMT=Trail Making Test; RAVLT=Rey Auditory Verbal Learning Test Immediate.

Table 3. Longitudinal variations of the clinical and neuropsychological test scores of the MCI subsets.

	nc-MCI	c-MCI
MMSE	0.36±2.59	2.75±4.25
MOCA #	-0.28±2.87	4.17±4.06
AF #	0.56±4.28	6.00±4.26
BNT #	-0.46±1.92	2.25±2.99
TMTA	-0.57±11.78	11.23±24.06
TMTB	1.70±52.14	77.46±84.86
LDEL #	-0.32±3.26	3.50±2.43
LIMM#	-0.44±4.05	3.42±3.06
AVDELT #	0.22±6.93	5.83±9.77
AVDEL30 #	0.71±3.10	1.25±1.96
RAVLT #	0.71±3.34	0.42±3.06

Values are expressed as the mean ± standard deviation (SD).

Longitudinal data on episodic memory tests were available for 92% of the MCI sample. *Abbreviations:* AF=Animal Fluency; BNT=Boston Naming Test; AVDELT= Rey Auditory Verbal Learning Test-Delayed Recognition Score; AVDEL30=Rey Auditory Verbal Learning Test-Delayed 30 Minute Delay Total; LDEL= Logical Memory-Delayed Recall Total Number of Story Units Recalled; LIMM=Logical Memory-Immediate Recall

Table 4. Relationships between FC strength and longitudinal changes in cognition.

FC strength of R-HP with	Statistics	MMSE	MOCA	AF	BNT	TMTA	TMTB	LDEL	LIMM	AVDELT	AVDEL30	RAVLT
L-mPFC	Corr. Coeff.	0.019	-0.201	-0.093	-0.305*	-0.241*	-0.087	0.075	-0.136	-0.074	-0.102	0.025
	p-value	0.885	0.116	0.472	0.016	0.050	0.482	0.562	0.293	0.570	0.433	0.849
R-mPFC	Corr. Coeff.	-0.061	-0.217	-0.066	-0.267*	-0.148	-0.050	0.070	-0.082	-0.075	-0.222	0.059
	p-value	0.637	0.091	0.609	0.036	0.232	0.686	0.590	0.529	0.566	0.086	0.649

An asterisk indicates statistical significance. *Abbreviations:* AVDELT= Rey Auditory Verbal Learning Test-Delayed Recognition Score; AVDEL30=Rey Auditory Verbal Learning Test-Delayed 30 Minute Delay Total; AF=Animal Fluency; BNT=Boston Naming Test; FC=functional connectivity; HP=hippocampus; L=left; LDEL= Logical Memory-Delayed Recall Total Number of Story Units Recalled; LIMM=Logical Memory-Immediate Recall Total Number of Story Units Recalled; MMSE=Mini-Mental State Examination; MOCA=Montreal-Cognitive Assessment; R=right; RAVLT=Rey Auditory Verbal Learning Total Number of Story Units Recalled; MMSE=Mini-Mental State Examination; MoCA=Montreal Cognitive Assessment; TMT=Trail Making Test; RAVLT=Rey Auditory Verbal Learning.

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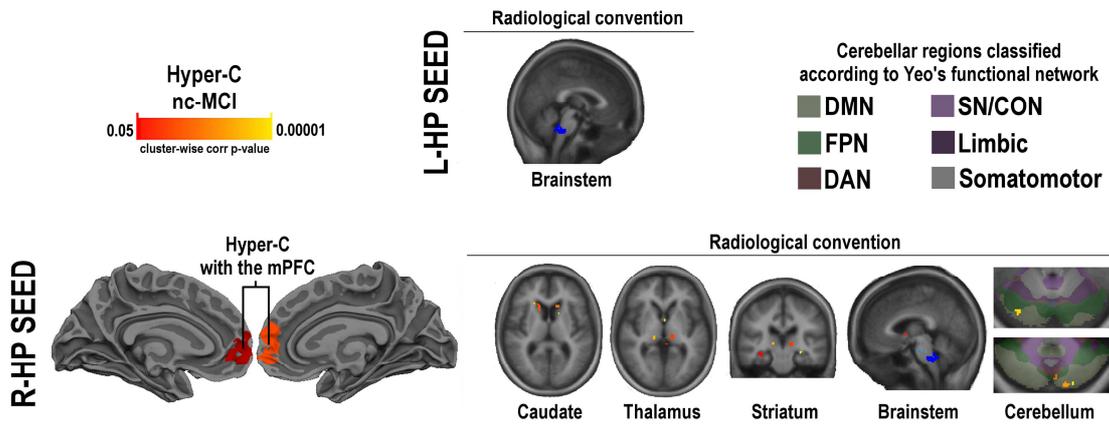
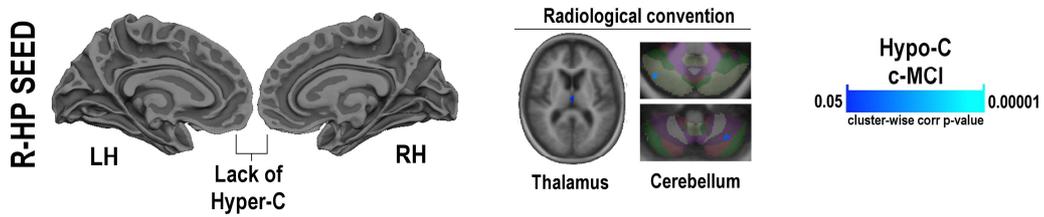
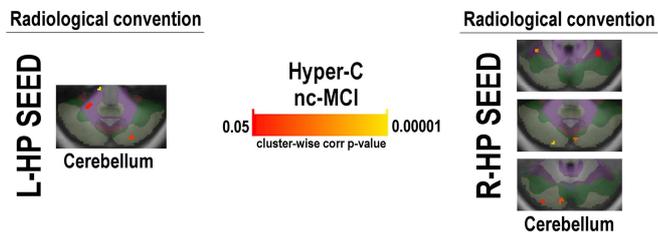
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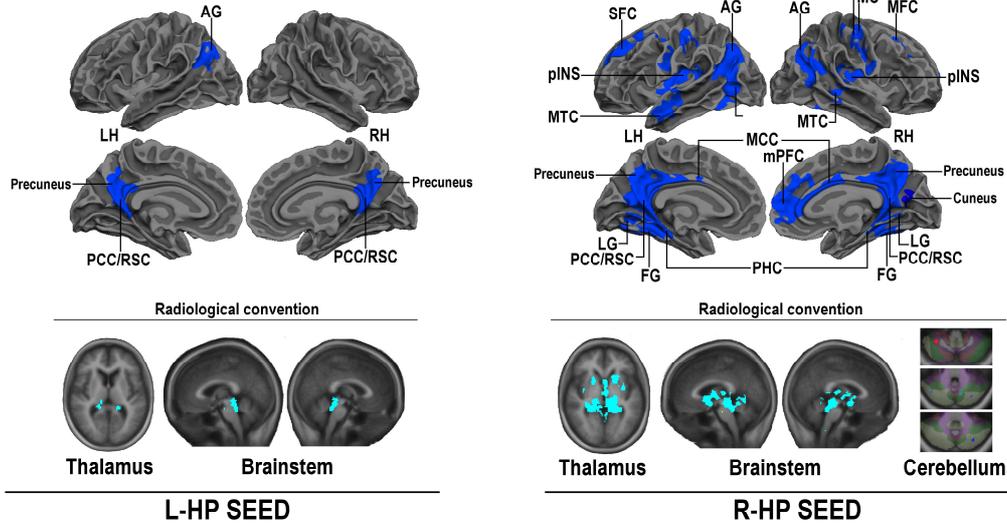
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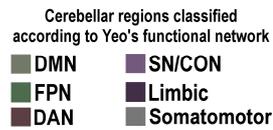
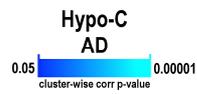
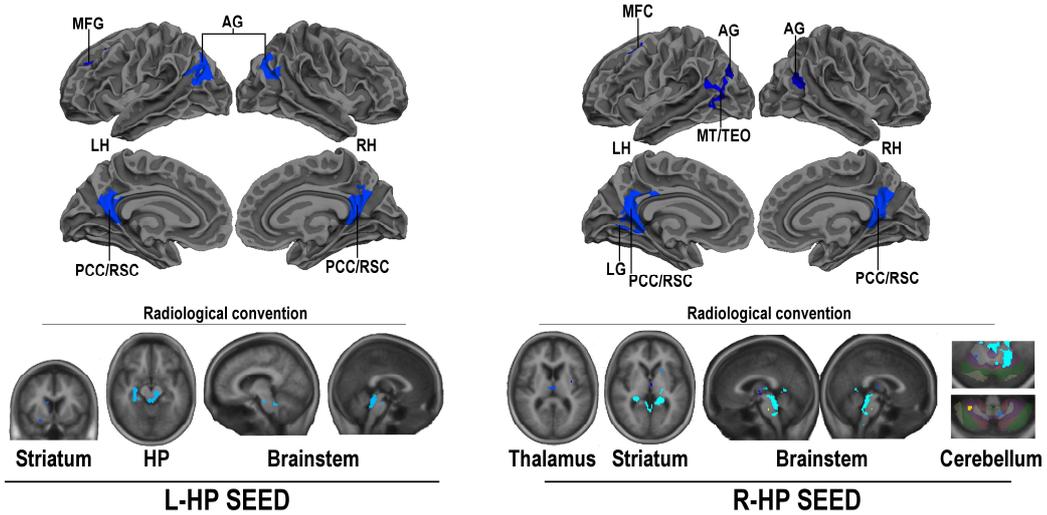
A. nc-MCI vs. HC**B. c-MCI vs. HC****C. nc-MCI vs. c-MCI**

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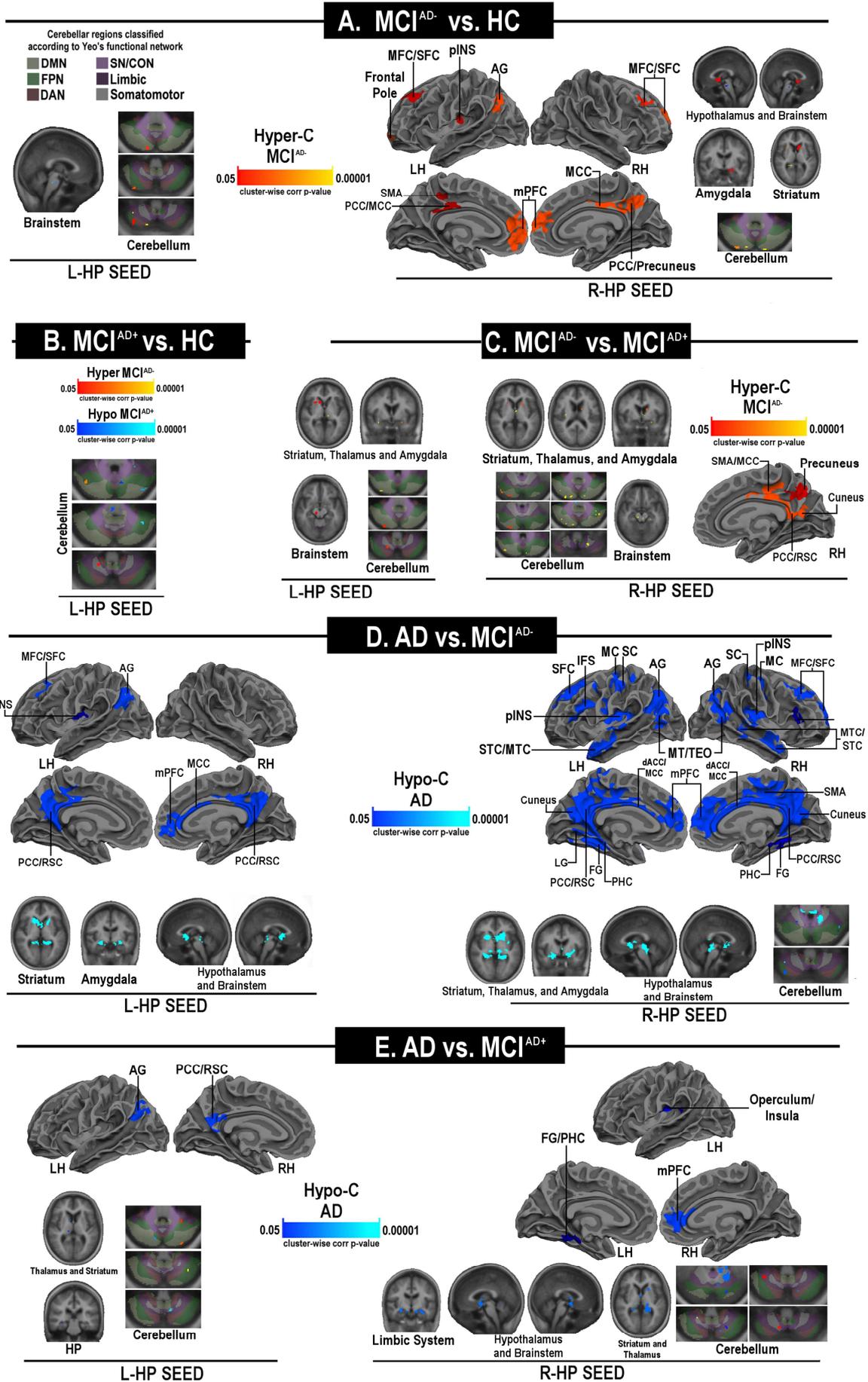
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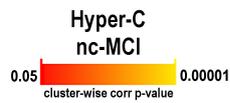


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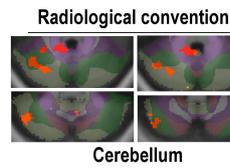


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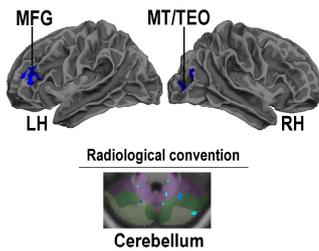


A. nc-MCI vs. HC

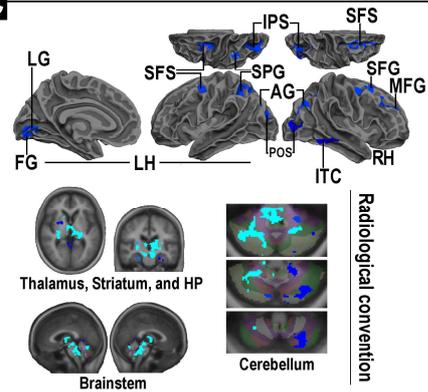
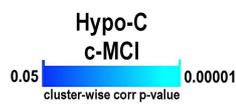
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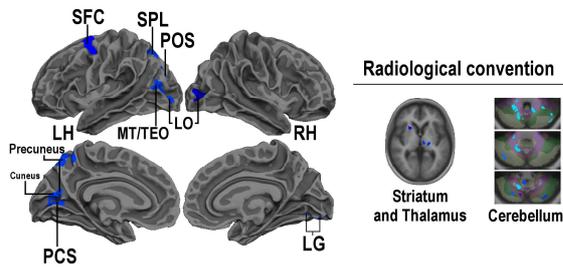
Cerebellar regions classified according to Yeo's functional network

**B. c-MCI vs. HC**

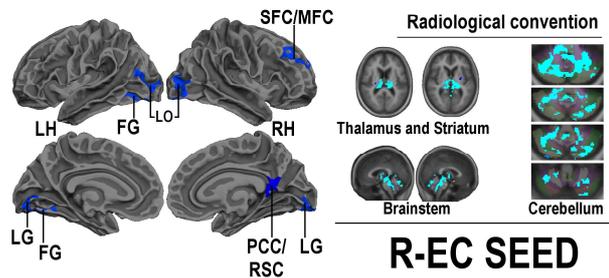
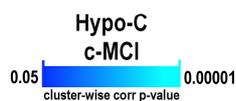
L-EC SEED



R-EC SEED

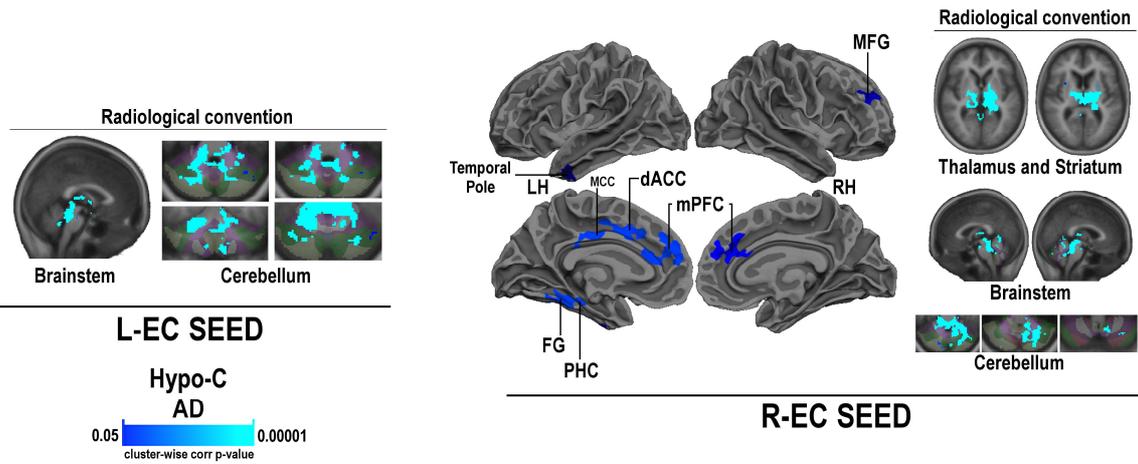
C. c-MCI vs. nc-MCI

L-EC SEED

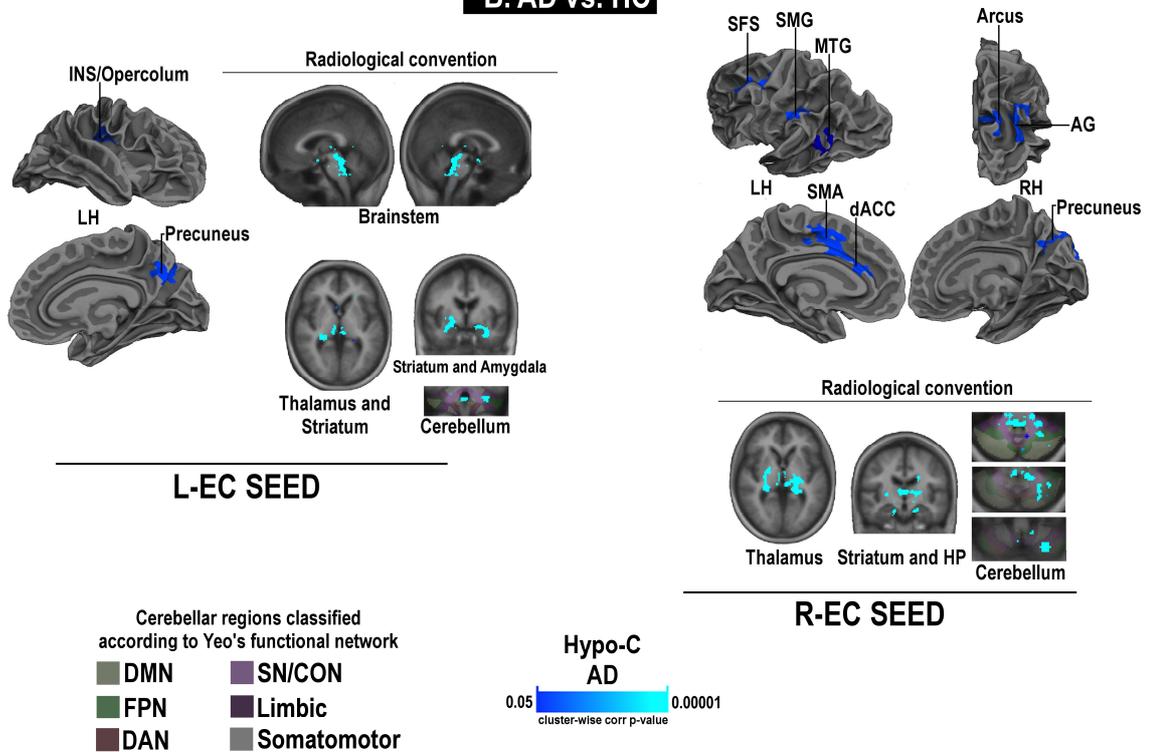


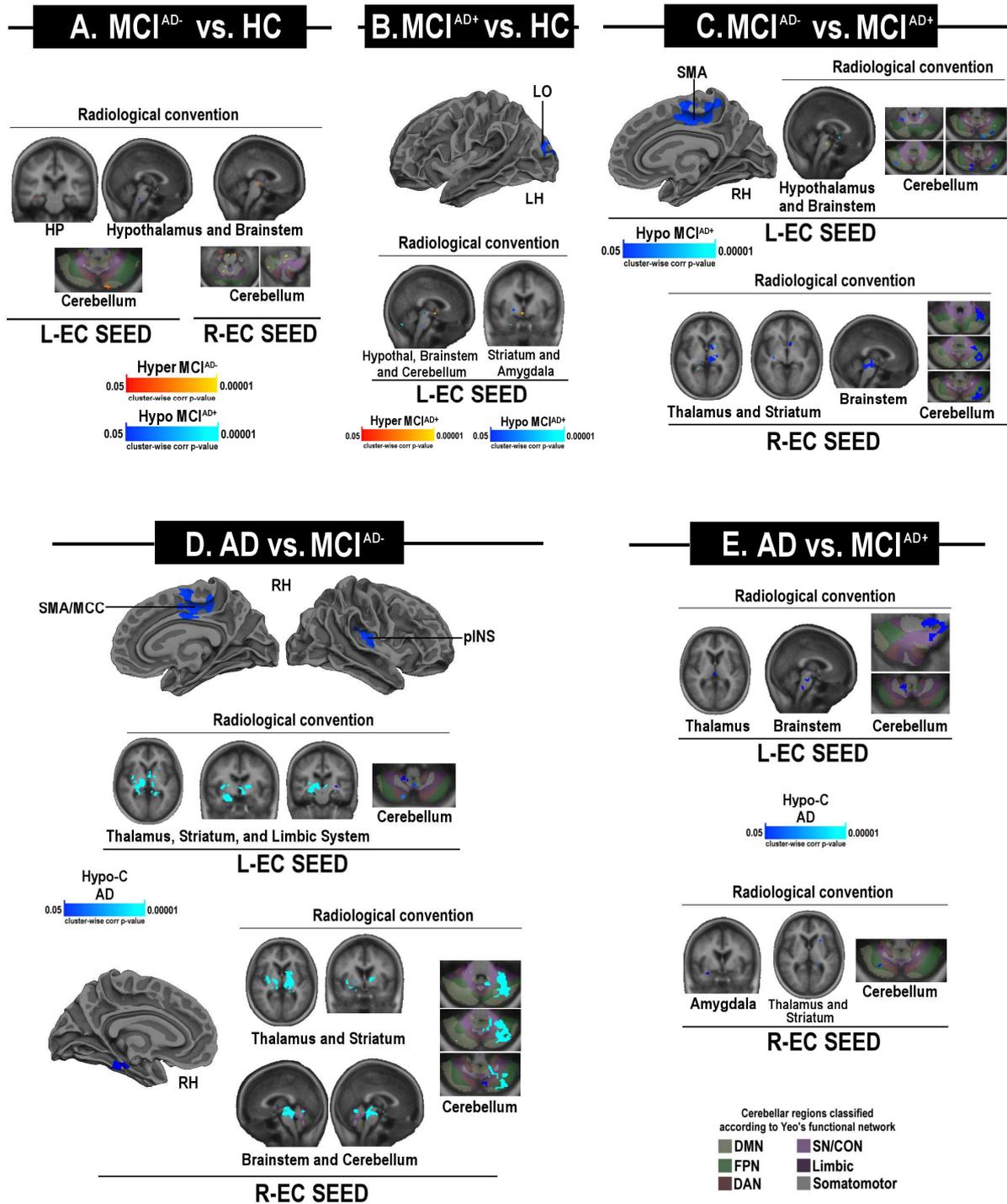
R-EC SEED

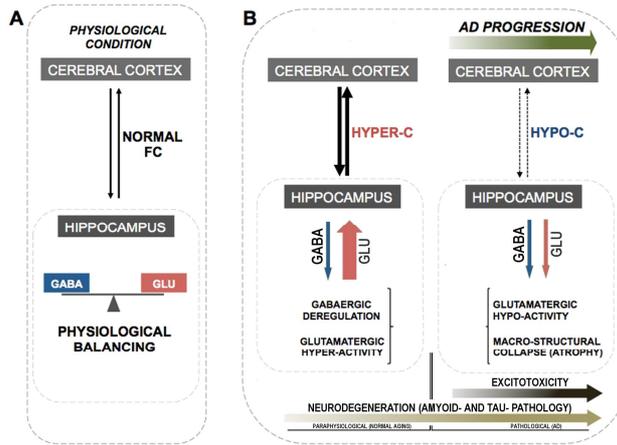
A. nc-MCI vs. AD



B. AD vs. HC







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Highlights

1. AD patients display brain atrophy and hippocampal/entorhinal cortex, HP/EC, hypo-connectivity
2. Non-converting MCI subjects or MCI with no AD-related pathology, nc-MCI/MCI^{AD-}, do not show signs of cortical atrophy
3. Converting MCI patients or MCI with signs of AD-related pathology, c-MCI/MCI^{AD+}, show HP/EC hypoconnectivity along with memory deficits
4. nc-MCI/MCI^{AD-} subjects show HP/EC hyper-connectivity and preserved cognition
5. The hyperconnectivity of nc-MCI/ MCI^{AD-} may serve a compensatory role